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by

M. A. Lieberman

Memorandum No. UCB/ERL M87/71

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ABSTRACT

A self-consistent solution for the dynamics of a high voltage, capacitive r.f. sheath driven by a sinusoidal current source is obtained, under the assumptions of time-independent, collisionless ion motion and inertialess electrons. Some results are: (1) the ion sheath thickness s_m is $\sqrt{50/27}$ larger than a Child's law sheath for the same d.c. voltage and ion current density; (2) the sheath capacitance per unit area for the fundamental voltage harmonic is $2.452 \ \epsilon_0/s_m$, where ϵ_0 is the free space permittivity; (3) the ratio of the d.c. to the peak value of the oscillating voltage is 54/125; (4) the second and third voltage harmonics are respectively 12.3% and 4.2% of the fundamental; and (5) the conductance per unit area for stochastic heating by the oscillating sheath is $0.372 (\lambda_D/s_m)^{2/3} (e^2 n_0/mu_e)$, where n_0 is the ion density and λ_D is the Debye length at the plasma-sheath edge, and $u_e = (8eT_e/\pi m)^{1/2}$ is the mean electron speed.

I. INTRODUCTION

Low pressure capacitive, radio frequency (r.f.) discharges are widely used for materials processing in the electronics industry. Typical discharge parameters are pressure $p \approx 10\text{-}300$ mtorr, r.f. frequency $\omega/2\pi \approx 13.56$ MHz, and r.f. voltage $V_{\text{rf}} \approx 50\text{-}500$ volts. Almost all the applied voltage is dropped across capacitive r.f. sheaths at the discharge electrodes. In order to develop adequate models for these discharges, it is important to determine the dynamics and current-voltage characteristics of the sheaths. The sheath dynamics are strongly nonlinear. Only approximate models of the dynamics have appeared in the literature. Godyak and collaborators developed a homogeneous model of the sheath. Other authors used a Child-Langmuir law for the ions within the sheath to model the sheath dynamics. An approximate model of the effect of the time-average electron density on the ion dynamics within the sheath was also developed. The nonlinear ion and electron dynamics are not treated self-consistently within these models. While numerical solutions of the self-consistent dynamics can be obtained, they are not particularly illuminating.

In this work we give an analytical, self-consistent solution for the collisionless r.f. sheath driven by a sinusoidal, r.f. current source. We obtain expressions for the time-average ion and electron densities, electric field and potential within the sheath. We also obtain the nonlinear oscillation motion of the electron sheath boundary and the nonlinear oscillating sheath voltage. Finally, we determine the effective sheath capacitance and conductance. The voltages for a single sheath and for a symmetrically driven discharge having two sheaths 180° out of phase are given in a recent report by Godyak⁸.

The assumptions of the analysis are:

- (1) The ion motion within the sheath is collisionless. The ions respond only to the time-average electric field. The ion sheath-plasma boundary is stationary, and ions enter the sheath with a Bohm presheath velocity $u_B = (eT_e/M)^{1/2}$, where e is the ion charge, T_e is the electron temperature (in volts) and M is the ion mass.
- (2) The electrons are inertialess and respond to the instantaneous electric field. The electron Debye length λ_D everywhere within the sheath is assumed to be much smaller than the ion sheath thickness s_m . This holds provided $V_{\rm rf} > T_e$. Since $\lambda_D \ll s_m$, the electron density falls sharply (within

a few Debye lengths) from $n_e \approx n_i$ at the plasma side of the electron sheath boundary to $n_e \approx 0$ at the electrode side. The electron sheath oscillates between a maximum thickness of s_m and a minimum thickness of a few Debye lengths from the electrode surface.

II. BASIC EQUATIONS

The structure of the r.f. sheath is shown in Fig. 1. Ions crossing the ion sheath boundary at x = 0 accelerate within the sheath and strike the electrode with 50-500 volt energies. Since the ion flux $n_i u_i$ is conserved and u_i increases as ions transit the sheath, n_i drops. This is sketched as the heavy solid line in Fig. 1. The ion particle and energy conservation equations are respectively

$$n_i u_i = n_0 u_B \tag{1}$$

$$\frac{1}{2} M u_i^2 = \frac{1}{2} M u_B^2 - e \overline{\Phi} \tag{2}$$

where n_0 is the plasma density at x=0 and $\overline{\Phi}$ is the time-average potential within the sheath; $\overline{\Phi}$, n_i and u_i are functions of x. The Maxwell equation for the instantaneous electric field E(x,t) within the sheath is

$$\frac{\partial E}{\partial x} = \frac{e}{\epsilon_0} n_i(x), \quad s(t) < x;$$

$$= 0, \quad s(t) > x.$$
(3)

Here, s(t) is the distance from the ion sheath boundary at x = 0 to the electron sheath edge; the electron sheath thickness is $s_m - s(t)$. The instantaneous potential $\Phi(x,t)$ is determined from the equation

$$\frac{\partial \Phi}{\partial x} = -E. \tag{4}$$

Time-averaging (3) and (4) over an r.f. cycle, we obtain the equations for the time-average electric field $\overline{E}(x)$ and potential $\overline{\Phi}(x)$:

$$\frac{d\overline{E}}{dx} = \frac{e}{\varepsilon_0} (n_i(x) - \overline{n}_e(x)), \tag{5}$$

$$\frac{d\overline{\Phi}}{dr} = -\overline{E}\,,\tag{6}$$

where $\overline{n}_{\epsilon}(x)$ is the time-average electron density within the sheath. We can determine \overline{E} , $\overline{\Phi}$ and \overline{n}_{ϵ} from s(t). For example, we note that $n_{\epsilon}(x,t) = 0$ during the part of the r.f. cycle when s(t) < x; otherwise, $n_{\epsilon}(x,t) = n_{\epsilon}(x)$. We therefore have

$$\overline{n}_{\epsilon}(x) = \left[1 - \frac{2\phi}{2\pi}\right] n_i(x) \tag{7}$$

where $2\phi(x)$ is the phase interval during which s(t) < x. Qualitatively, we sketch \overline{n}_e as the dashed line in Fig. 1. For x near zero, s(t) < x during only a small part of the r.f. cycle; therefore $2\phi \approx 0$ and $\overline{n}_e \approx n_i(x)$. For x near s_m , s(t) < x during most of the r.f. cycle; therefore $2\phi \approx 2\pi$ and $\overline{n}_e \approx 0$.

To determine the time averages quantitatively, we assume that a sinusoidal r.f. current density passes through the sheath:

$$J_{\pi}(t) = -\tilde{J}_0 \sin \omega t. \tag{8}$$

Equating this displacement current to the conduction current at the electron sheath boundary, we obtain the equation for the electron sheath motion:

$$-en_i(s)\frac{ds}{dt} = -\tilde{J}_0 \sin \omega t. \tag{9}$$

III. SOLUTION

We integrate (3) to obtain

$$E = \frac{e}{\varepsilon_0} \int_{s}^{x} n_i(\zeta) d\zeta, \quad s(t) < x,$$

$$= 0, \quad s(t) > x.$$
(10)

We integrate (9) to obtain

$$\frac{e}{\varepsilon_0} \int_0^s n_i(\zeta) d\zeta = \frac{\tilde{J}_0}{\varepsilon_0 \omega} (1 - \cos \omega t), \tag{11}$$

where we have chosen the integration constant so that s(t) = 0 at $\omega t = 0$. From (10) and (11), we

obtain

$$E(x,\omega t) = \frac{e}{\varepsilon_0} \int_0^x n_i(\zeta) d\zeta - \frac{\tilde{J}_0}{\varepsilon_0 \omega} (1 - \cos \omega t), \quad s(t) < x;$$

$$= 0, \quad s(t) > x. \tag{12}$$

We must time average (12) to obtain \vec{E} . Figure 2 shows a sketch of s(t) vs ωt . We note that s(t) = x for $\omega T = \pm \phi$, and that s(t) < x for $-\phi < \omega t < \phi$. The time average is then

$$\overline{E} = \frac{1}{2\pi} \int_{-\infty}^{\phi} E(x, \omega t) d(\omega t). \tag{13}$$

Inserting (12) into (13), we find

$$\overline{E}(x) = \frac{e}{\epsilon_0} \frac{\phi}{\pi} \int_0^x n_i(\zeta) d\zeta + \frac{\overline{J_0}}{\epsilon_0 \omega \pi} (\sin \phi - \phi). \tag{14}$$

Inserting (11) with s = x, $\omega t = \phi$ into (14) we obtain

$$\overline{E}(x) = \frac{\widetilde{J}_0}{\varepsilon_0 \omega \pi} (\sin \phi - \phi \cos \phi). \tag{15}$$

Using (6),

$$\frac{d\overline{\Phi}}{dx} = -\frac{\widetilde{J}_0}{\varepsilon_0 \omega \pi} (\sin \phi - \phi \cos \phi). \tag{16}$$

Solving (1) and (2) for n_i , we obtain

$$n_i = n_0 (1 - 2\overline{\Phi}/T_*)^{-1/2}. \tag{17}$$

Inserting (17) into (9) with s = x, $\omega t = \phi$, we obtain

$$\frac{d\phi}{dx} = \frac{(1 - 2\overline{\Phi}/T_e)^{-1/2}}{\tilde{s}_0 \sin \phi} \,, \tag{18}$$

where

$$\tilde{s}_0 = \tilde{J}_0/(e \, \omega n_0) \tag{19}$$

is an effective oscillation amplitude.

Equations (16) and (18) are the fundamental equations of the self-consistent r.f. sheath. They are easily solved. Dividing (16) by (18) and integrating, we obtain

$$(1 - 2\overline{\Phi}/T_e)^{1/2} = 1 - H\left[\frac{3}{8}\sin 2\phi - \frac{1}{4}\phi\cos 2\phi - \frac{1}{2}\phi\right],\tag{20}$$

where

$$H = \frac{\tilde{J}_0^2}{\pi e \ \varepsilon_0 \ T_s \ \omega^2 \ n_0} = \frac{1}{\pi} \ \frac{\tilde{s}_0^2}{\lambda_0^2} \tag{21}$$

and $\lambda_D = (\epsilon_0 T_e/en_0)^{1/2}$ is the electron Debye length at x = 0. In (20), we have used the boundary condition that $\overline{\Phi} = 0$ at $\phi = 0$ (x = 0). Inserting (20) into (18) and integrating again, we obtain

$$\frac{x}{\overline{s}_0} = (1 - \cos \phi) + \frac{H}{8} \left[\frac{3}{2} \sin \phi + \frac{11}{18} \sin 3\phi - 3\phi \cos \phi - \frac{1}{3} \phi \cos 3\phi \right], \tag{22}$$

where again we have chosen $\phi = 0$ at x = 0. Setting x = s(t) and $\phi = \omega t$ in (22), we obtain the non-linear oscillation motion of the electron sheath, which is shown in Fig. 3 for various values of H. The time-average electric field is given by (15) with $\phi(x)$ obtained from (22). $\overline{E}(x)$ is plotted in Fig. 4. The ion density $n_i(x)$ is found using (17) and (20):

$$\frac{n_i}{n_0} = \left[1 - H\left[\frac{3}{8}\sin 2\phi - \frac{1}{4}\phi\cos 2\phi - \frac{1}{2}\phi\right]\right]^{-1}.$$
 (23)

This is shown as the solid line in Fig. 5 for $H \gg 1$. Differentiating (15) and using (18) and (20), we obtain the net charge density

$$\frac{\rho}{en_0} = \frac{\phi}{\pi} \frac{n_i}{n_0} \tag{24}$$

and the time-average electron density [see also (7)]

$$\frac{\overline{n}_e}{n_0} = \left[1 - \frac{\phi}{\pi}\right] \frac{n_i}{n_0}. \tag{25}$$

We give a plot of ρ versus x as the dashed line in Fig. 5. The time-average potential is found from (20):

$$\frac{\overline{\Phi}}{T_e} = \frac{1}{2} - \frac{1}{2} \left[1 - H \left[\frac{3}{8} \sin 2\phi - \frac{1}{4} \phi \cos 2\phi - \frac{1}{2} \phi \right] \right]^2. \tag{26}$$

This is shown in Fig. 6 for $H \gg 1$. For $H \gg 1$, which is the usual case for a capacitive r.f. discharge, the total d.c. voltage across the sheath is related to the d.c. ion current and the ion sheath thickness by an expression that has the form of Child's law:

$$J_i = K \ \epsilon_0 \left[\frac{2e}{M} \right]^{1/2} \frac{\overline{V}^{3/2}}{s_m^2},\tag{27}$$

where $J_i = en_0u_B$ is the d.c. ion current and $\overline{V} = -\overline{\Phi}(\phi = \pi)$ is the voltage across the sheath. From (22) and (26), $s_m/\overline{s}_0 = 5\pi H/12$ and $\overline{V}/T_e = 9\pi^2 H^2/32$. Using (21) for H, we obtain $K = 200/243 \approx 0.82$. In contrast, for Child's law, $K = 4/9 \approx 0.44$. For a fixed current density and sheath voltage, the self-consistent r.f. ion sheath thickness s_m is larger than the Child's law sheath thickness by the factor $\sqrt{50/27} \approx 1.36$. This increase is produced by the reduction in space charge within the sheath due to the nonzero, time-average electron density.

IV. SHEATH CAPACITANCE

The instantaneous electric field within the sheath is given by (12). Substituting (11) with s = x and $\omega t = \phi$ into (12), we obtain

$$E(x,t) = \frac{\tilde{J}_0}{\varepsilon_0 \omega} (\cos \omega t - \cos \phi), \quad s(t) < x,$$

$$= 0, \quad s(t) > x.$$
(28)

Integrating with respect to x, we obtain the instantaneous voltage from the plasma to the electrode across the sheath

$$V(t) = \int_{a}^{a_{m}} E(x,t)dx. \tag{29}$$

Changing variables from x to ϕ and using (28), we obtain

$$V(t) = \frac{\tilde{J}_0}{\varepsilon_0 \omega} \int_{\omega}^{\pi} (\cos \omega t - \cos \phi) \frac{dx}{d\phi} d\phi. \tag{30}$$

Using (18) and (20) to evaluate $dx/d\phi$ in (30) and integrating, we obtain, for $0 < \omega t < \pi$,

$$V(t) = \frac{\pi H}{4} T_e \left[4 \cos \omega t + \cos 2\omega t + 3 + H \left[\frac{15}{16} \pi + \frac{5}{3} \pi \cos \omega t + \frac{3}{8} \omega t + \frac{1}{3} \omega t \cos 2\omega t + \frac{1}{48} \omega t \cos 4\omega t - \frac{5}{18} \sin 2\omega t - \frac{25}{576} \sin 4\omega t \right] \right].$$
 (31)

V(t) is an even, periodic function of ωt with period 2π . For $-\pi < \omega t < 0$, we find that V(t) is given by the right hand side of (31) with ωt replaced by $-\omega t$. A plot of V versus ωt is given for $H \gg 1$ in Fig. 7. The peak value of V(t) occurs at $\omega t = 0$:

$$V(0) = \frac{\pi}{4} HT_e \left[8 + H \left[\frac{125\pi}{48} \right] \right]. \tag{32}$$

Expanding V(t) in a Fourier series

$$V(t) = \sum_{k=0}^{\infty} V_k \cos(k\omega t),$$

we obtain

$$V_{0} = \overline{V} = \frac{\pi}{4} H T_{e} \left[3 + H \frac{9\pi}{8} \right]$$

$$V_{1} = \frac{1}{2} H T_{e} \left[2 + H \left[\frac{5\pi}{6} - \frac{1024}{675\pi} \right] \right]$$

$$V_{2} = \frac{1}{2} H T_{e} \left[\frac{1}{2} + H \frac{\pi}{12} \right]$$

$$V_{3} = -\frac{1}{2} H T_{e} \left[H \frac{1024}{3675\pi} \right].$$
(33)

For $H \gg 1$, the second harmonic is 12.3% of the fundamental, and the third harmonic is 4.2% of the fundamental. The ratio of the d.c. value to the peak value of the voltage is $\overline{V}/V(0) = 54/125$. Defining the effective capacitance per unit area from the relation

$$-\widetilde{J}_0 \sin \omega t = C_s' \frac{d}{dt} (V_1 \cos \omega t),$$

we obtain $C_s' \approx 2.45 \ \epsilon_0/s_m$, where $s_m = 5\pi \bar{s}_0 H/12$ is the ion sheath thickness.

For a symmetrically-driven, parallel plate r.f. discharge (equal area plates) there are two r.f. sheaths in series. We let $V_{ap}(t)$ be the voltage on plate a with respect to the plasma and $V_{bp}(t)$ be the voltage on plate b with respect to the plasma. By symmetry, $V_{bp}(\omega t) = V_{ap}(\omega t - \pi)$. The series voltage across both sheaths is $V_{ab} = V_{ap} - V_{bp}$. Using (31), we obtain, for $0 < \omega t < \pi$,

$$V_{ab} = -\frac{\pi}{4} H T_e \left\{ 8\cos \omega t + H \left[\frac{10}{3} \pi \cos \omega t - \frac{5}{9} \sin 2\omega t - \frac{25}{288} \sin 4\omega t + (2\omega t - \pi) \left[\frac{3}{8} + \frac{1}{3} \cos 2\omega t + \frac{1}{48} \cos 4\omega t \right] \right] \right\}$$
(34)

The peak-to-peak value of V_{ab} is 2V(0), with V(0) given in (32). Expanding V_{ab} in a Fourier series, we obtain

$$V_{ab1} = -\frac{\pi}{4} HT_{e} \left[8 + H \left[\frac{10\pi}{3} - \frac{4096}{675\pi} \right] \right]$$

$$V_{ab3} = \frac{\pi}{4} HT_{e} \left[H \frac{4096}{3675\pi} \right].$$
(35)

All even harmonics, including the d.c. value, are zero, as expected for a symmetrically-driven discharge. The third harmonic is 4.15% of the fundamental, and the higher harmonics are much smaller. Thus, to a very good approximation, a sinusoidal sheath current leads to a linear response; i.e., a sinusoidal voltage across the discharge. Defining the effective capacitance per unit area of the series combination of the two sheaths from the relation

$$J_{ab}(t) = C_{sym}' \frac{d}{dt} V_{ab1}(t),$$

we obtain $C_{sym}' \approx 1.19 \ \epsilon_0 / s_m$.

V. SHEATH CONDUCTANCE

The r.f. conductance of the sheath is due to stochastic heating of the electrons by the oscillating sheath. An electron that is reflected from a moving sheath experiences a change of energy. If the sheath moves toward the electron, then the energy increases; if the sheath moves away, then the energy decreases. For an oscillating sheath, some electrons gain energy and others lose energy. However, averaging over an oscillation period, the net effect is an energy gain, corresponding to a dissipation in

the sheath. This mechanism also has been called "Fermi acceleration" 9-12 or "wave riding".4-5,14

If u is the parallel velocity (along z) of an incident electron at the electron sheath edge s(t) and $u_x(t)$ is the sheath velocity, then the reflected electron has a velocity $u_r = -u + 2u_x$. We let $f_x(u,t)$ be the electron velocity distribution at s, normalized so that

$$\int_{-\infty}^{\infty} f_s(u,t) du = n_i(s(t)) = n_s(t) .$$

The electron flux Γ_s incident on the sheath is

$$\Gamma_s = \int_0^\infty u f_s(u,t) \, du \ . \tag{36}$$

To determine the power transferred to the electrons, we note that in a time interval dt and for a speed interval du, the number of electrons per unit area that collide with the sheath is given by $(u - u_s) f_s(u,t) du dt$. This results in a power transfer dS per unit area

$$dS = \frac{1}{2} m(u_r^2 - u^2)(u - u_s) f_s(u, t) du.$$
 (37)

Using $u_r = -u + 2u_s$ and integrating over all incident velocities, we obtain

$$S = -2m \int_{u_{s}}^{\infty} u_{s}(u - u_{s})^{2} f_{s}(u, t) du .$$
 (38)

To determine f_s , we first note that the sheath is oscillating because the electrons in the plasma are oscillating in response to a time-varying electric field. If the velocity distribution function within the plasma in the absence of the electric field is a Maxwellian $g_0(u)$, then the distribution within the plasma is $f_0(u,t) = g_0(u-u_0)$, where $u_0(t)$ is the time-varying oscillation velocity of the plasma electrons. Because $n_s < n_0$, not all electrons having u > 0 at x = 0 collide with the sheath at s. Many electrons are reflected within the region 0 < x < s where the ion density drops from n_0 to n_s . This reflection is produced by a weak electric field whose value is such that $n_s = n_i$ at all times. The transformation of f_0 across this region to obtain f_s is complicated. However, the essential features to determine the stochastic heating are seen if we approximate

$$f_s = \frac{n_s}{n_0} g_0(u - u_0), \quad u > 0.$$
 (39)

Inserting (39) into (38) and transforming to a new variable $u' = u - u_0$, we obtain

$$S(t) = -2m \int_{u_s-u_0}^{\infty} u_s n_s [u'^2 - 2u'(u_s-u_0) + (u_s-u_0)^2] g_0(u') du'.$$
 (40)

Assuming that $|u_x - u_0|$ is much less than the characteristic electron thermal velocity, we can take the lower limit of the integral in (40) to be zero. From (9) we note that

$$n_s u_s = \bar{u}_0 n_0 \sin \phi \,, \tag{41}$$

and differentiating (22), we obtain

$$u_s - u_0 = \bar{u}_0 H \left[-\frac{3}{2} \cos \phi + 3\phi \sin \phi + \frac{3}{2} \cos 3\phi + \phi \sin 3\phi \right].$$
 (42)

Averaging (40) over $\phi = \omega t$ and noting that (41) is an odd function of ϕ and (42) is an even function of ϕ , the first and third terms in (40) average to zero and we obtain

$$\overline{S} = 4m\Gamma_s n_0^{-1} \left\langle (u_x - u_0) u_x n_x \right\rangle_{\Phi}. \tag{43}$$

Inserting (41) and (42) into (43) and averaging, we obtain

$$\overline{S} = \frac{3\pi}{4} H \, m n_0 u_e \, \overline{u}_0^2 \, , \tag{44}$$

where for a Maxwellian distribution g_0 , the incident flux is

$$\Gamma_s = \frac{1}{4} n_0 u_s, \tag{45}$$

and

$$u_{\varepsilon} = \left(\frac{8eT_{\varepsilon}}{\pi m}\right)^{1/2} \tag{46}$$

is the mean electron speed.

The sheath conductance G_s per unit area is defined through the relation

$$\overline{S} = \frac{1}{2} \frac{\overline{J}_0^2}{G_{s'}} \,, \tag{47}$$

where $\tilde{J}_0 = e n_0 \bar{u}_0$. Equating (44) and (47), we obtain

$$G_{s}' = \frac{2}{3\pi H} \left[\frac{e^2 n_0}{m u_s} \right]. \tag{48}$$

We note using (21) and the definition of s_m that

$$H = \left[\frac{144}{25\pi^3}\right]^{1/3} \left[\frac{s_m}{\lambda_D}\right]^{2/3}.$$
 (49)

We then obtain

$$G_{s}' = 0.372 \frac{e^2 n_0}{m u_e} \left[\frac{\lambda_D}{s_m} \right]^{2/3}$$
 (48)

This effective surface conductance per unit area represents a powerful electron heating mechanism in a capacitive r.f. discharge.

For a homogeneous (uniform ion density) sheath, $n_s = n_0$ and $u_s = u_0$. Then the integral in (40) vanishes and there is no stochastic heating; $G_s' \to \infty$. We can understand this physically as follows: In the accelerated frame moving with the plasma, the electron sheath edge at s(t) is stationary; therefore, no energy is transferred to electrons that collide with the sheath. Preliminary results from a self-consistent computer simulation verify this conclusion¹⁵. Thus the non-homogeneous nature of the self-consistent ion density within the r.f. sheath is an essential feature of the stochastic heating mechanism.

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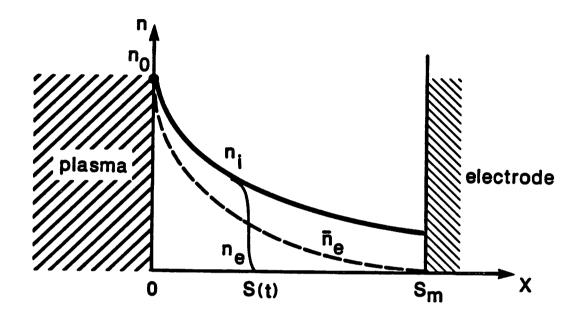


Fig. 1. Structure of high voltage, capacitive r.f. sheath.

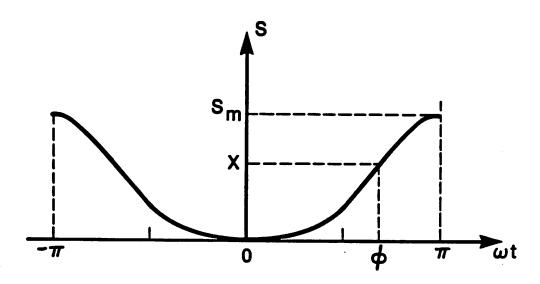


Fig. 2. Sketch of s(t) versus ωt , showing the definition of the phase $\phi(x)$.

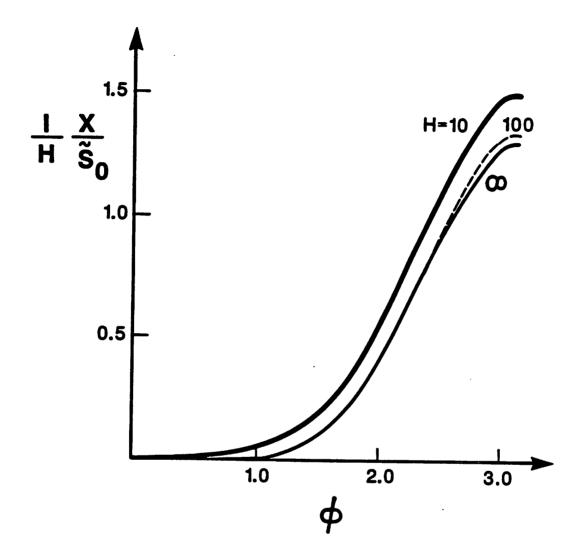


Fig. 3. Normalized position versus phase for the self-consistent r.f. sheath, for various values of the parameter H.

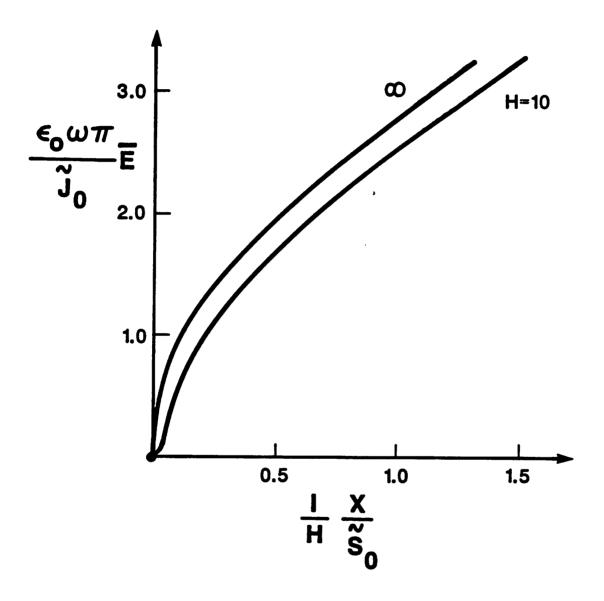


Fig. 4. Normalized electric field versus normalized position for H = 10 and H > 1.

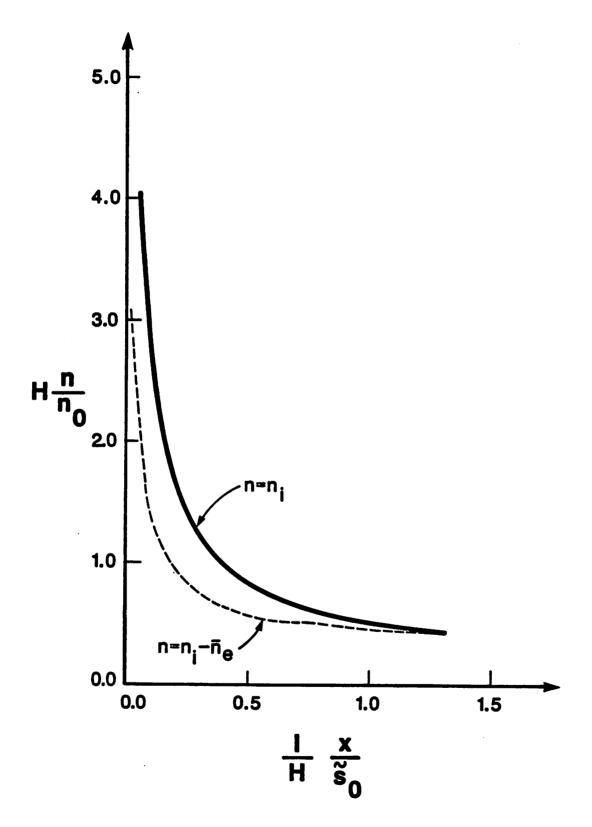


Fig. 5. Normalized ion density n_i and net charge density $n_i - \overline{n}_e$ versus normalized position, for $H \gg 1$.

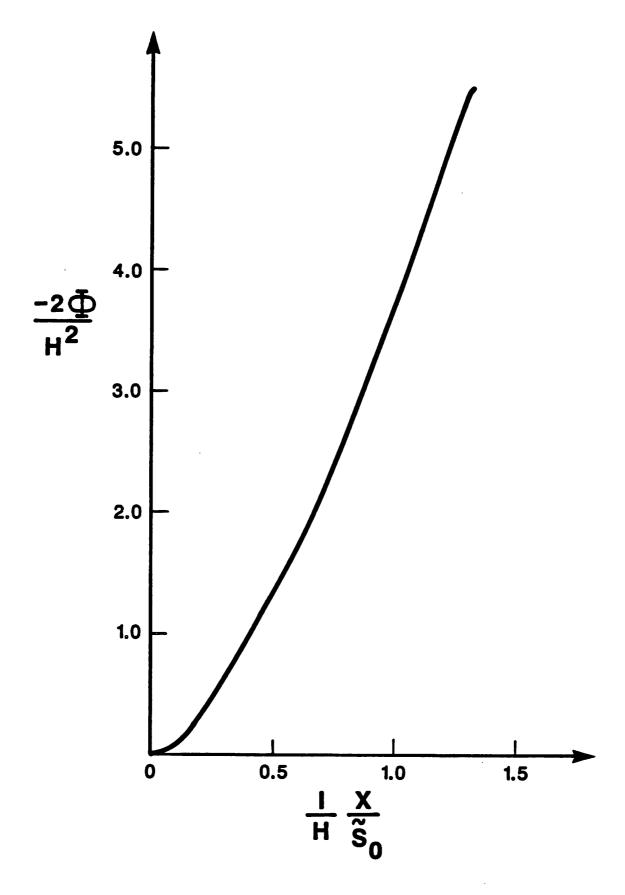


Fig. 6. Normalized time-average potential versus normalized position, for H > 1.

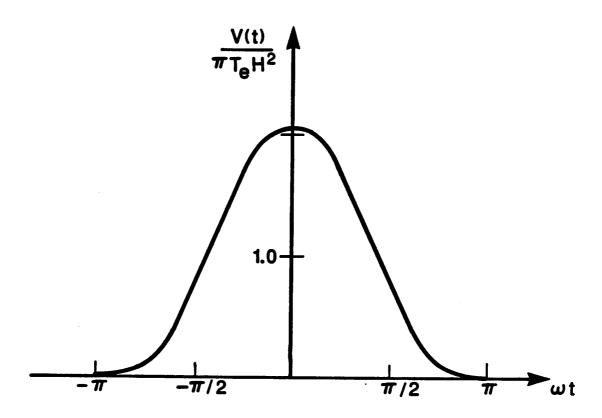


Fig. 7. Normalized time-varying sheath voltage versus ωt for $H \gg 1$.