

Copyright © 1984, by the author(s).
All rights reserved.

Permission to make digital or hard copies of all or part of this work for personal or classroom use is granted without fee provided that copies are not made or distributed for profit or commercial advantage and that copies bear this notice and the full citation on the first page. To copy otherwise, to republish, to post on servers or to redistribute to lists, requires prior specific permission.

SWEPT-FREQUENCY,
8mm MICROWAVE
INTERFEROMETER FOR MMX

by

B. T. Archer, H. Meuth, and M. A. Lieberman

Memorandum No. UCB/ERL M84/104

21 December 1984

ELECTRONICS RESEARCH LABORATORY
College of Engineering
University of California, Berkeley
94720

This work was supported by DOE Contract No. DE-AT03-76ET53059

SWEPT-FREQUENCY, 8mm MICROWAVE INTERFEROMETER FOR MMX

B. T. Archer, H. Meuth, and M. A. Lieberman

ABSTRACT

A two-channel swept-frequency, 8mm interferometer has been developed as a density diagnostic for the Ten Meter Multiple Mirror Experiment (MMX). The interferometer has been calibrated against a commercial phase shifter and against sample dielectric media. Calibration results indicate that the interferometer gives reliable density measurements for plasma densities in the 10^{11} – 10^{13} cm^{-3} range. The diagnostic is currently in use for obtaining time-resolved density profiles on the MMX.

1 Principle of Operation

The interferometer is illustrated in Figure 1. The microwave source signal is split into a plasma arm and a reference arm. Each arm is further split so that the signal enters each of two measurement channels. After passing through the plasma, the source arm of each channel is recombined with its corresponding reference signal in a mixer block and the relative phase is detected there. Variations in the plasma density result in a phase variation in the mixer block.

The microwave source provides a microwave output that can be swept in frequency by application of a tuning voltage. In the present case a sawtooth modulation waveform is used. The output frequency over one sweep can be represented as

$$\omega(t) = \omega_0 + \Delta\omega(t/\tau); \quad 0 \leq t \leq \tau \quad (1)$$

where

$\Delta\omega$ = magnitude of frequency modulation

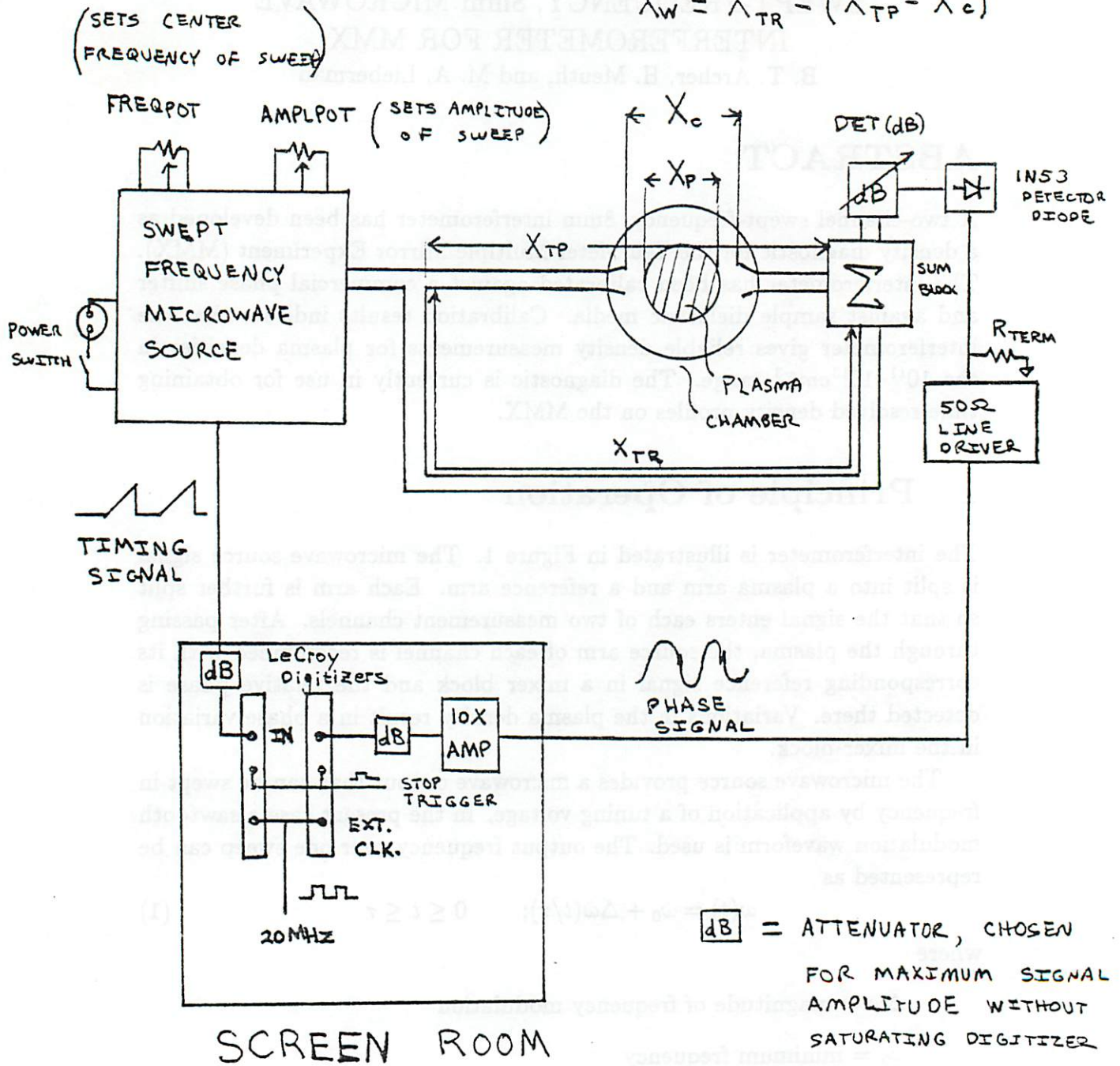
ω_0 = minimum frequency

τ = period of the sweep.

DEFINITION OF PATH LENGTHS

$$X_V = X_C - X_P$$

$$X_W = X_{TR} - (X_{TP} - X_C)$$



dB = ATTENUATOR, CHOSEN FOR MAXIMUM SIGNAL AMPLITUDE WITHOUT SATURATING DIGITIZER

Figure 1: Interferometer block diagram

The Gunn output is fed into two channels. Each channel consists of a plasma arm and a reference arm. The signals are summed by a mixer block, and a square-law detector diode is used to detect the interference signal. As in reference [2], the output voltage is given by

$$u = k [U_1^2 + U_2^2 + 2U_1U_2 \cos(\Omega_m t + \Phi)] \quad (2)$$

where

u = output voltage from detector diode

k = sensitivity of detector

U_i = amplitude of the electric field in arm i

$\Omega_m = 2\pi/\tau$

Φ = phase difference between the two arms

The AC component (third term) provides the useful signal, and it is seen that this term has a phase shift which is given by the difference in electrical path lengths between the two arms. This phase difference can be computed from the dispersion relations for waveguide, plasma, and free space:

$$\Delta\phi(t) = k_w X_w - k_p X_p - k_v X_v, \quad (3)$$

where k_w , k_p , and k_v are the wavenumbers for the microwave signal in the waveguide, the plasma, and vacuum respectively. The path differences X_w , X_p , and X_v are indicated in Fig. 1. The wavenumber k_p is related to the plasma frequency ω_p by the dispersion relation

$$\omega^2 = \omega_p^2 + k_p^2 c^2 \quad (4)$$

which applies for an unmagnetized cold plasma. The same relation holds for a magnetized plasma with $\mathbf{E} \parallel \mathbf{B}$, which is the situation for the present case. The dispersion equation for the $TE_{1,0}$ waveguide is given by

$$\omega^2 = (\pi c/a)^2 + k_w^2 c^2, \quad (5)$$

where a is the longer dimension of the waveguide cross-section. Substitution of (1), (4), and (5) into (3) yields the expression

$$\Delta\phi(t) = (X_w/c)\sqrt{[\omega_0 + \Delta\omega(t/\tau)]^2 - (\pi c/a)^2} - (X_p/c)\sqrt{[\omega_0 + \Delta\omega(t/\tau)]^2 - \omega_p^2} - (X_v/c)[\omega_0 + \Delta\omega(t/\tau)]. \quad (6)$$

Since $\Delta\omega$ is on the order of .5 GHz and ω_0 is near 35 GHz, we may make the approximation $\Delta\omega \ll \omega_0$. With this approximation (6), and using $t \leq \tau$, becomes

$$\Delta\phi(t) = X_w\sqrt{(\omega_0/c)^2 - (\pi/a)^2} - X_p\sqrt{(\omega_0/c)^2 - (\omega_p/c)^2} - X_v\omega_0/c + \left\{ (\omega_0\Delta\omega/\tau) \left[(X_w/c^2) \left[(\omega_0/c)^2 - (\pi/a)^2 \right]^{-1/2} - (X_p/c) (\omega_0^2 - \omega_p^2)^{-1/2} - X_v/(\omega_0 c) \right] \right\} t \quad (7)$$

Comparing this result with (2) we see that it is possible by adjustment of $\Delta\omega$ to make the quantity in curly brackets equal to Ω_m , a procedure suggested by Lisitano[2]. This adjustment is made by observing the diode output on an oscilloscope and adjusting the sweep range on the Gunn source to correspond to one full sine wave output signal per sweep ramp. When the plasma enters the plasma arm there is a small correction to this frequency. The phase of the signal is given by the first three terms. In the experimental situation a vacuum shot is obtained first and its phase subtracted from the plasma shot. The phase of the vacuum shot can easily be obtained from (7) by setting $X_p = 0$. The phase difference between the two shots is then given by

$$\Phi \equiv \Delta\phi_{\text{plasma}}(t) - \Delta\phi_{\text{vacuum}}(t) = X_p\sqrt{(\omega_0/c)^2 - (\omega_p/c)^2} - X_p\omega_0/c. \quad (8)$$

Given Φ , this equation can be solved for ω_p , which in turn is related to the plasma density by (in cgs units)

$$\omega_p^2 = \left(\frac{4\pi n e^2}{m} \right).$$

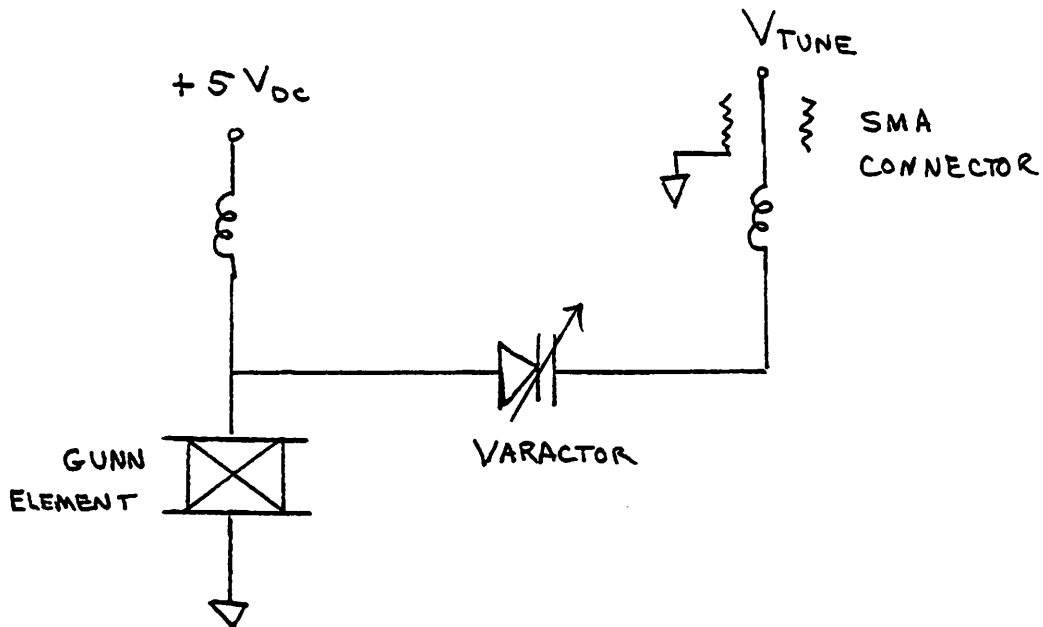


Figure 2: Gunn schematic

The explicit expression for the the density in terms of the phase shift is given by

$$n = \frac{m}{4\pi e^2} \left[\omega_0^2 - \left(\frac{c\Phi}{X_p} - \omega_0 \right)^2 \right].$$

2 Hardware Description

The microwave source is a tunable Gunn diode oscillator (Figure 2). Tuning is accomplished via a voltage applied to a varactor diode, which in turn alters the resonant frequency of the Gunn/varactor circuit. The Gunn was provided by Central Microwave Company. The manufacturer's specifications for the Gunn are given in Figure 3. The threshold values I_{th} and V_{th} correspond to a maximum in the current drawn by the Gunn, and the power supply must be able to source at least this much current in order to bring the source to the operating point.

$$V_0 = 5.5 \quad I_0 = 1.08 \quad I_{th} = 1.28 \quad V_{th} = 1.73$$

$$T = 25^\circ$$

GUNN TUNING CHARACTERISTICS		
V_{tune}	FREQ (GHz)	POWER (dBm)
0.47	34.000	17.8
0.77	.100	18.2
1.03	.200	18.6
1.30	.300	19.1
1.60	.400	19.4
1.90	.500	19.6
2.20	.600	19.7
2.50	.700	19.8
2.80	.800	19.9
3.11	.900	20.0
3.44	35.000	20.0
3.79	.100	20.1
4.19	.200	20.2
4.63	.300	20.2
5.11	.400	20.2
5.62	.500	20.2
6.16	.600	20.3
6.77	.700	20.3
7.45	.800	20.2
8.17	.900	20.2
8.93	36.000	20.1

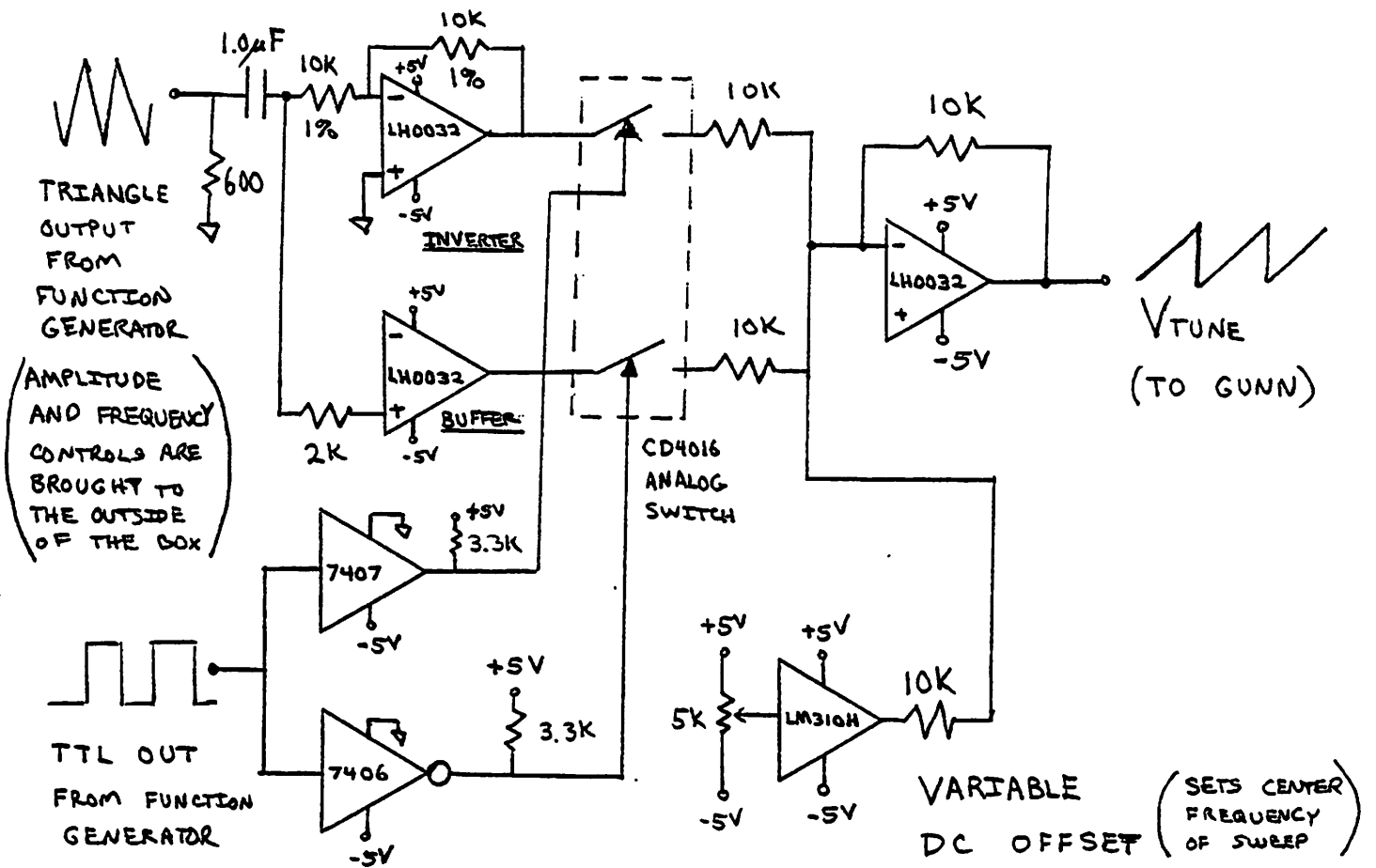
Figure 3: Gunn diode specifications.

The Gunn drive (Figure 4) consists of three sections. The operation of each section is as follows.

- I. The DC bias for the Gunn is provided by an International Series HB5-3/OVP-A DC supply.
- II. The tuning waveform begins as a triangle wave output by a Dynascan 3010 Function Generator. This waveform is fed into a circuit which converts the signal into a sawtooth. The triangle wave is applied to both an inverter and a buffer. The TTL-out signal from the function generator is used to alternately throw the inverter output and then the buffer output into a final inverter. Power for this circuit is provided by an International Series HAA5-1.5/OVP-A power supply.
- III. In order to protect the Gunn diode against damage from transient currents, the timing waveform is passed through an optical isolator. The TTL output from the function generator drives the isolator, and the square wave output is used to alternately turn on and off a LH0032CG op amp configured as an integrator. The time constant for the timing waveform can be changed by appropriate choice of R_I . The LH0032CG can drive a 50Ω digitizer input directly for recording the timing waveform. Power for the timing waveform is provided by an external HAA5-1.5/OVP-A power supply. The safety ground on this supply must be isolated from building ground in order to prevent ground loops. The ground for the output circuit is instead provided by the screen room ground.

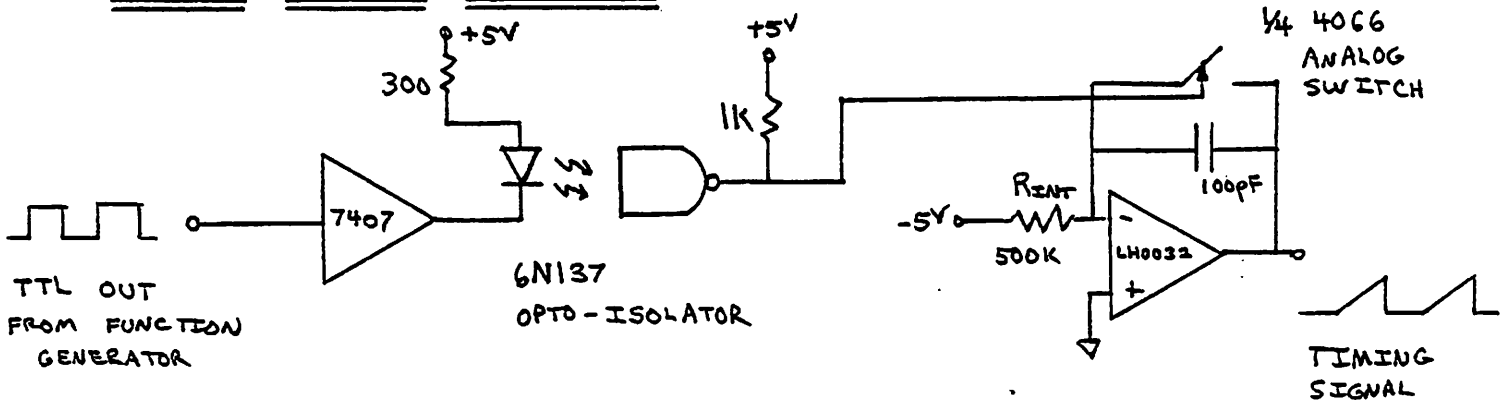
Figure 5 summarizes the path lengths for the various arms of the interferometer. The diodes used for detection are 1N53-type crystals, available through Alpha TRG Microwave Group. The diodes are available in two polarities. The 1N53R diodes have red lettering, and provide a positive output voltage. The 1N53 diodes have black lettering and provide negative output

TRIANGLE - TO - SAWTOOTH CONVERTER



NOTE: -5V ON THIS CIRCUIT IS TIED TO GROUND ON THE GUNN SOURCE TO ENSURE THAT THE TUNING VARACTOR IS NEVER FORWARD-BIASED

TIMING SIGNAL GENERATOR



R_{INT} IS CHOSEN SUCH THAT THE OUTPUT HAS REASONABLE AMPLITUDE, YET DOES NOT SATURATE

Figure 4: Gunn drive circuit.

Path	Channel	
	M_{67}	M_{78}
Reference	168	178
Plasma	381	390
Path difference	213	212

Figure 5: Interferometer path lengths (cm)

voltage. Either diode may be used for this application. The diodes have approximately a square-law response characteristic for output voltages below $\approx 50\text{mV}$. The output from the diode is fed into a 50Ω line driver, with appropriate termination at the input of the line driver. Best results have been obtained with a 2K termination impedance. The output of the line driver is fed into an amplifier with a gain of 10 and input impedance of 1M. The output of the amplifier drives the digitizer.

3 Calibration Results

This section outlines the various techniques which were employed to verify proper operation of the interferometer and the associated data reduction code.

Two types of calibration have been performed. One type of calibration was to insert the Hughes 45751H-1000 direct reading phase shifter in the reference arm of the channel to be calibrated. The phase shifter is of the quarter-wave type described in [3]. According to Hughes engineers, the phase shifter is accurate within $\pm 1^\circ$ over the entire 26.5–40 GHz Ka band, with an insertion loss of $< 1\text{dB}$. Further, the phase shifter performance is optimized for the most commonly used frequency in the band (35 GHz), which happens to be the approximate frequency of operation for the interferometer. Calibrations have been performed for both channels by taking data at 10° increments.

Excellent linearity has been obtained for both channels (Figure 6). The phase shift measured with the phase shifter set at zero is a function of the exact path differences between the plasma arm and the reference arm. The different zeroes for the two channels are due to the small mismatch in the path difference for channel M_{67} as compared as compared to the channel at M_{78} . For example, a mismatch of $\lambda/2$ would effect a 180° relative phase shift between the two channels. But since the total path difference in each channel is of order 200λ , this small path mismatch is a very small source of error.

The second calibration method was to use dielectric blocks having dielectric constants which differ from that of vacuum by amounts similar to that of typical plasmas. Styrofoam and balsawood were used for the measurement. A cavity perturbation technique was used to obtain the dielectric constant for the materials at ≈ 2 GHz. This value was used to calculate theoretical phase shifts for the rods used. The characteristics of the dielectrics are given in the table of Figure 7. The results are plotted in Figure 8, and show reasonable consistency. Part of the scatter in the data is due to the difficulty of aligning the dielectrics in the MMX chamber.

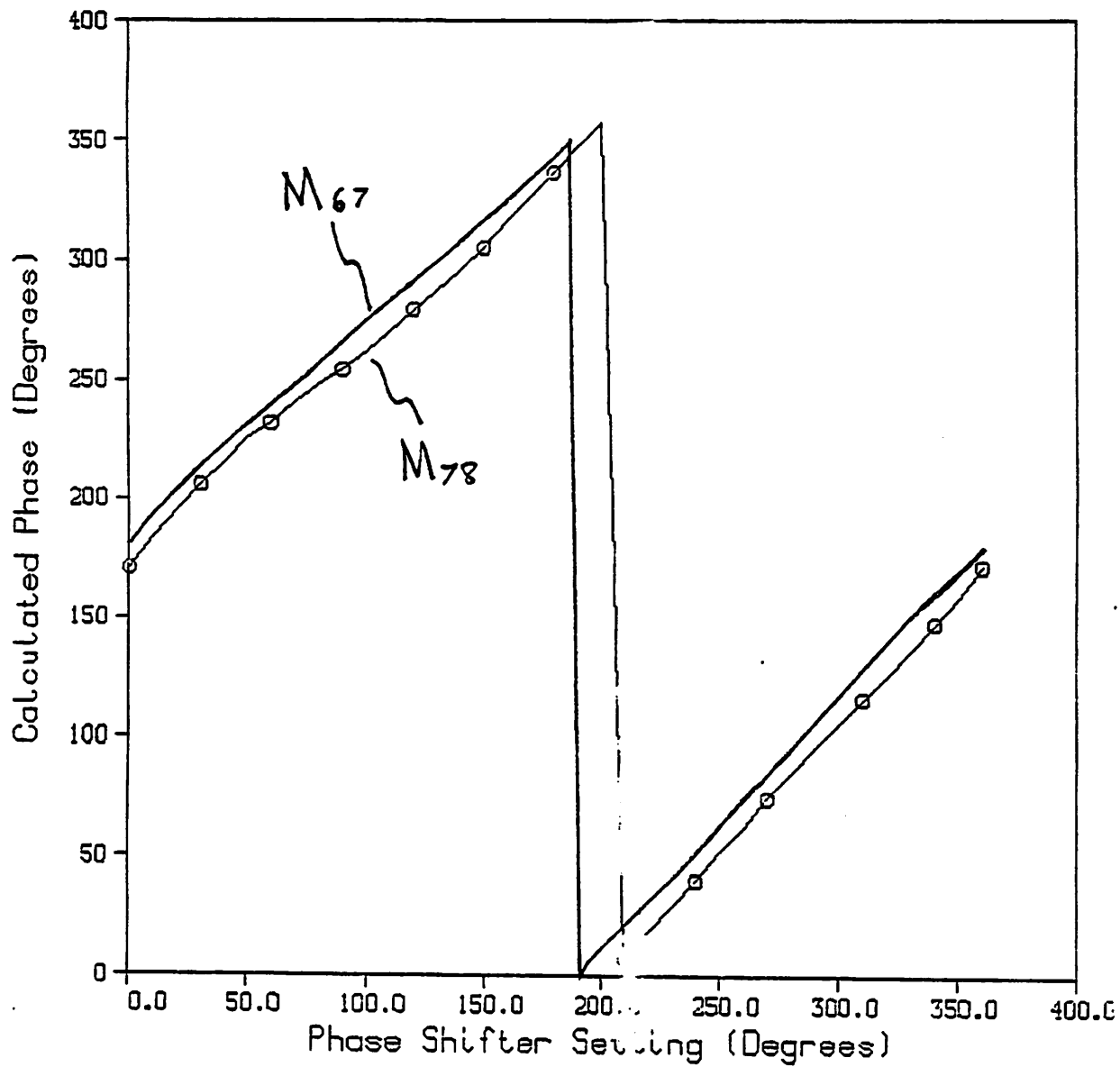


Figure 6: Phase shifter calibration data.

Material	ϵ	Cross Section	Diameter/width (cm)
Styrofoam	1.034	circle	2.6
		circle	3.4
		circle	3.9
		circle	4.5
		rectangle	3.0
		rectangle	3.7
		rectangle	5.1
Balsawood	1.29	rectangle	1.
		rectangle	2.
		rectangle	3.

Figure 7: Dimensions of dielectrics used in calibration.

Interferometer density measurements have been compared with Langmuir probe measurements on the MMX. The probes are biased in the ion saturation region (see probe biasing circuit of Figure 10), and the LaFramboise theory[4] is used to obtain the density from the measured current. The values indicated in Fig. 9 were used to calculate the probe density from the formula

$$N_{\infty+} = \frac{I_{coll}}{i_+ e^{3/2} R_p L_p (2\pi/m_+)^{1/2} T_+^{1/2}}, \quad (9)$$

where

$N_{\infty+}$ = ion density far from the probe

I_{coll} = collected ion current

e = electron charge

R_p = probe radius

L_p = probe length

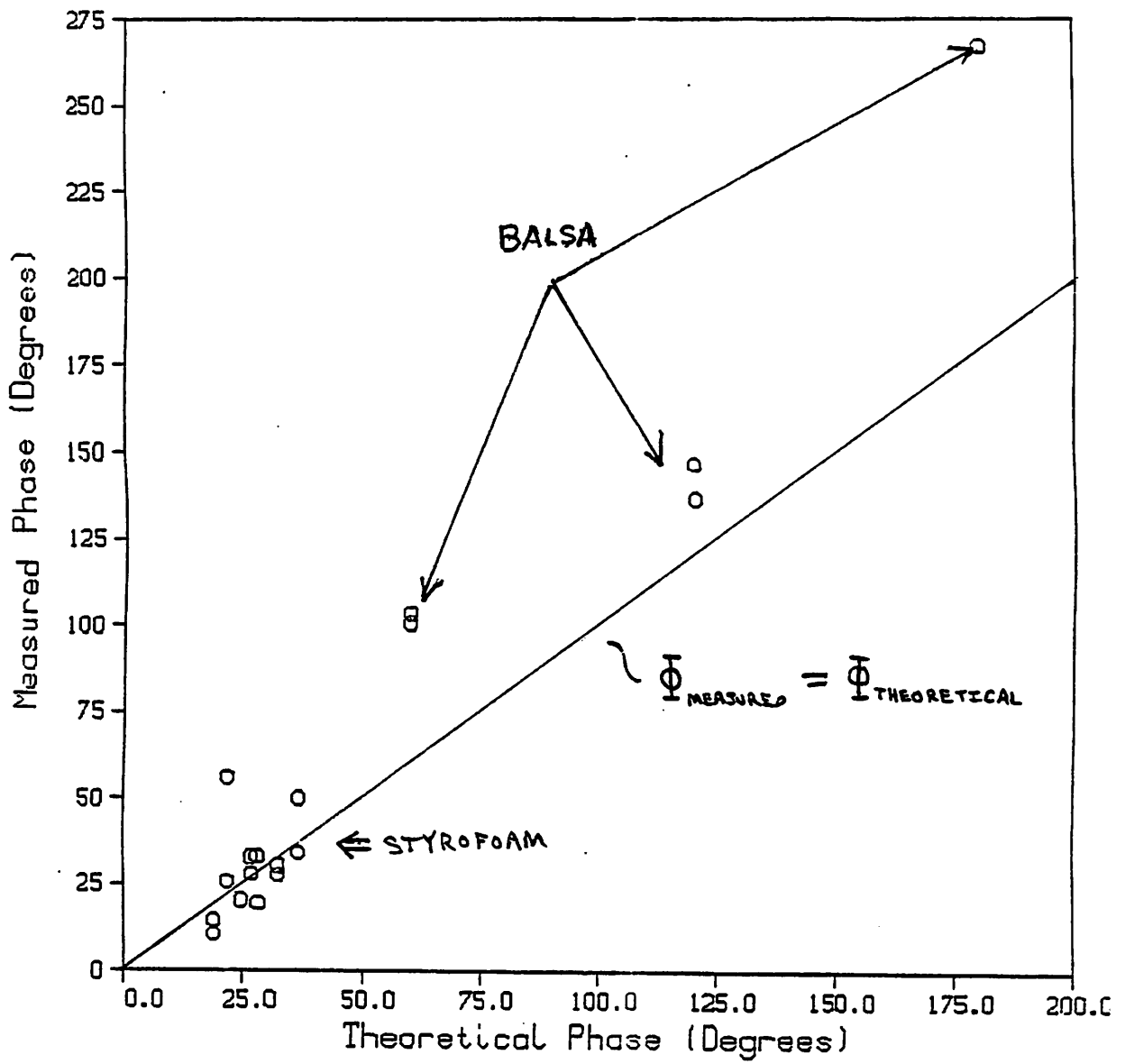


Figure 8: Dielectric rod calibration.

$$L_p = 40 \text{ mils} \quad R_p = 2.5 \text{ mils}$$

$$T_+ \approx 3. \text{eV} \quad i_+ = 3$$

Figure 9: Langmuir probe characteristics

m_+ = ion mass

T_+ = ion temperature in eV.

The quantity i_+ is a dimensionless correction factor to the Langmuir theory, which was calculated numerically by LaFramboise for the infinite cylindrical probe case.

The probes were also used to determine an effective path length $L = n_0^{-1} \int n dx$ to be used in the reduction of the interferometer data. This is accomplished by placing a movable probe at various radii and comparing this current with that of a fixed probe on axis. The fixed probe was used to normalize out shot-to-shot density variations. In this way a density profile of good accuracy is obtained. Further, the result does not depend on absolute probe calibrations, as long as probe current is linear with density. The effective path length was determined in this way to be 2.0 cm.

Data from a typical shot is indicated in Figure 11. The probes seem to consistently read a factor of ≈ 5 lower than the interferometer. The interferometer data was initially held suspect for the discrepancy, since it is a more complicated measurement than that of the probes. A more or less independent verification of the validity of the interferometer measurement was obtained, however, by observing the apparent probe density when the interferometer signal comes out of cutoff. The apparent probe density is consistently lower than the known cutoff density of 35 GHz microwaves ($n_c = 1.52 \times 10^{13} \text{ cm}^{-3}$) by again a factor of approximately 5 (Figure 12). This result led to a consideration of alternative explanations for the probe/interferometer discrepancy. The LaFramboise theory assumes for its model an unmagnetized collisionless Maxwellian plasma at rest. The disagreement with the interferometer may lie in the violation of these assumptions. At present, however, the most probable explanation is thought to be the presence of a cold ($T_e < 1 \text{ eV}$) "halo" plasma near the chamber

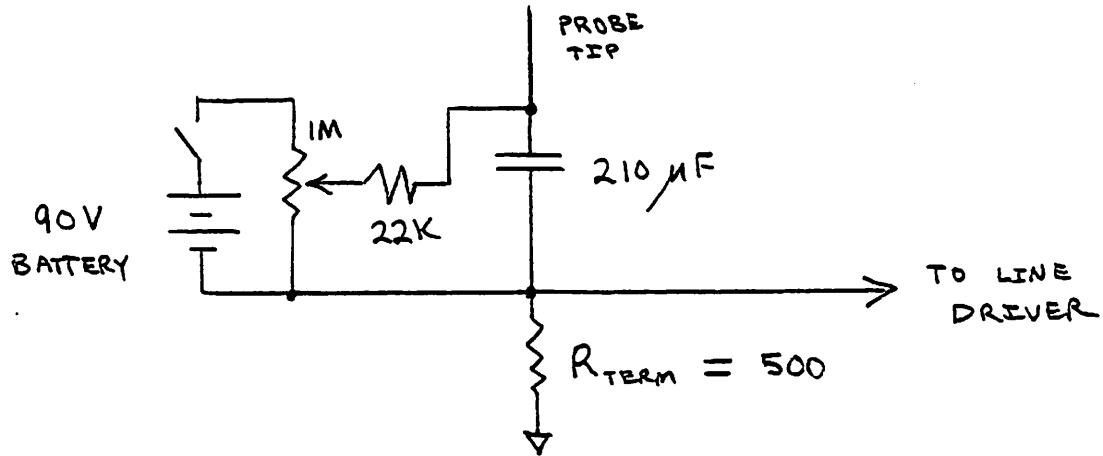


Figure 10: Langmuir probe biasing circuit.

wall, to which the Langmuir probes are relatively insensitive. This explanation implies that both diagnostics are operating properly, only they measure different quantities. This hypothesis requires further investigation.

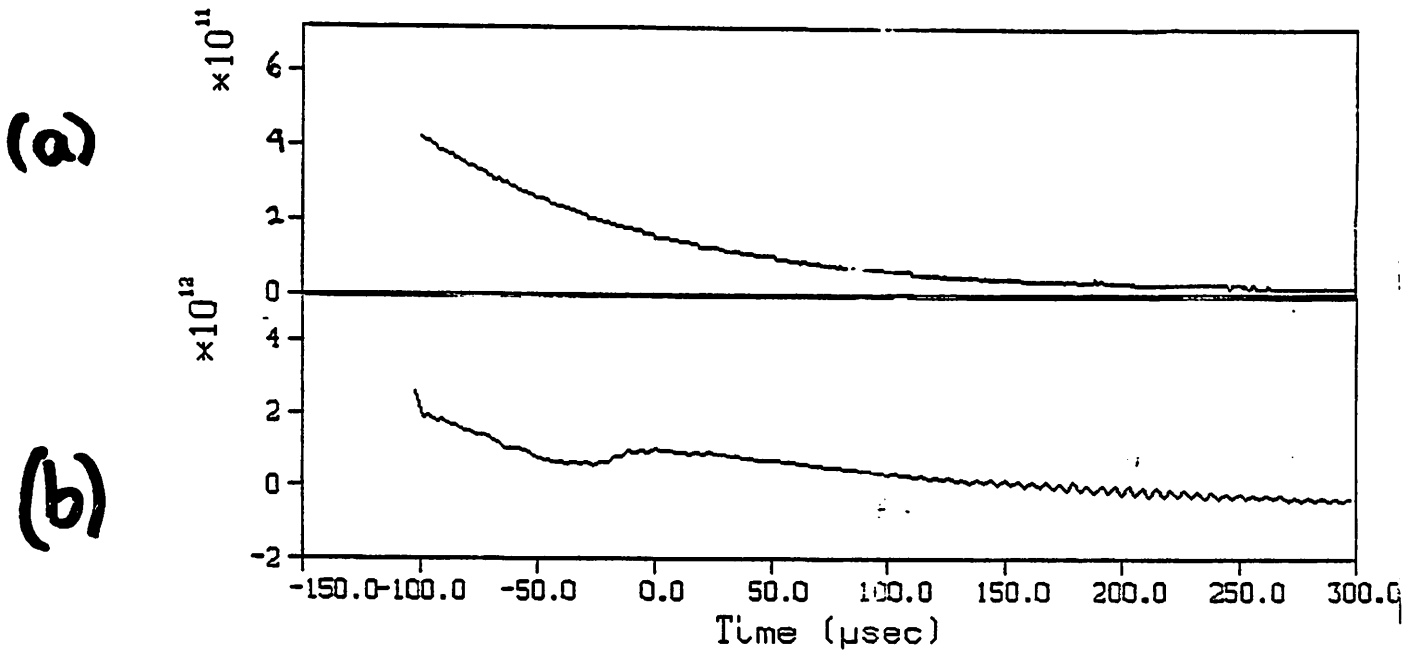
4 Data Acquisition and Reduction

Operation of the interferometer requires one digitizer for recording the timing information and one digitizer for each channel being recorded. The LeCroy 8837F digitizers are the digitizers of choice in this application, because the Transiac digitizers have been observed occasionally to miss one clock pulse after receiving a stop trigger. A missed pulse introduces an artificial phase shift between the timing channel and the data channel of $\approx 6^\circ$ for a 170 kHz sweep rate.

Typical settings for the various operating parameters are indicated in the sample shot log entry of the following section.

Data reduction is accomplished with the assistance of the general data reduction tools of reference [1]. The data from the interferometer is sent to

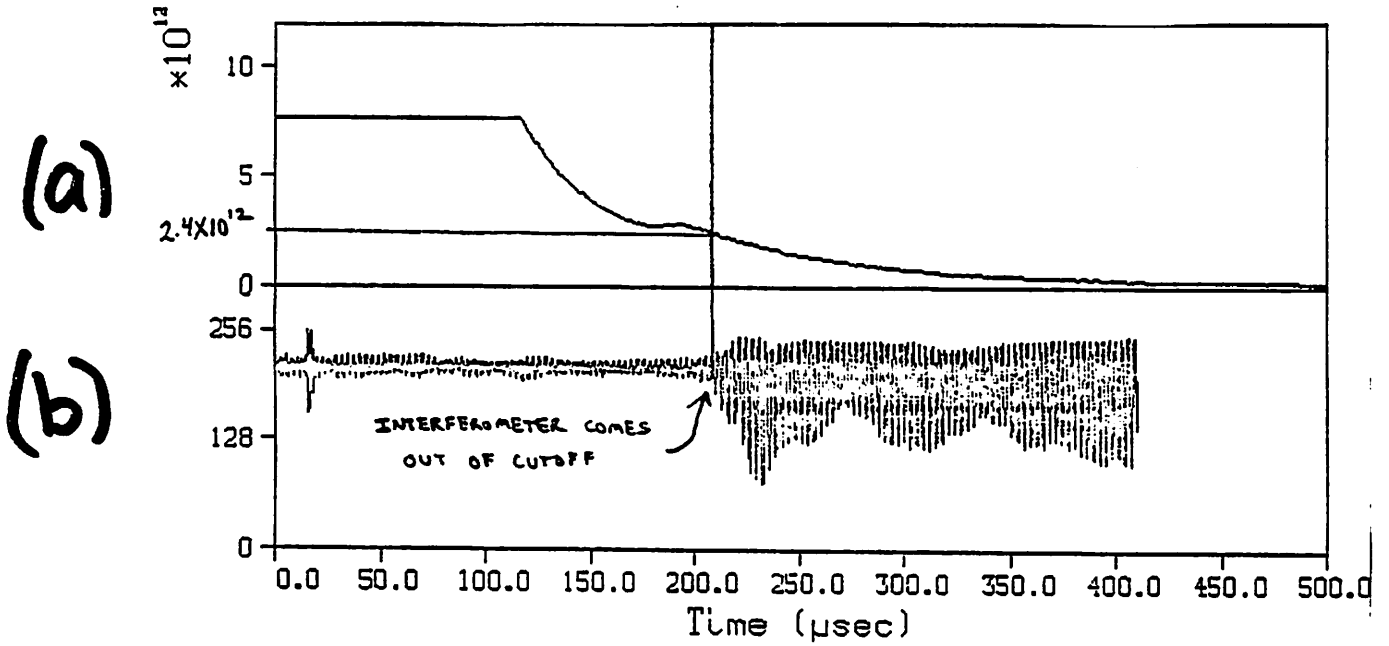
SHOT: SEP1706



(a) Langmuir Probe M78 [BOTTOM]
Density (CM^{-3})
 $r = 1.0 \text{ cm}$ $i^+ = 3.00$
Bias Voltage = -60.0 V
Termination = 500.0Ω
Attn = 0.0dB Offset = $-.233\text{V}$

(b) Plasma Diameter: 4.00 cm
Center Frequency = 35.7GHz
Channel at M78

Figure 11: Comparison with Langmuir probe results.



(a) Langmuir Probe M78 [BOTTOM]
 Density (CM^{-3})
 $r = 0.0 \text{ cm}$ $i^+ = 3.03$
 Bias Voltage - -60.0 V
 Termination - 50.0Ω
 Attn = 0.0dB Offset = $-.242\text{V}$

(b) 8mm Channel at M78
 Raw Data

Figure 12: Apparent probe density at interferometer cutoff

NMFECCE via the MFE program and analyzed there by the code `uwdns1`. The source code is `puwdns1`, a listing of which is given in Appendix A. Instructions for obtaining and compiling the code are included in the listing, along with extensive descriptive comments about the algorithms used. The present section is devoted to providing a user's guide for operating the code.

The code requires the following input files:

uwdnsin: Control file in a namelist format.

shotlog: Standard MMX shotlog.

raw data: Standard MMX raw data files.

The output file `uwd` is produced, which contains data suitable for input to the standard plotting program `mmxplt1` [1].

The input file `uwdnsin` contains a number of input variables. Most of the variables assume reasonable default values and can be omitted. A description of each variable follows.

job: This variable is used to select the type of analysis to be performed. Setting `job='plotdns'` initiates the analysis of density waveforms. `job='rods'` is used to analyze data for dielectric rods, and `job='cal'` is used to plot phase shifter calibrations.

shotbeg, shotend: These are the first and last data shots to be analyzed.

shotlog: This is the name of the shot log for the shots to be analyzed. The default shotlog is obtained by taking the first 5 letters of `shotbeg` and appending the letters "log", in conformance with the standard notation of reference [1].

timchan: This is the name of the timing channel. The default is "8MM-TIME".

timmin, timmax: The minimum and maximum times to be analyzed, in μsec .

diam: The plasma diameter to be assumed in the analysis, in cm. The default is 4.0 cm.

maxfn, acc, dfpred: These are parameters used in the IMSL fitting routine *zxcgr*, which is used to fit sine functions to the interferometer signal. Reasonable defaults are used. If convergence problems are encountered for the fit, the IMSL library outputs an appropriate error message to the terminal. Modifying these parameters may solve convergence difficulties.

midplane: This alphanumeric field indicates which midplane is to be analyzed. The default is 67.

freqmult: The frequency cutoff for the digital filter. The value is entered as a multiple fundamental frequency of the timing waveform, which is half the fundamental of the phase waveform. Good results are obtained with the default value of 3.0.

Sample Input

```
job='plotdns'  
shotbeg='oct1401'  
shotend='oct1410'  
midplane='78'  
diam=3.5  
timmin=0.  
timmax=400.
```

The shotlog is also used in the data reduction process. A sample entry for the interferometer follows.

Sample Shot Log Entry

```
SHOT=SEP2001  
REFSHOT='SEP2001R'  
CHAN=8MMTIME CABLE='L1' ADB=14. RINT=510K  
OFFSET=-8.9 EXTINT=.05  
CHAN=8MMPM67 CABLE='L2' TENXAMP=1 ZIN=1M COLOR='BLACK'
```

RTERM=2K AMPLPOT=1.75 FREQPOT=17.8 LINEDRVR=1
DETDB=2. SRCATTN=1.80 CENFREQ=35.675 PSHIFT=0.
OFFSET=0.0 EXTINT=.05 PATHCM=5.1 EPSILON=1.034

Some of these variables are used by the data reduction code, and others are only for documentation of the experimental conditions.

REFSHOT: The indicated reference shot is used to establish the reference phase between the timing and phase waveforms.

CABLE: The cable designator of the cable carrying the given signal.

ADB: The signal attenuation in dB.

RINT: The value for the integration resistor for the timing waveform (Figure 4).

OFFSET: The digitizer offset read on the DVM output

EXTINT: The clock rate provided to the external clock input for the digitizer (in μsec).

TENXAMP: The slot number of the gain 10 amplifier used.

ZIN: Input impedance for the amplifier.

COLOR: This describes the color of the diode used, in order to determine the polarity of the signal. It may be either 'red' or 'black'

RTERM: Termination resistance for the microwave detector diode.

AMPLPOT: Setting for the amplitude potentiometer on the Gunn source.

FREQPOT: Setting for the frequency potentiometer on the Gunn source.

LINEDRVR: Number of the line driver used to drive the signal cable.

DETDB: Setting of the microwave attenuator to which the detector diode is attached.

SRCATTN: Setting of the attenuator which is attached to the Gunn output.

CENFREQ: Center frequency of the swept microwave source, as measured with the FXR U410A frequency meter.

PSHIFT: Setting of the Hughes phase shifter. This value is used when a calibration run is being used. Otherwise it serves as documentation.

PATHCM, EPSILON: When running a dielectric rod calibration, these values represent the path length of the interferometer signal through the rod, and the dielectric constant of the rod.

Bibliography

- [1] Archer, B. T., MMX Data Acquisition and Data Reduction Software, Electronics Research Laboratory Memorandum No. UCB/ERL M84/85, Univ. of California at Berkeley, 1984
- [2] Lisitano, G., Rev. Sci. Instr. **36** (1965) 364.
- [3] Collin, R. E., **Foundations for Microwave Engineering**, New York, McGraw-Hill 1966
- [4] LaFramboise, J. G., Theory of Spherical and Cylindrical Langmuir Probes in a Collisionless, Maxwellian Plasma at Rest, University of Toronto Institute for Aerospace Studies Report No. 100, June 1966


```

1  *   Program uwdns1                      Branch T. Archer III
2  *   Written: 8/10/84                    Revised: 10/3/84
3  *   Compilation:
4  *   rcft p=puwdns1,x=uwdns1,lib=(mmxut1,bevdr,ims1) / t v
5  *   To retrieve sources and executable files from filem:
6  *   filem rds 1235 .mmxinp puwdns1 uwdns1
7  *   filem rds 1235 .datared fbevdr bevdr
8  *   Note: imsl is a system library
9
10 *   Logical Unit Number designations:
11 *   1 - tty terminal i/o
12 *   2 - uwdnsin namelist format control file
13 *   3 - mmxerr message file if msglun<>0
14 *   4 - shot raw data file
15 *   5 - uwd mmxplt-digestible output file
16
17 *   STANDARD RAW DATA HEADER
18 *   cliché header
19 *   integer datim(6),filnam,filext,name(32),slot(32),smpcod(32),
20 *   $   reclen(32),pretrg(32),devtyp(32),crate(32),reserv(64)
21 *   common/header/
22 *   $   datim,filnam,filext,name,slot,smpcod,
23 *   $   reclen,pretrg,devtyp,crate,reserv
24 *   endcliché header
25
26 *   cliché vars
27 *   parameter (maxpnt=8192,maxsup=200,maxcal=100)
28 *   integer isumarm(maxpnt),itime(maxpnt),shot,
29 *   *   NAMELIST INTEGERS
30 *   $   job,shotlog,shotbeg,shotend,refshot,plotswp,maxfn,
31 *   *   MMXPLT PLOTTING FLAGS
32 *   $   wndwadv,plttyp,mrkflg,ism,tmflg,frmadv,
33 *   *   LABELS
34 *   $   lstrng(8),label(5,25),lblarr(9,10),
35 *   *   MISCELLANEOUS
36 *   $   nmpnts,numswp,numeval,iwk(maxcal),period,ramp1en,
37 *   $   color,ibegin,iend,calind,nmpt,prvref,signeps,
38 *   $   freqpos,nd2p1,ipower2,timchan,phschan,found
39 *   real sumarm(maxpnt),time(maxpnt),phase(maxsup),supbeg(maxsup),
40 *   $   caldat(2,maxcal),iwk(3*maxpnt+6),smpint,a,refphase,pttime,
41 *   *   PHYSICAL VALUES
42 *   $   omega0,omsqr,scfcir,cenfreq,pathcm,epsilon,k0,c,rad2deg,
43 *   *   NAMELIST REALS
44 *   $   timmin,timax,diam,acc,dfpred,freqmult,
45 *   *   MMXPLT PLOTTING VARIABLES
46 *   $   xmin,xmax
47 *   complex fourdat(maxpnt/2+1)
48 *   common/vars/shot,
49 *   $   job,shotlog,shotbeg,shotend,refshot,plotswp,maxfn,
50 *   $   wndwadv,plttyp,mrkflg,ism,tmflg,frmadv,
51 *   $   lstrng,label,lblarr,
52 *   $   nmpnts,numswp,numeval,iwk,period,ramp1en,
53 *   $   color,ibegin,iend,calind,nmpt,prvref,signeps,
54 *   $   freqpos,nd2p1,ipower2,timchan,phschan,found,

```

Appendix A.
 Listing of PUWDNS1, the interferometer data reduction code.

```

55 $ sumarm,time,phase,sw,beg,
56 $ caldat,wk,smplnt,a,refphase,pttime,
57 $ omega0,omsqr,scfctr,centfreq,pathcm,epsilon,k0,c,rad2deg,
58 $ timmin,timax,diam,acc,dfpred,freqmult,
59 $ xmin,xmax,
60 $ pi,twopi,
61 $ fourdat
62
63 equivalence (isumarm,sumarm),(itime,time)
64 endclithe vars
65
66 use vars
67 use header
68 data pi,twopi/3.141592654,6.283185308/c/3.e10/rad2deg/57.29577951/
69 $ scfctr/3.15e-10/diam/4./prvref/0/centfreq/35.7/
70 $ maxfn/100/acc/1.e-3/dfpred/500./
71 $ undwadv/1/plttyp/1/mrkflg/0/isym/1/timflg/0/frmadv/1/
72 $ midplane/'67'/timchan,phschan/'8MMTIME','8MMPM67'/
73 $ freqmult/3./
74 * PLOTTING LABELS
75 data label/ '$ ' 1
76 $ '(F)requency ((MH)z)$ ' 2
77 $ '(F)ourier (T)ransform$ ' 3
78 $ '(R)eal (P)art$ ' 4
79 $ '(I)maginary (P)art$ ' 5
80 $ '(I)nterferometer (C)alibration (D)ata$ ' 6
81 $ '(P)hase (S)hifter (S)etting ((D)egrees)$ ' 7
82 $ '(D)ensity ((cm\r)-3\rx))$ ' 8
83 $ '(C)alculated (P)hase ((D)egrees)$ ' 9
84 $ '8mm (I)nterferometer (R)esults$ ' 10
85 $ '(C)enter (F)requency = xx.x (GH)z$ ' 11
86 $ '(P)lasma (D)iameter: x.xx cm$ ' 12
87 $ '(T)ime ((l)m)sec$ ' 13
88 $ '(F)requency (C)utoff = xx.x$ ' 14
89 $ '(T)heoretical (P)hase ((D)egrees)$ ' 15
90 $ '(C)hannel at (M)xx$ ' 16
91
92 * lblarr determines the sequence of labels that will appear
93 * on various types of plots output by this code.
94 * 1 - Real part of Fourier Transform of timing waveform
95 * 2 - Imaginary part of Fourier Transform of timing waveform
96 * 3 - Phase shifter calibration
97 * 4 - Density profile
98 * 5 - Dielectric rod calibration
99 data lblarr/10,1,1,3,4,1,1,1,1,
100 $ 10,1,1,3,5,1,1,1,1,
101 $ 6,7,9,11,14,1,1,1,1,
102 $ 10,13,8,12,11,16,1,1,1,
103 $ 6,15,9,11,14,1,1,1,1/
104 namelist/input/job,shotlog,shotbeg,shotend,maxfn,timchan,
105 $ timmin,timax,diam,acc,dfpred,midplane,freqmult
106 call link('unit1=tty,unit2=uwdnsin,unit5=(uwd,create,text)')
107 10 read (2,input)
108 call tolower(job,40)

```

```

109     if (job.eq.'end') goto 800
110     if (shotlog.eq.0) then
111         shotlog=shotbeg
112         call zmovechr(shotlog,5,'log',0,3)
113     endif
114     call logopn(shotlog)
115     calind=0
116     shot=shotbeg
117     encode(29,1000,label(1,12)) diam
118 *     36-15 + 4 + 4 = 29      (Count characters for encode statement)
119 1000 format ('(P)lasma (D)iameter: ',f4.2,' cm$')
120     call zmovechr(phschan,5,midplane,0,2)
121     call zmovechr(label(1,16),16,midplane,0,2)
122     signeps=-1.
123     if (job.eq.'rods') signeps=1.
124 *     Get reference shot.
125 100 write (1,1100) shot
126 1100 format ('Processing file ',a8)
127     if ((job.eq.'pltdns').or.(job.eq.'rods')) then
128 *     Calculate reference phase.
129     ier=ixtract(shot,phschan,'REFSHOT','ALPHA',refshot,len)
130     if (refshot.eq.0) then
131         call mmxerr(221,'REFSHOT',8)
132         goto 910
133     endif
134     call tolower(refshot,8)
135     if (refshot.ne.prvref) then
136 *     Open reference shot and read digitizer data.
137         call open(4,refshot,0,len)
138         if (len.eq.-1) goto 900
139         call readdat(timchan,time,1)
140         ier=icompare(timchan,phschan)
141         if (ier.ne.0) then
142             call mmxerr(ier,0,0,'icompare')
143             call exit
144         endif
145         call getsups
146         call readdat(phschan,sumarm,0)
147         call calcphs(1)
148         call close(4)
149         numswp2=(numswp+1)/2
150         refphase=ssum(numswp,phase,1)/float(numswp)
151         write (1,1111) refshot,refphase
152 1111 format ('refshot = ',a8,' refphase = ',e11.4)
153         call getfreq
154         prvref=refshot
155     endif
156     endif
157 *     Open plasma shot and read digitizer data.
158     call tolower(shot,8)
159     call open(4,shot,0,len)
160     if (len.eq.-1) goto 400
161     call readdat(timchan,time,1)
162     if (found.eq.0) goto 390

```

```

163      ier=icompare(timchan,phschan)
164      if (ier.ne.0) then
165          call mxerr(ier,0,0,'icompare')
166          call exit
167      endif
168      call getsups
169      call readdat(phschan,sumarm,0)
170      if (found.eq.0) goto 390
171      if ((job.eq.'cal').or.(job.eq.'rods')) then
172          calind=calind+1
173          if (calind.eq.1) call getfreq
174          call calcphs(0)
175          avephs=ssum(numswp,phase,1)/float(numswp)
176          write (1,1112) shot,avephs
177      1112      format ('shot =',a8,' avephs = ',e11.4)
178          if (job.eq.'cal') then
179              ier=ixtract(shot,phschan,'PSHIFT','REAL',
180              $          caldat(1,calind))
181              caldat(2,calind)=avephs*rad2deg
182          else
183              ier=ixtract(shot,phschan,'PATHCM','REAL',pathcm)
184              ier=ixtract(shot,phschan,'EPSILON','REAL',epsilon)
185      *      Calculated expected phase shift, based on epsilon & pathcm.
186              caldat(1,calind)=(sqrt(epsilon)-1)**k0*pathcm*rad2deg
187              caldat(2,calind)=avephs*rad2deg
188          endif
189      else
190          call calcdns
191      endif
192      390      call close(4)
193      400      call incfil(shot)
194          call tolower(shot,8)
195          if (shot.le.shotend) goto 100
196          if (job.eq.'rods') then
197              mrkflg=-1
198              labnum=5
199          endif
200          if (job.eq.'cal') then
201              mrkflg=1
202              labnum=3
203          endif
204          if ((job.eq.'rods').or.(job.eq.'cal')) then
205              shot=shotbeg
206              plttyp=3
207              call wrtlab(labnum,calind,'DETERMIN')
208              write (5,5000) (caldat(1,i),i=1,calind)
209              write (5,5000) (caldat(2,i),i=1,calind)
210      5000      format (6e11.4)
211          endif
212          goto 10
213      800      write (1,8000)
214      8000      format ('Normal exit.')
215          call exit
216      900      write (1,9000)

```

```

217 9000 format ('No reference shot found.')
218      goto 990
219 910  call mmxerr(ier)
220 990  write (1,9900)
221 9900 format ('Abnormal exit.')
222      end
223
224 *-----
225 *                GET TIMING INFORMATION
226 *      This subroutine gets the timing information from the
227 *      channel labelled 'BMMTIME'. The real array 'supbeg' is filled in
228 *      with the beginning points for each sweep.
229 * METHOD:
230 * (1) - Fourier transform the timing waveform.
231 * (2) - Extract the DC level and the period of the fundamental.
232 *      Take the length of the ramp as 1/3 of the fundamental period.
233 * (3) - Fitting ramps over two full periods, find the fit which gives the
234 *      greatest positive slope. Take the reference positions as
235 *      the crossing of these ramps with the DC level.
236 * (4) - Continue fitting ramps, advancing 1 cycle for each fit.
237 * (5) - Interpolate between cycles to get even numbered sweeps.
238 *-----
239      subroutine getsups
240      use vars
241      if (popcnt(nmpnts).ne.1) then
242          call mmxerr(999,'Nmpnts not a power of 2.$')
243          call exit
244      endif
245 *      Step 1: Fourier Transform the timing waveform.
246      do 100 i=1,nmpnts
247 100  time(i)=itime(i)
248      call fftrc(time,nmpnts,fourdat,iwk,wk)
249      nd2p1=nmpnts/2+1
250      xmin=0.
251      xmax=1/(2.*smpint)
252      if (plotsup.eq.1) then
253          call wrtlab(1,nd2p1,'AUTO')
254          write (5,1000) (real(fourdat(i)),i=1,nd2p1) .
255 1000  format (6e11.4)
256          frmadv=0
257          call wrtlab(2,nd2p1,'AUTO')
258          write (5,1000) (aimag(fourdat(i)),i=1,nd2p1)
259      endif
260 *      Step 2: Extract DC level, period, and ramp length.
261      dclevel=real(fourdat(1))/nmpnts
262      amax=cabs(fourdat(2))
263      ipos=2
264      do 200 i=3,nmpnts/2+1
265          amag=cabs(fourdat(i))
266          if (amag.gt.amax) then
267              amax=amag
268              freqpos=i
269          endif
270 200  continue

```

```

271     period=nmpnts/(freqpos-1)
272     if (ipos.gt.maxswp) then
273         call mxerr(999,'Too many sweeps$')
274         call exit
275     endif
276     lenramp=period/3
277 *     Step 3: Fit ramps over 2 cycles.
278     rampmax=0.
279     do 210 i=1,nmpnts
280 210     wk(i)=i
281     do 300 i=1,period*2
282     call linfit
283     $ (wk(i),time(i),sigmay,lenramp,0,a,sigmaa,b,sigmab,r)
284     if (b.gt.rampmax) then
285         xint=a
286         rampmax=b
287     endif
288 300     continue
289     supbeg(1)=(dclevel-xint)/rampmax
290     numsup=1
291 *     Step 4: Continue fitting ramps, advancing 1 cycle for each fit.
292 400     i=int(supbeg(numsup))+period
293     if (i+period.gt.nmpnts) goto 500
294     numsup=numsup+2
295     call linfit
296     $ (wk(i),time(i),sigmay,lenramp,0,a,sigmaa,b,sigmab,r)
297     supbeg(numsup)=(dclevel-a)/b
298     goto 400
299 *     Step 5: Fill in the even numbered sweeps by interpolation.
300 500     do 510 i=2,numsup,2
301 510     supbeg(i)=(supbeg(i+1)+supbeg(i-1))/2.
302     period=nmpnts/(2*(freqpos-1))
303     return
304
305 900     write (1,9000)
306 9000     format (/5x,'Error on timing waveform.')
```

```

307     errflg=1
308     pause
309     return
310     end
311
312 *-----
313 *     CALCULATE DENSITY
314 *-----
```

```

315     subroutine calcdns
316     use vars
317     call calcphs(0)
318 *     Convert phase differences into plasma density results.
319     do 100 i=1,numsup
320     deltaw=c*(k0-phase(i)/diam)
321 100     phase(i)=scfctr*(omsqr-deltaw*deltaw)
322     call wrt1ab(4,numsup,'DETERMIN')
323     do 200 i=1,numsup
324 200     supbeg(i)=supbeg(i)*smpint-pttime
```

```

325     write (5,2000) (subbeg(i),i=1,numswp)
326     write (5,2000) (phase(i),i=1,numswp)
327 2000 format (6e11.4)
328     return
329     end
330
331 *-----
332 *           READ DIGITIZER DATA FROM CHANNEL chnnam INTO array
333 *-----
334     subroutine readdat(chnnam,array,hdrflag)
335     use header
336     use vars
337     integer chnnam,array(maxpnt),hdrflag
338     found=1
339     if (hdrflag.eq.0) goto 100
340     read (4,1000) datim,filnam,filext,name,
341     $ slot,smcod,reclen,pretrg,devtyp,crate,reserv
342 1000 format (6i2,/,a8,a3,/,4(8a8,/,),7(32i2,/,),32i2)
343 100  ier=iclcprm(chnnam,0,smpint,nmpnts,pttime,nmpt)
344     if (ier.ne.0) goto 910
345     ier=ipsnfile(4,chnnam,0)
346     if (ier.ne.0) goto 910
347     read (4,1010) (array(j),j=1,nmpnts)
348 1010 format (16i4)
349     return
350 *           MMXERR exit
351 910  if (ier.eq.302) then
352     found=0
353     return
354 endif
355 call mmxerr(ier)
356 call exit
357 end
358
359 *-----
360 *           CALCULATE THE PHASES FOR THE SWEEPS
361 *-----
362     subroutine calcphs(refflag)
363     use vars
364     integer refflag
365     real fitarr(3),fitdrv(3)
366     external calcx2
367     color='black'
368     ier=ixtract(shot,phschan,'COLOR','ALPHA',color,len)
369     call tolower(color,8)
370     if (color.eq.'black') then
371         do 110 i=1,nmpnts
372 110    sumarm(i)=256.-float(isumarm(i))
373     else
374         do 120 i=1,nmpnts
375 120    sumarm(i)=isumarm(i)
376     endif
377 *           INITIALIZE GUESSES FOR PHASE FITTING ROUTINE
378 *           fitarr(1)=0.

```

```

379      fitarr(2)=twopi/(period*smpint)
380      fitarr(3)=ssum(nmpnts,sumarm,1)/nmpnts
381      x2=fitarr(2)
382      x3=fitarr(3)
383      nn=3
384      call filter
385      do 200 i=1,numswp
386      ibegin=supbeg(i)
387      iend=ibegin+period-1
388      numeval=0
389      *      Invoke IMSL minimization routine to minimize chi-squared.
390      call zxcgr(calcx2,nn,acc,maxfn,dfpred,fitarr,fitdrv,chisq,wk,ier)
391      if (ier.eq.33) call exit
392      if (ier.ne.0) then
393      2000      write (1,2000) i,ibegin
394      format('i,ibegin',2i5)
395      fitarr(2)=x2
396      fitarr(3)=x3
397      phase(i)=phase(i-1)
398      else
399      phase(i)=fitarr(1)+fitarr(2)*(supbeg(i)-float(ibegin))*smpint
400      endif
401      if (a.lt.0) phase(i)=phase(i)+pi
402      200      continue
403      if (refflag.eq.1) return
404      *      Force continuity of phase, beginning with the last sweep.
405      * No sweep is allowed to be more than pi away from the previous sweep.
406      phase(numswp)=signeps*amod(refphase-phase(numswp),twopi)
407      if (phase(numswp).lt.-pi) phase(numswp)=phase(numswp)+twopi
408      do 390 i=numswp-1,1,-1
409      phase(i)=signeps*(refphase-phase(i))
410      310      delphase=phase(i)-phase(i+1)
411      if (delphase.gt.pi) then
412      phase(i)=phase(i)-twopi
413      goto 310
414      endif
415      if (delphase.lt.-pi) then
416      phase(i)=phase(i)+twopi
417      goto 310
418      endif
419      390      continue
420      return
421      end
422
423      *-----
424      *      COMPUTE CHI-SQUARED AND ITS DERIVATIVES
425      *      Chi-squared is the sum of the differences squared
426      *      between the data and a sine wave of the form
427      *      A sin(wt + phi) + D
428      *      where A is the amplitude, D is the DC offset,
429      *      w is the frequency, and phi is the phase.
430      *      By minimizing chi-squared, we obtain a best fit for phi.
431      *-----
432      subroutine calcx2(numret,fitarr,chisq,fitdrv)

```



```

433 use vars
434 real fitarr(3), fitdrv(3), chisq
435 * Fitarr and fitdrv provide storage for the following three
436 * fitting variables and the derivative of chi-squared with
437 * respect to these variables:
438 *     fitarr(1), fitdrv(1) --- Phi, d(X**2)/d(Phi)
439 *     fitarr(2), fitdrv(2) --- Omega, d(X**2)/d(Omega)
440 *     fitarr(3), fitdrv(3) --- D, d(X**2)/d(D)
441 * Count the number of evaluations required.
442 numeval=numeval+1
443 phi=amod(fitarr(1),twopi)
444 * The amplitude A can be computed directly from the data
445 * and the guesses for the other variables.
446 anum=0.
447 aden=0.
448 do 100 i=ibegin,iend
449     thetai=fitarr(2)*(i-ibegin)*smpint
450     sinphi=sin(thetai+phi)
451     anum=anum+(sumarm(i)-fitarr(3))*sinphi
452 100 aden=aden+sinphi*sinphi
453     a=anum/aden
454 *     INITIALIZE CHISQ AND THE DERIVATIVES.
455     chisq=0.
456     fitdrv(1)=0.
457     fitdrv(2)=0.
458     fitdrv(3)=0.
459     do 200 i=ibegin,iend
460 *         COMPUTE INTERMEDIATE RESULTS
461         thetai=fitarr(2)*(i-ibegin)*smpint
462         sinphi=sin(thetai+phi)
463         cosphi=cos(thetai+phi)
464         delta=sumarm(i)-a*sinphi-fitarr(3)
465 *         COMPUTE DERIVATIVES
466         fitdrv(1)=fitdrv(1)+delta*cosphi
467         fitdrv(2)=fitdrv(2)+delta*float(i-ibegin)*cosphi
468         fitdrv(3)=fitdrv(3)+delta
469 *         COMPUTE CHISQ
470 200 chisq=chisq+delta*delta
471 *         PUT IN THE FINAL FACTORS
472         fitdrv(1)=-2.*a*fitdrv(1)
473         fitdrv(2)=-2.*a*smpint*fitdrv(2)
474         fitdrv(3)=-2.*fitdrv(3)
475     return
476 end
477
478 *-----
479 * FILTER PHASE DATA
480 * The phase data is Fourier transformed. The components
481 * with frequency higher than freqmult times the fundamental
482 * of the timing waveform frequency are set to zero, and the
483 * inverse transform is then performed.
484 *-----
485     subroutine filter
486     use vars

```

```

487      call rfft2(1,1,nmpnts,sumarm,wk,fourdat)
488      call rfft2(0,1,nmpnts,sumarm,wk,fourdat)
489      do 100 i=freqmult*freqpos,nd2p1
490 100    fourdat(i)=cplx(0.,0.)
491      call crfft2(1,-1,nmpnts,fourdat,wk,sumarm)
492      call crfft2(0,-1,nmpnts,fourdat,wk,sumarm)
493      call sscal(nmpnts,1./(2.*float(nmpnts)),sumarm,1)
494      return
495      end
496
497  *-----
498  *           EXTRACT & CALCULATE UWAVE FREQUENCY-DEPENDENT VALUES
499  *-----
500      subroutine getfreq
501      use vars
502      ier=ixtract(shot,phschan,'CENFREQ','REAL',cenfreq)
503      encode(33,1000,label(1,11)) cenfreq
504  *      38-15+4+6=33
505 1000    format ('(C)enter (F)requency = ',f4.1,'(GH)z$')
506      encode(28,1010,label(1,14)) freqmult/2.
507  *      38-15+4+1=28
508 1010    format ('(F)requency (C)utoff = ',f4.1,'$')
509  *      Compute the vacuum wavenumber, cgs units.
510      omega0=twopi*cenfreq*1.e9
511      k0=omega0/c
512      omsqr=omega0*omega0
513      return
514      end
515
516  *-----
517  *           WRITE MAXPLT1 LABELS
518  * This subroutine writes labels to the output file in the standard
519  * form for pre-processed data.
520  *-----
521      subroutine wrtlab(labnum,numplt,ixtype)
522      use vars
523      write (5,1000) shot
524 1000    format (a8)
525      do 100 i=1,3
526 100    write (5,1010) (label(j,1blarr(i,labnum)),j=1,5)
527 1010    format (5a8)
528      write (5,1020) numplt,ixtype,xmin,xmax,0.,0.
529 1020    format (i8,a8,2e12.5,'DETERMIN',2e12.5)
530      write (5,1030) wndwadv,plttyp,mrkflg,ism,tmflg,frmadv
531 1030    format (6i2)
532      do 200 i=4,9
533 200    write (5,1010) (label(j,1blarr(i,labnum)),j=1,5)
534  *      4 RESERVED LINES
535      write (5,1110)
536 1110    format (///)
537      return
538      end

```