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**APPLYING TCAD TO EMERGING
TECHNOLOGIES**

by

Derek C. Lee

Memorandum No. UCB/ERL M95/38

20 May 1995

ELECTRONICS RESEARCH LABORATORY

College of Engineering
University of California, Berkeley
94720

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COVER PAGE

Dedication

To my parents with deep appreciation and respect for their support, love, and guidance throughout my education.

Acknowledgment

I would like to thank my research advisor, Professor Andrew R. Neureuther, for his support, encouragement, and guidance in all aspects this project. Without his contribution, completion of this project would not have been possible. Even with his incredibly busy schedule, he always had free time for me. I would like to thank Professor Roger T. Howe for reviewing this report and for his guidance on on the MEMS side of this project. I would also like to thank my family and friends who have given me unending support and encouragement over the years.

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Chapter 1

Introduction

Computer-Aided Design (CAD) of integrated circuits is well established. It is nearly impossible to design a complex circuit without circuit simulation. Tools exist for mask layout, schematic capture, circuit extraction, layout automation, etc. Process simulation tools—classified as Technology CAD (TCAD) tools—are not nearly as well established but are becoming increasingly more important as new technologies emerge with new levels of process complexity. Two such technologies which stand to benefit from TCAD applications are EUV (Extreme Ultra Violet) project lithography, and fabrication of MEMS (MicroElectroMechanical System) devices. Creation and application of simulation tools for these technologies are the focus of this project.

This report is divided into two main parts consisting of three chapters. Chapters 2 and 3 discuss the use of lithography simulation for EUV projection lithography, in which simulation was carried out with the SPLAT program. The second part, consisting of Chapter 4, discusses research related to process simulation of MEMS devices using the SIMPL System 6 simulation software.

Chapter 2

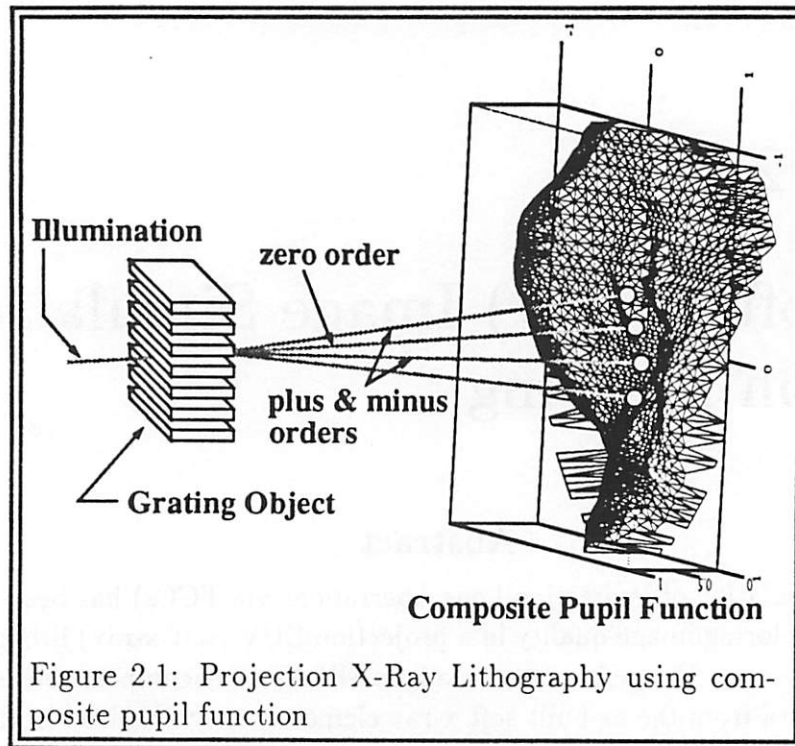
EUV (Soft X-Ray) Image Simulation for Projection Printing

Abstract

SPLAT (Simulation of Projection Lens Aberrations via TCCs) has been generalized for exploring image quality in a projection EUV (soft x-ray) lithography proto-type system. Extensions were made in SPLAT to encompass the detailed wavefront data from the as built soft x-ray elements as synthesized in the system configuration by T. Jewell in Code V. Initially, 36 Zernike polynomials were used to describe the pupil function, but the image quality was overly optimistic. The detailed wavefront map from Code V on a 64x64 rectangular grid was then used. A table lookup approach with a rectangular grid and area weighting which can also simulate amplitude variations across the pupil was used in the integration. The thin arc for the undulator source path in the illumination was found to be best treated as a direct path integral rather than the limiting case of annular illumination. At x-ray wavelengths (13 nm) the surface figure errors of the multi-layer mirrors in the initial exploratory system were quite significant and the images were primarily degraded by the variation in their position rather than their quality as the undulator is swept through its arc. This is in agreement with the experimental observations reported by O. Wood *et. al* and is shown to be even more severe for 2D patterns.

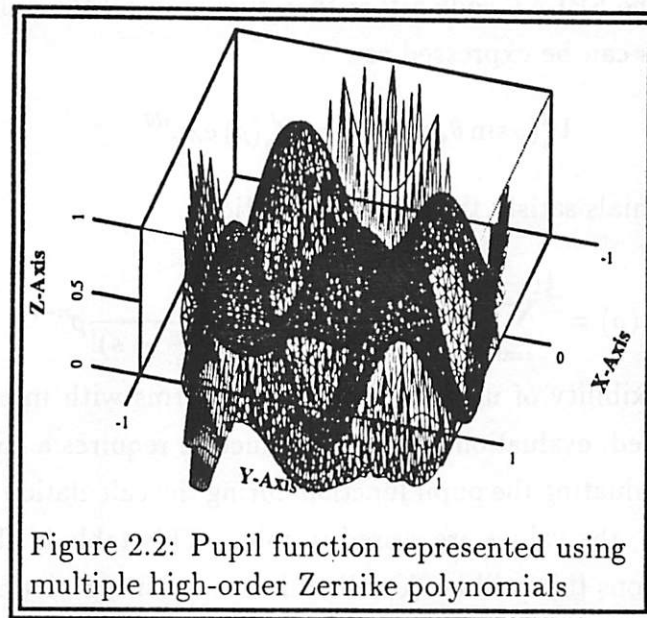
2.1 Introduction

A serious technology issue in the fabrication of projection extreme ultra violet (EUV) or soft x-ray systems is the development of multi-layer reflective mirrors whose surface tolerances relative to the wavelength are adequate. Simulation of surface figure and coating effects will allow system designers to specify tolerances on optical elements in the context of system performance. While simulation of system effects in 1D is possible in Code V [Opt], the transfer



of the composite pupil function to SPLAT [TN87] allows studies of 2D imaging. In SPLAT it is also possible to simulate phase-shift masks, off-axis illumination, lens filters, thin-film resist penetration interference effects, and aperture stops. The images within the thin-film resist and materials from SPLAT can also be coupled with SAMPLE-3D [KKHTS91] [EWSN91] for simulation of the resist profile time evolution and development.

A conceptual drawing of a projection x-ray system is shown in Figure 2.1. Here a pupilmap of an initial exploratory optical system used by O. Wood *et. al* at AT&T Bell Laboratories [ORWJ89] illustrates the high degree of aberration in the pupil function. The pupil function was synthesized in Code V by T. Jewell from wavefront measurements of the individual optical elements. A pencil beam from the undulator illuminates the mask which then diffracts the pencil beam into a number of spots. These spots pass through the pupil in specific locations and approximately lie on a plane in the exit pupil. If the normal of this plane is rotated with respect to the zeroth order incident beam, a lateral shift is imparted to the mask pattern perpendicular to the line direction when it is imaged at the wafer. The degree of tilt, or equivalently the relative path difference along the spots, determines the severity of the image shift. When the source position is changed, as in a rotating undulator source system, the diffracted spots will pass through an entirely different region of the pupil function



and a different shift will occur. This image shift effect has been simulated with SPLAT and will be shown to be more significant than the degradation of the individual image quality for a given undulator position.

This chapter begins with the Zernike polynomial representation of the pupil function which is followed by sections on the wavefront map interface and integration scheme. Two approaches for modeling the illumination system are then discussed. Finally, simulation results from an image quality study are presented.

2.2 Zernike Polynomial Interface in SPLAT

SPLAT has been generalized to represent the pupil function using an arbitrary number of Zernike polynomials, which are commonly used in representing high-order aberration effects in optical systems [BW80]. Zernike polynomials are mutually orthogonal over the unit circle, and thus the mean-square distribution of the wavefront relative to a Gaussian reference sphere can be computed as a summation of the Zernike polynomial coefficients without needing to handle cross-terms. To represent the pupil using Zernike polynomials, a file containing the values for the multipliers is specified. A plot of the pupil function using multiple high-order terms can be generated by SPLAT as seen in Figure 2.2.

Currently a maximum of 64 Zernike polynomial terms may be used. However, SPLAT is extensible to a higher number of terms in that the Zernike polynomial generating function has

been incorporated into the SPLAT code rather than typing in all the polynomials explicitly. The Zernike polynomials can be expressed as

$$V_n^l(\rho \sin \theta, \rho \cos \theta) = R_n^l(\rho) \exp^{il\theta} \quad (2.1)$$

where the radial polynomials satisfy the following relation.

$$R_n^{\pm m}(\rho) = \sum_{s=0}^{\frac{1}{2}(n-m)} (-1)^s \frac{(n-s)!}{s! \left(\frac{n+m}{2} - s\right)! \left(\frac{n-m}{2} - s\right)!} \rho^{n-2s} \quad (2.2)$$

This provides the flexibility of using any number of terms with minimal code changes. When many terms are used, evaluation of the pupil function requires a large amount of computation. Instead of reevaluating the pupil function during the calculation of the transmission cross coefficients (TCCs), the values are stored in table. This table is also used to support the other SPLAT extensions that will be described in the following sections.

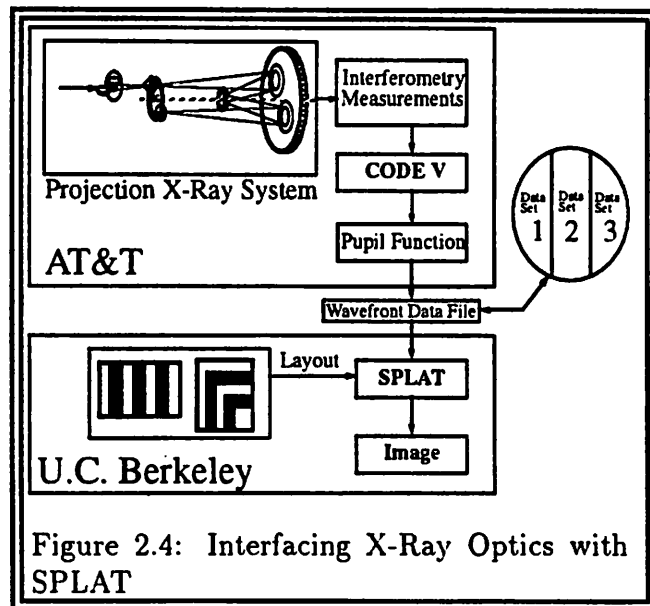
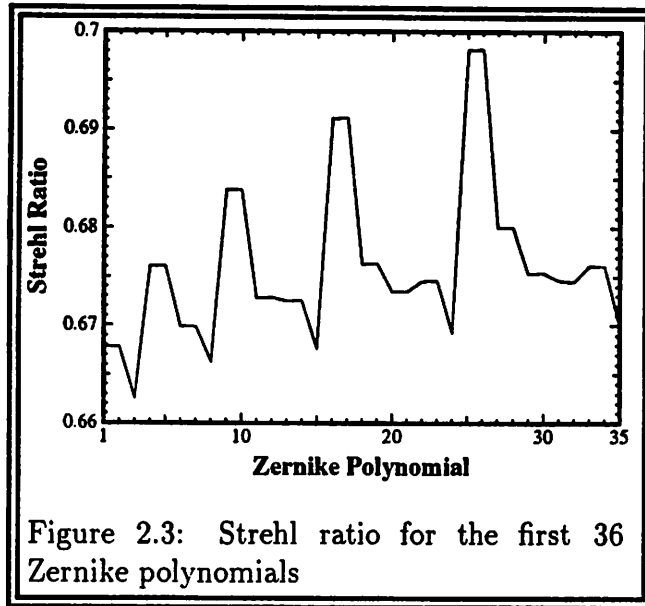
The normalization of the Zernike polynomials was verified by taking the Strehl ratio which can be expressed as in Equation 2.3 for small non-periodic features and small aberrations. It is the ratio of the intensity at the center of a small non-periodic pin hole with and without aberration present.

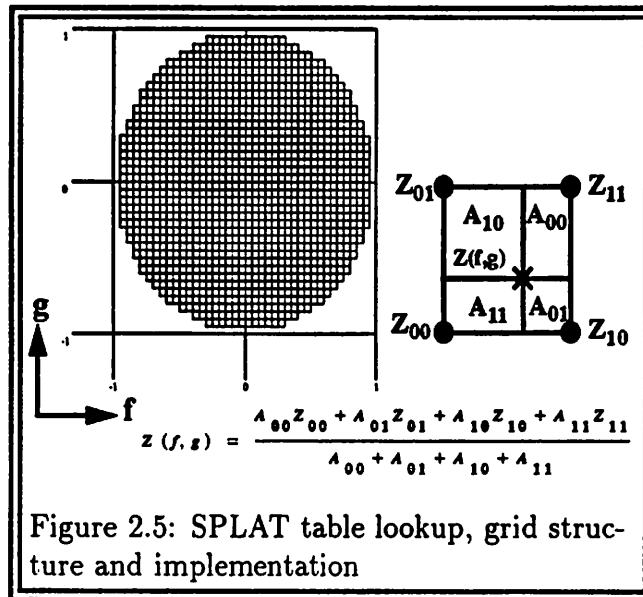
$$\text{Strehl ratio} \cong \exp^{-4\pi^2 \Phi^2} = \frac{\text{Intensity}_{(0,0)\text{aberrated}}}{\text{Intensity}_{(0,0)\text{notaberrated}}} \quad (2.3)$$

For $\Phi = 0.1$ rms wave the Strehl ratio = 67.4%. All Zernike polynomial terms should individually give the same Strehl ratio in the ideal case. Figure 2.3 shows the Strehl ratio for the first 36 Zernike polynomials as computed by SPLAT for a $0.1 \mu\text{m}$ by $0.1 \mu\text{m}$ square pin hole in the middle of a $5 \mu\text{m}$ x $5 \mu\text{m}$ mask with $\lambda = 0.248 \mu\text{m}$, $\text{NA} = 0.248$, and $\sigma = 0.6$.

2.3 Wave Front Map Interface in SPLAT

A schematic representation of the interface between a projection system, Code V and SPLAT is illustrated in Figure 2.4. Interferometry measurements are performed on the individual lens elements for input into Code V. The composite pupil map synthesized by Code V is output into a file which splits the pupil in three sections. This file is input into SPLAT which can produce a 3D plot of the pupil as seen in Figure 2.1. Alternatively, the aberration function can be represented by a sum of up to 64 Zernike polynomials by the multipliers in for each polynomial. Normalization can be specified as either the RMS or max aberration across the pupil. Using the input pupil function and an arbitrary mask pattern, intensity versus linewidth along a cutline and contour plots of 2D images can be produced.

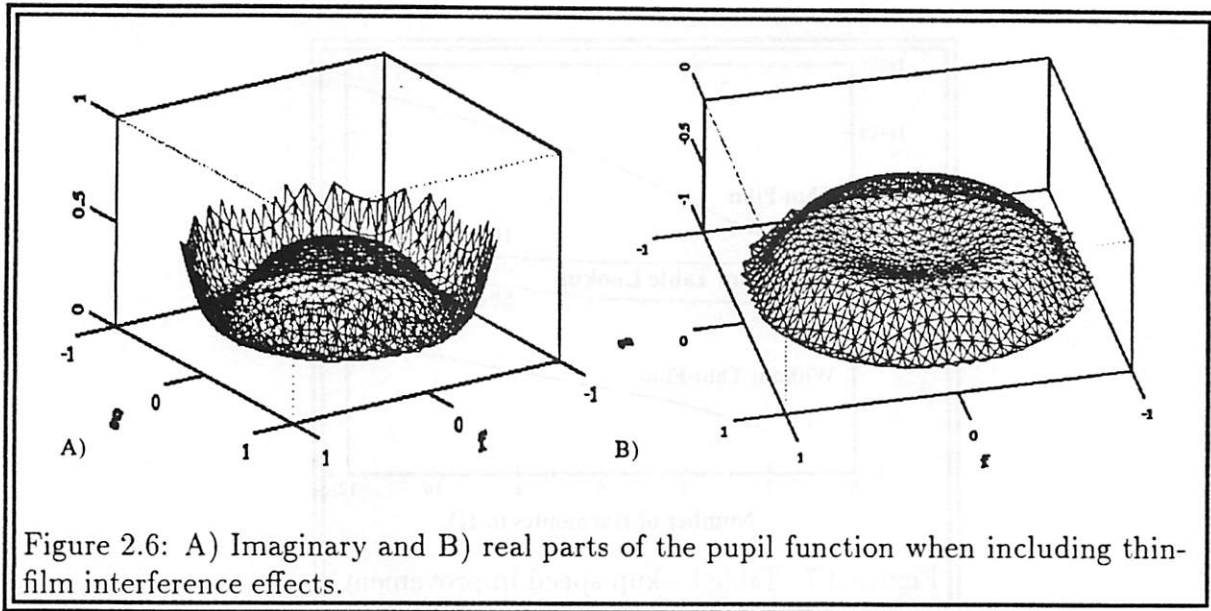




2.4 Integration Scheme

A table-lookup of the pupil function was required to input the wavefront data. The structure of the grid and interpolation scheme associated with this table-lookup approach are shown in Figure 2.5. During simulation the values are interpolated using a "weighted-area" scheme. Originally linear interpolation was employed which caused convergence problems due to interaction with an adaptive/self-checking algorithm used in test the numerical integration. The weighted area (quadratic interpolation) scheme overcame the original convergence problem. Typically a 64x64 grid is used, although more dense grids can be specified.

SPLAT has been recently extended to include thin-film interference effects for imaging within photoresist [MSYN93]. This extension utilizes the same internal table lookup structure to store complex values. Because the values are complex, the amplitude as well as the phase of a wavefront can be represented. Thus the change in the magnitude as well as the phase of reflectivity of a multilayer mirror due to variation in the incident angle of the source can be simulated. This may be particularly useful for x-ray system elements where it is difficult to fabricate the correct multilayer d-spacing variation with angular changes across the elements. A plot of the real and imaginary parts of the pupil function for a case of imaging within the photoresist is shown in Figure 2.6. The table lookup speed improvement when using thin-film code is shown in Figure 6. When the simulation area is small ($5 \frac{\lambda}{NA}$ square area or less) the simulation time is dominated by the 100 seconds it takes to calculate

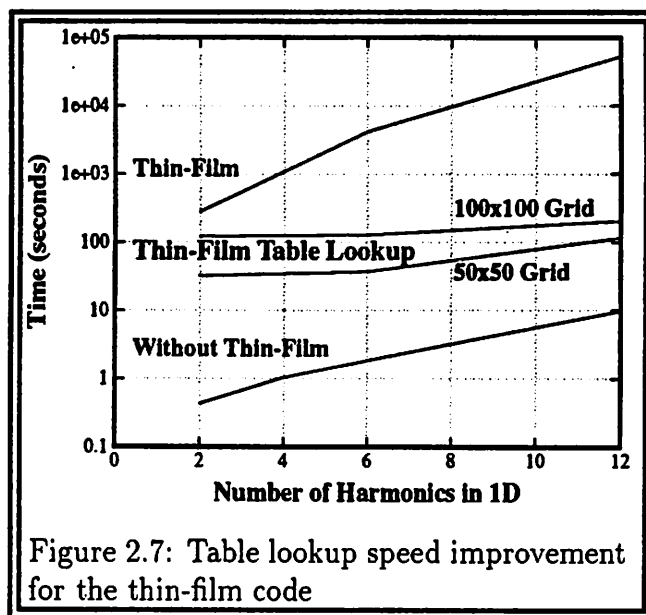


the elements in the table lookup. For the EUV (soft x-ray) imaging the data for the table lookup come from Code V and the computation time is similar to the line labeled "Without Thin-Film" in Figure 2.7.

2.5 Modeling Illumination Schemes

Two techniques have been used to simulate the proto-type system used by Wood et. al, in which a pencil beam from an undulator source is incident on a two mirror rotator to form a cone of illumination. Both annular and multiple spot illumination with a uniform angular distribution have been used. Annular illumination requires much more computation time. This is because integration over the annulus is computed by subtracting the results of the integration over the inner aperture from that of the integration over the outer aperture. Due to the very small 0.0004 annulus rim size, this was found to require these integrals to be carried out to an accuracy of 4 decimal places.

In spot illumination, integration is performed only over the small source for each position. This also allows the quality of the composite image to be compared with that from individual spot locations. The computation for N spots, requires N times as much computation time. Once the number of spots is specified, SPLAT is run for source positions that are uniformly distributed (equiangular separation) within the aperture at the specified radius. The composite image is generated by averaging the images for each source location. The spot approach



brings up the interesting question as to how many spots are required to approximate a continuous arc. The underlying significance is that in a system with a highly aberrated pupil, many different mask illumination angles should be used to average out the spot to spot image variations.

2.6 Image Quality Studies

The experimental pupil function shown in Figure 2.1 was used to simulate a $0.25 \mu\text{m}$ line/space pattern for a 0.0835 NA system at 13 nm. To see the spot location effects, simulation runs using different source positions have been used. The aerial image for four source positions are shown in Figure 2.8. Figure 2.9 is the composite aerial image formed by averaging the four. The image quality at the various positions varies, but more importantly, the images are shifting left and right relative to one another. Consequently, the composite aerial image is wider and lower in contrast than any of the images for individual source positions. The effect of the image shift for 8 source positions is illustrated in Figure 8 with the aerial images for eight source positions superimposed on one another along with the composite image (black solid line). Control of which source positions are used in imaging would allow the use of only the "sweet" source locations and elimination of the positions that produce unwanted shifts or inferior images resulting in a superior composite image.

The effect of image shift is much worse in 2-D because the shift can occur in two inde-

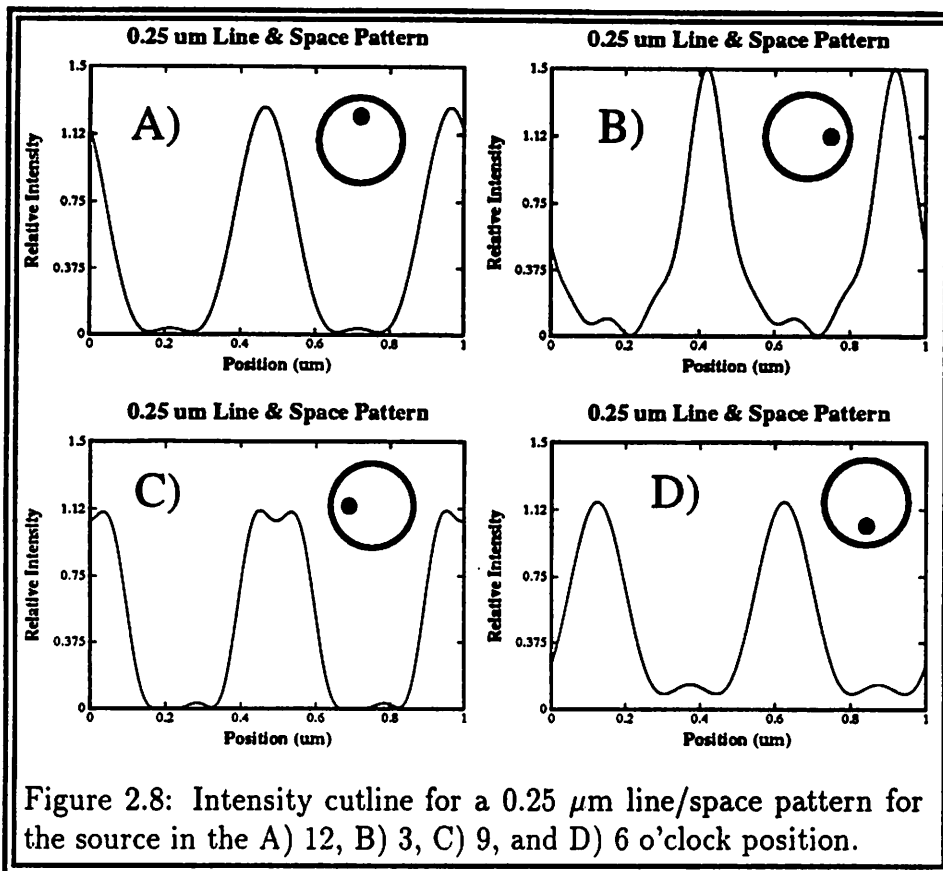


Figure 2.8: Intensity cutline for a 0.25 μm line/space pattern for the source in the A) 12, B) 3, C) 9, and D) 6 o'clock position.

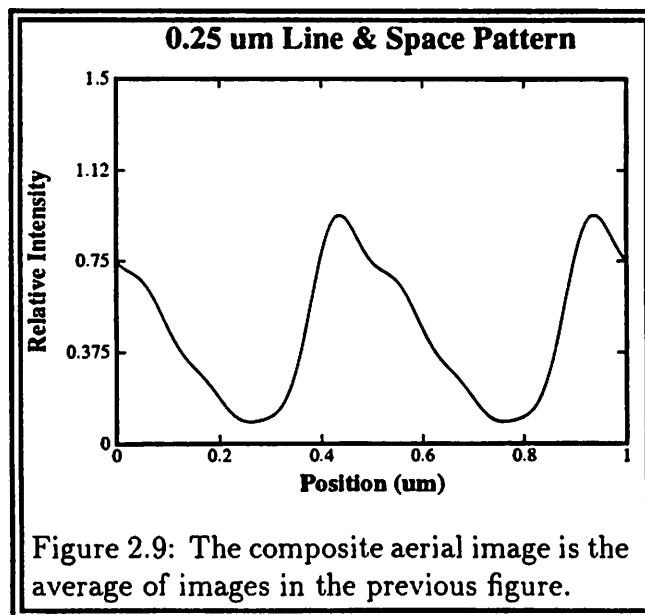
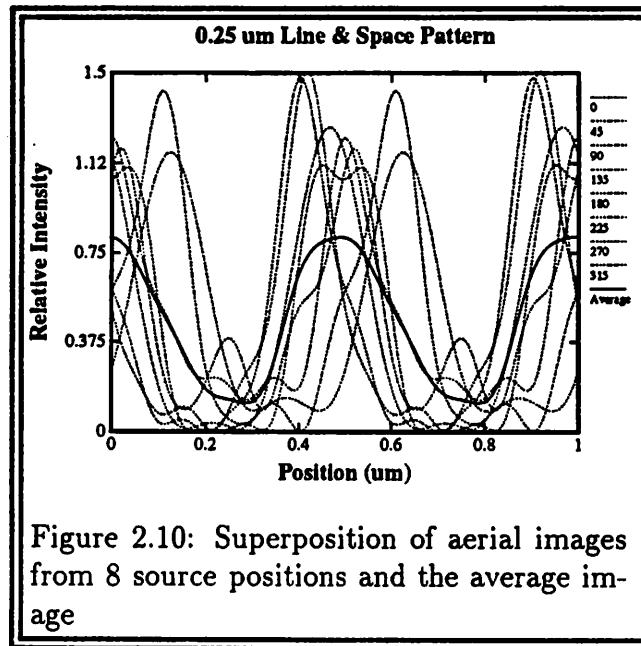


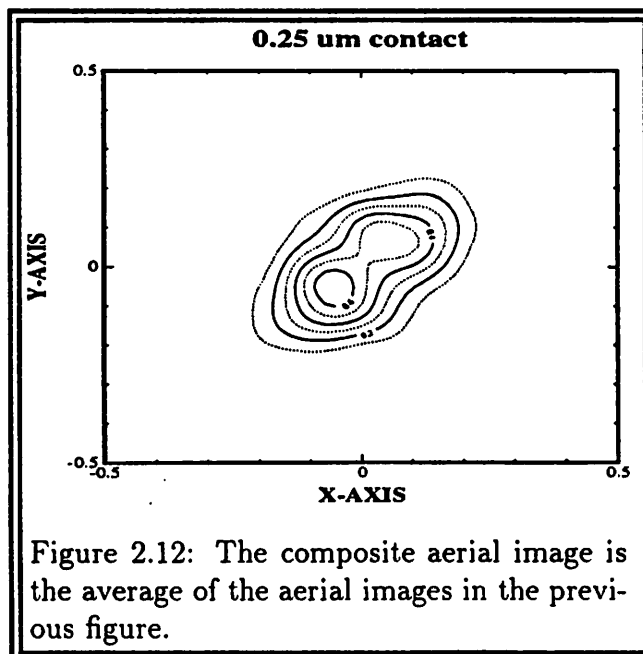
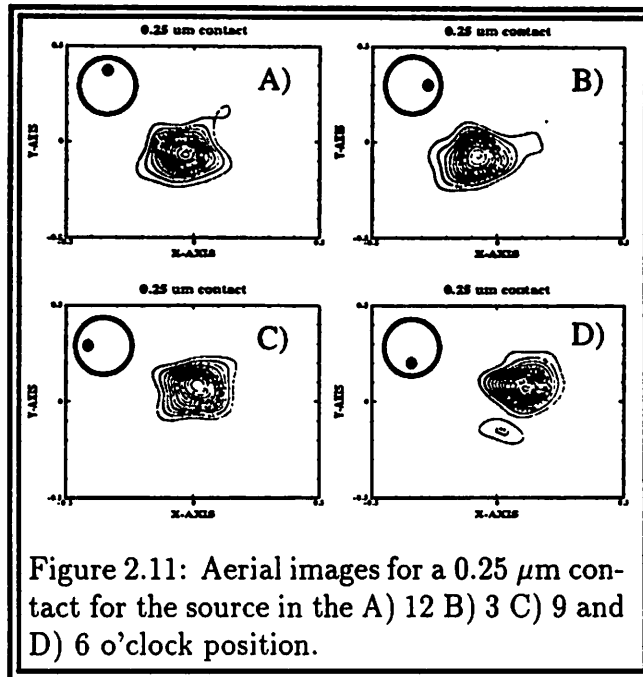
Figure 2.9: The composite aerial image is the average of images in the previous figure.



pendent directions. These 2D effects are illustrated in Figures 2.11, 2.12, 2.13, and 2.14 which show a $0.25 \mu\text{m}$ contact and $0.25 \mu\text{m}$ elbow. The shift from the centered position is more easily seen with the contact hole pattern than with the line pattern. When the source is in the 12 and 3 o'clock positions, the image is displaced to the bottom left. In the 6 and 9 o'clock positions, the image is shifted more to the top right. These effects can be seen in the composite image which is smeared along the bottom-left top-right diagonal and is lower in intensity. The degradation of this contact hole pattern is worse than that in Figure 2.9 for a line pattern.

2.7 Conclusion

The extensions made to include aberrations across the pupil have been useful in simulation of EUV (soft x-ray) projection lithography. The extensions include representing the pupil with Zernike polynomials and wavefront maps from Code V. This required the implementation of a table lookup and weighted area interpolation of the pupil function. This internal structure is ready to accept magnitude as well as phase data which may be important in multilayer coating effects. A rotating undulator source model has been implemented which can also model an annular source. The image quality studies indicate that source spot to source spot image displacement rather than image degradation for a given source spot location currently



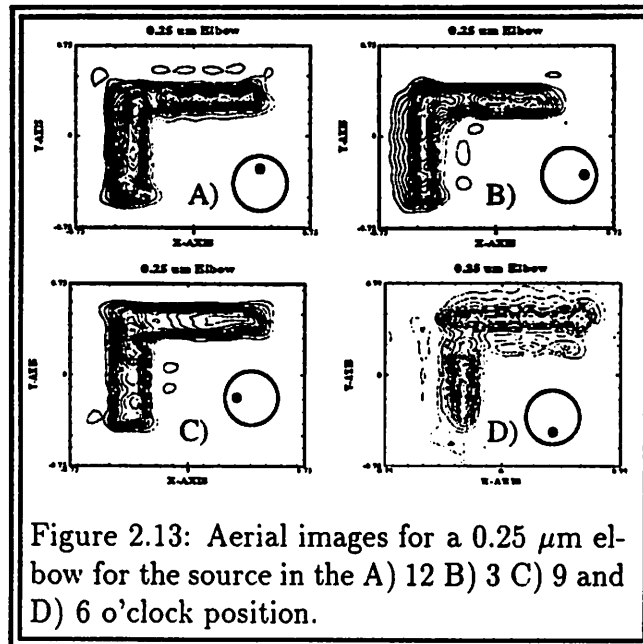


Figure 2.13: Aerial images for a 0.25 μm elbow for the source in the A) 12 B) 3 C) 9 and D) 6 o'clock position.

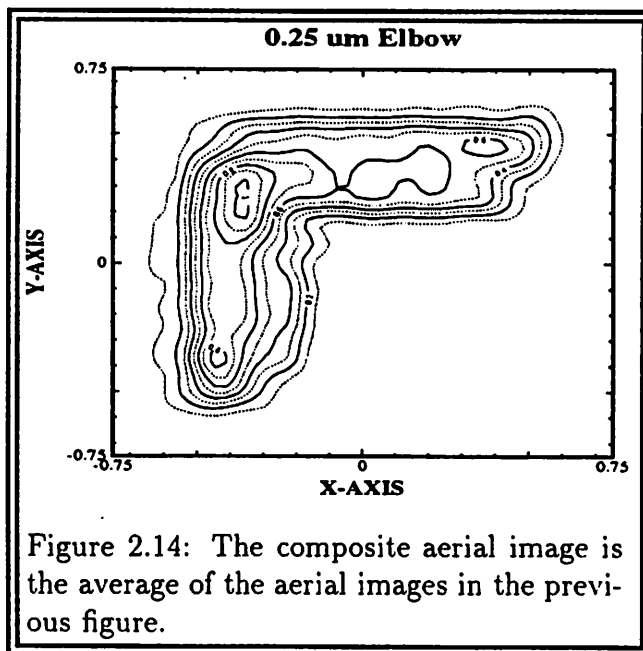


Figure 2.14: The composite aerial image is the average of the aerial images in the previous figure.

limits the resolution.

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Chapter 3

Simulation of Non-uniformities in Sources and Optics in Projection Printing

Abstract

The aerial image simulation program SPLAT has been further generalized to explore the effects of multielement source designs and possible problems from residual mirror reflectivity variations versus pupil position on image quality in projection x-ray lithography. To model wavefront attenuation, an optical transmission with apodization (OTA) factor is introduced, which modifies the magnitude of the pupil function versus ray angle. The OTA is expanded in a Taylor series in polar coordinates. A radial OTA variation of $1 - 0.25\rho^2$ which falls from 1.0 at the center of the lens to 0.75 at the lens edge, required small features to be oversized approximately by 8% to obtain the same image quality. To allow for irregularly shaped sources with non-uniform intensities, the source is represented over a square grid composed of many pixels. The source is defined using geometric primitives that can be assigned arbitrary intensity weights. Typical multiple element sources of the type proposed for EUV projection printing shows that the orientation of the feature with respect to the source has about a 5% effect. The generalization of the source non-uniformity modeling in SPLAT is also applicable to illumination studies in optical projection printing.

3.1 Introduction

SPLAT was previously extended in the previous chapter to read detailed optical wavefront data from CodeV to specify the degree of lens aberration [LN93]. This capability was developed for studying wave-front effects in projection printing at both conventional and

18 Simulation of Non-uniformities in Sources and Optics in Projection Printing

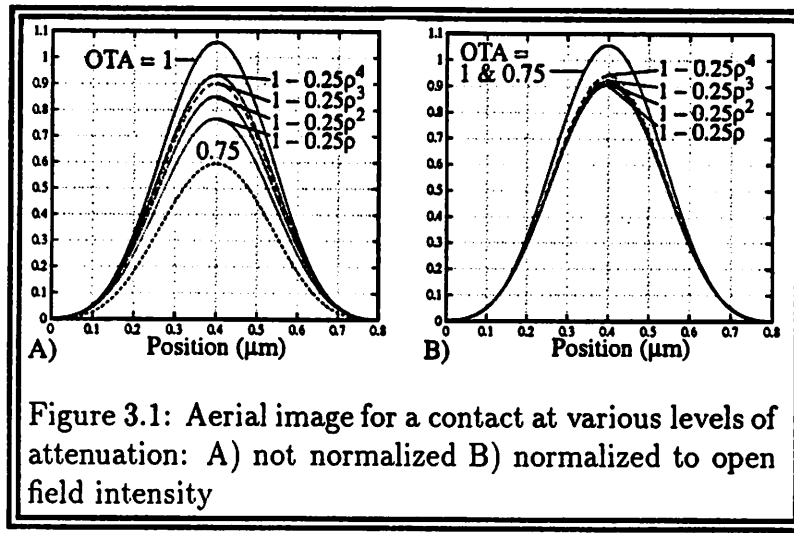
EUV wavelengths, and can now be coupled with arbitrary illumination sources and wavefront amplitude weighting as presented in this chapter.

In addition to the optical path difference, such as that due to surface figure errors of the multi-layer mirrors, the reflectivity across the mirrors might not be uniform. This is because the difficulty in fabricating multi-layer coatings will likely lead to residual amplitude as well as phase errors. The residual effects depend on the angle of incidence in the optics and the extent to which the variation of the thin-film multilayer spacings is able to track the desired spacing. To explore the degree of accuracy needed in producing optical elements, the SPLAT program has been modified. These amplitude effects can be modeled in SPLAT by specifying analytical reflectivity functions across the pupil which is termed the optical transmission with apodization (OTA) factor. The OTA modifies the magnitude of the pupil function or the magnitude of the electric field ray at the associated angle. It is a function of pupil position similar to the optical path difference and is used to weight the electric field. Currently the OTA is expanded in a Taylor series in radius and azimuthal angle of the entrance pupil (similar to the primary phase aberrations). The implementation of the OTA, its agreement with theoretical results, and the effect OTA has on image quality for various feature types and sizes are discussed in the first few sections of this chapter.

Illuminator systems in projection x-ray proto-type systems will likely be based on synthesizing multiple and irregularly shaped elements [Swe93] which will produce irregular illumination patterns at the entrance pupil. For this reason, SPLAT has been extended to model arbitrary illumination sources that are constructed with geometric primitives and assigned varying intensity weights. The implementation and operation of the arbitrary source model, and the effect of source shape on image quality are discussed in the latter sections of this chapter.

3.2 Optical Transmission with Apodization

As described above, the OTA specifies the amount of electric field transmission in the pupil. Currently, the OTA is specified by providing coefficients for one or more of the following functions: 1 , ρ , ρ^2 , ρ^3 , ρ^4 , $\rho \cos \phi$, $\rho \sin \phi$, $\rho^2 \cos 2\phi$, and $\rho^2 \sin 2\phi$. As evident, symmetrical as well as non-symmetrical variations are available. The code can be easily changed to accommodate other functions as required. Currently, these functions are evaluated analytically. However, it is anticipated that when pupil maps of attenuation effects are available, SPLAT will be adapted to read them into a table which is currently done with pupil phase maps. This amplitude factor is in addition to the pupil filter functions which were previously included in



SPLAT.

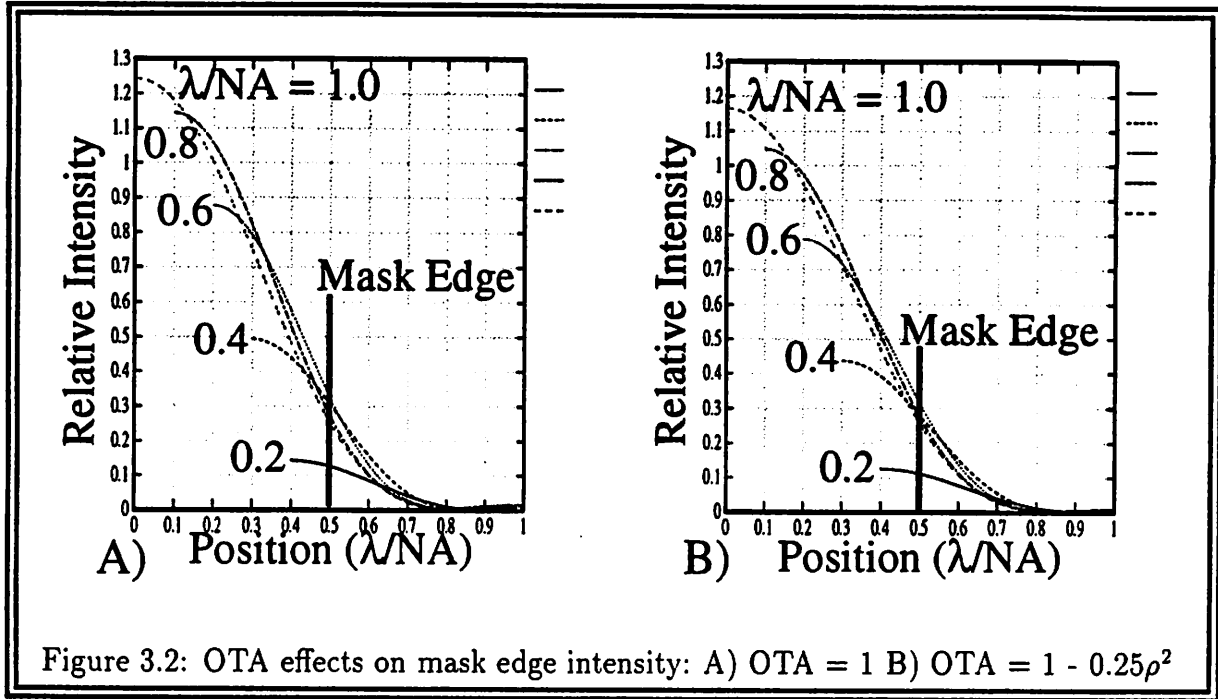
3.2.1 Verification of the OTA model on SPLAT

One of the tests of the SPLAT program was to increase the level of the OTA, or the amount of attenuation and observe the effect on the image. For example, the image of a transparent line of $0.8 \lambda/NA$ was observed as the amount of attenuation was increased. The peak value of the intensity was expected to decrease as the amount of attenuation increased.

For the case of a constant attenuation, the peak intensity should fall off as the square because the attenuation is proportional to the electric field. Simulation models this theoretical trend to 5 places. The case of constant OTA is special, in that the curves become identical after renormalizing to the clear field values.

Intensity curves for non-constant OTA, however, will not renormalize to the same values. The effect of a radially symmetric variation on image quality was studied. The image intensity as a function of the attenuation variation—constant, linear, square, cubic, and fourth power—is shown in Figure 3.1A. The image intensity increases from the constant attenuation value to its peak. There is less impact for the higher order polynomials because they have less attenuation near the center of the pupil. However, the images should be renormalized to the clear field because for non-zero σ , the illumination cone passes through places on the lens where there is some attenuation. This affects the clear field value, as shown in Figure 3.1B, where the images have been renormalized for $\sigma = 0.5$.

The normalization used in Figure 3.1B can be corrected for theoretically by integrating



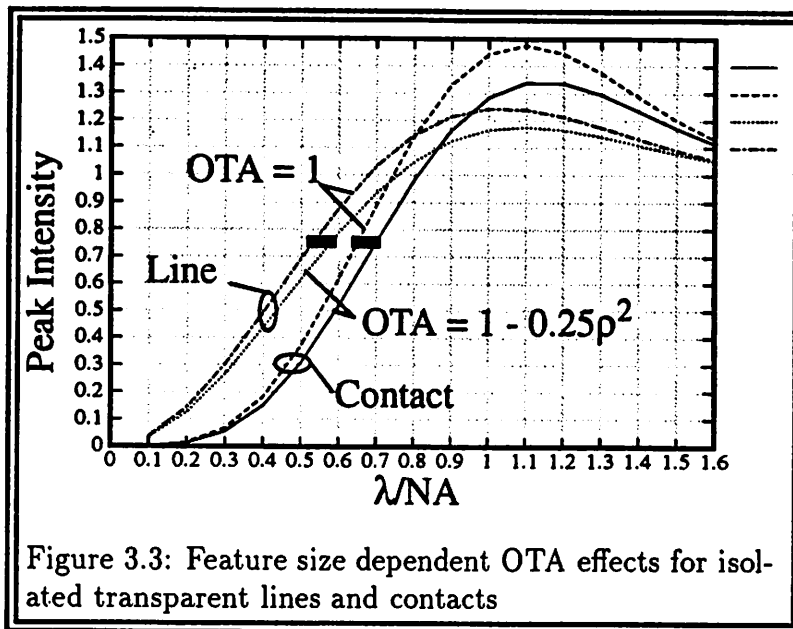
the OTA over the illumination cone, as described in Equation 3.1 which simplifies to the last expression for a radially symmetric source. When these integrals are carried out exactly, the results show that SPLAT is accurate to 3 places.

$$\frac{I_{peak}}{I_{peak(OTA=1)}} = \frac{\int_0^{2\pi} \int_0^\sigma OTA(\rho)^2 \rho d\rho d\phi}{\int_0^{2\pi} \int_0^\sigma \rho d\phi} = \frac{\int_0^{2\pi} \int_0^\sigma OTA(\rho)^2 \rho d\rho d\phi}{\pi \sigma^2} \quad (3.1)$$

3.2.2 OTA Effects on Image Quality

Several possibilities exist on how the attenuation will affect the image quality. It could affect the values near the mask edges, values in the dark space, or values in the peaks. The impact at the mask edge for a quadratic variation of $1-0.25\rho^2$ is shown in Figure 3.2A and B, where intensities as a function of feature size, in terms of λ/NA , are plotted (normalized to clear field). A variation in line edge intensity of only a few percent is present in both the attenuated and non-attenuated cases.

The peak intensities for isolated transparent lines and contacts as function of feature size with and without the same attenuation are shown in Figure 3.3. At very large feature sizes, the intensities are all about the same. This is to be expected since the middle of the lens is used for large feature sizes. At intermediate feature sizes, less overshoot is observed. The



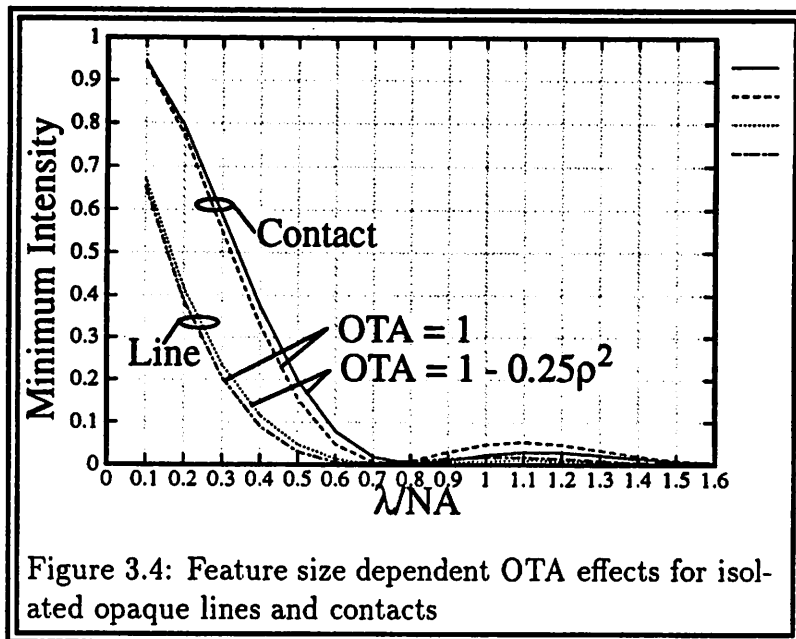
absolute decrease is more for the contact, making the line and contact images more similar. However, in the small feature size region between 0.5 and 0.8 l/NA where much lithography is done, both the line and contact intensities are about 10% lower. As a result, to obtain a certain intensity, 75% of the clear field for example, features must be oversized. At this 75% intensity level, the lines have to be oversized about 9% and the contacts have to be oversized about 7%.

The effect on opposite polarity features is shown in Figure 3.4. While the absolute increase in absolute intensity is small, the percentage increase is similar to the case above. For this polarity, an intensity below 15% is desirable which would require a bias of about 8%.

3.3 Arbitrary Illumination Sources

3.3.1 Operation and Implementation

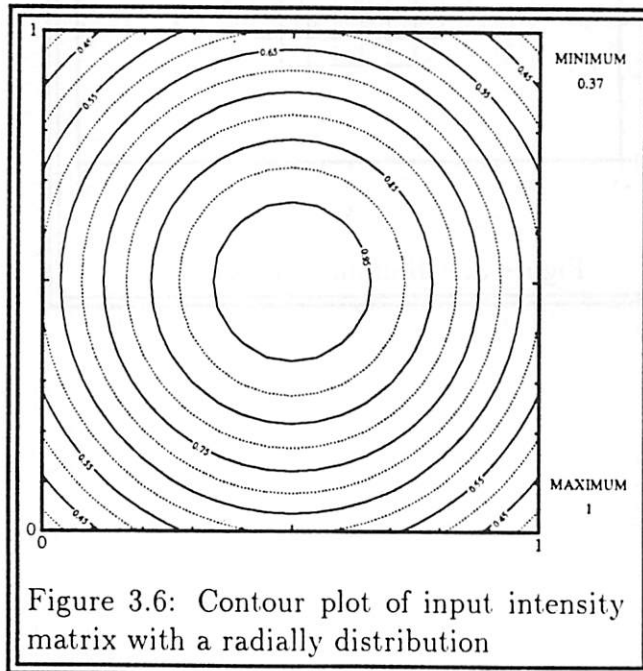
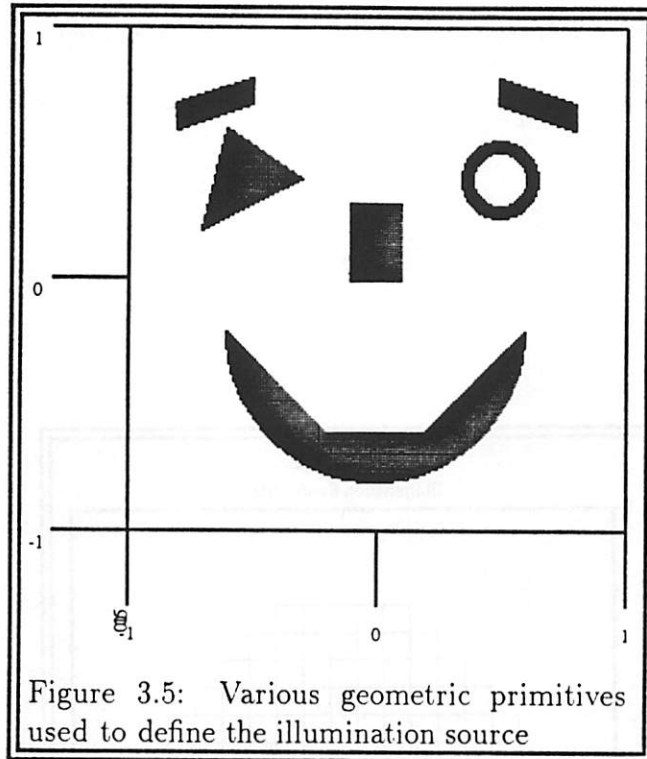
The source is represented by a rectangular grid composed of many pixels. The source is first defined using geometric primitives such as circles, rectangles, and general polygons (See Figure 3.5). Arbitrary intensity weights can be assigned to the primitives to construct, for example, a source with a radial variation in brightness. A table lookup approach of the source is then used during the integration of the transmission cross-coefficients in Hopkins' theory of partially coherent imaging [Hop53].



SPLAT also supports reading intensity matrices on top of which arbitrary shapes can be placed. This is similar to placing transmission apertures into a system with a known illumination variations across the pupil. Another possibility, no yet implemented, would be to describe the illumination variation with an analytical function. An example input contour with a radially distribution is shown in Figure 3.6. After placing a circular aperture with $\sigma = 0.6$ the source appears as shown in Figure 3.7 and Figure 3.8.

A test of the program was to run it with a normal circular source and a a source described by a uniform intensity matrix with the same sigma. Figure 3.9 shows this comparison for $\sigma = 0.6$. The results differ slightly owing to the fact, that the discretized source has a larger source area and not a perfectly circular shape. When the grid density is increased negligible differences are seen. A second test of the code was to examine the focus behavior of a non-uniform distribution. Figure 3.10 shows intensity curves at several levels of defocus for a linear varying source and for a line perpendicular to the intensity variation. The peak intensity values shift slightly to the left due to the asymmetric illumination. This is not observed for lines which are parallel to the intensity variation. A third test of the code was to check the open field intensity values for the various sources and they all were within in the 1.00x range.

The way in which the integration was implemented is shown in Figure 3.11. Pre-existing integration routines, used for the circular sources, were modified to sample the source pixel



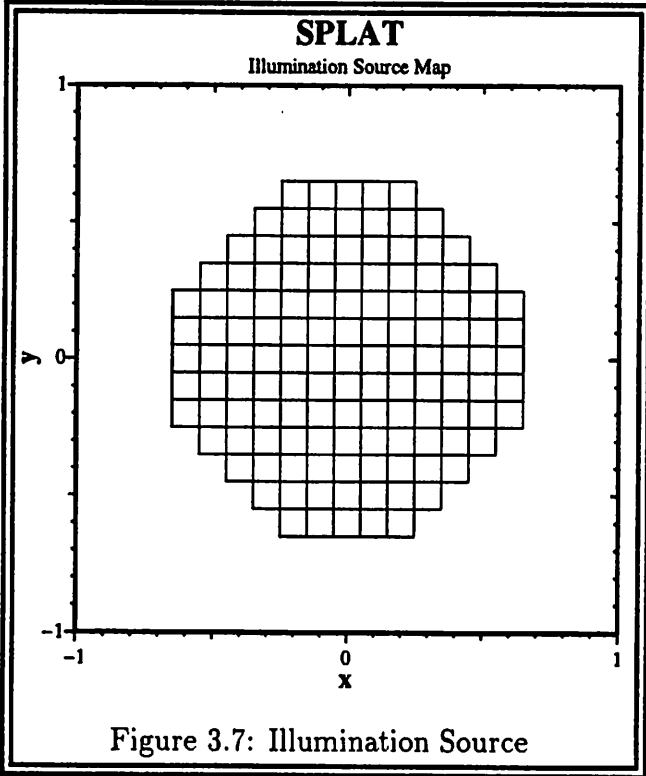
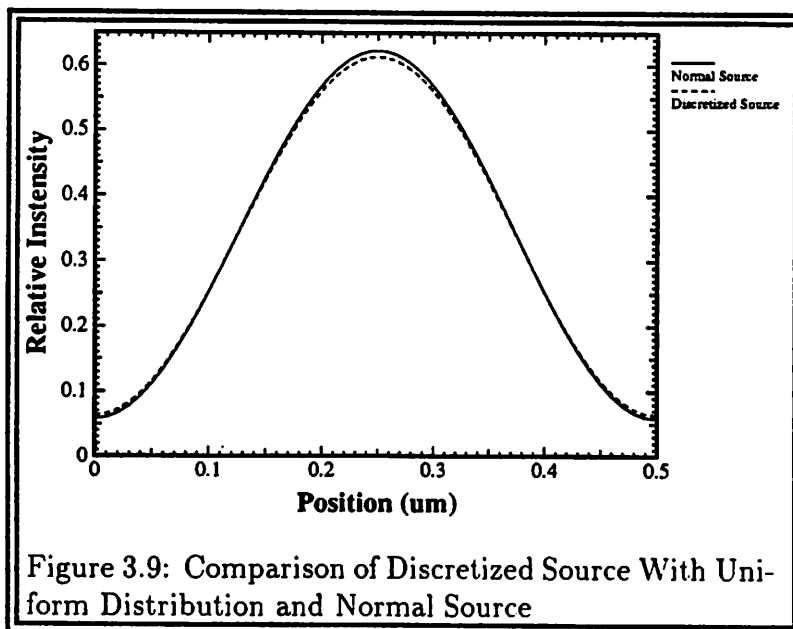
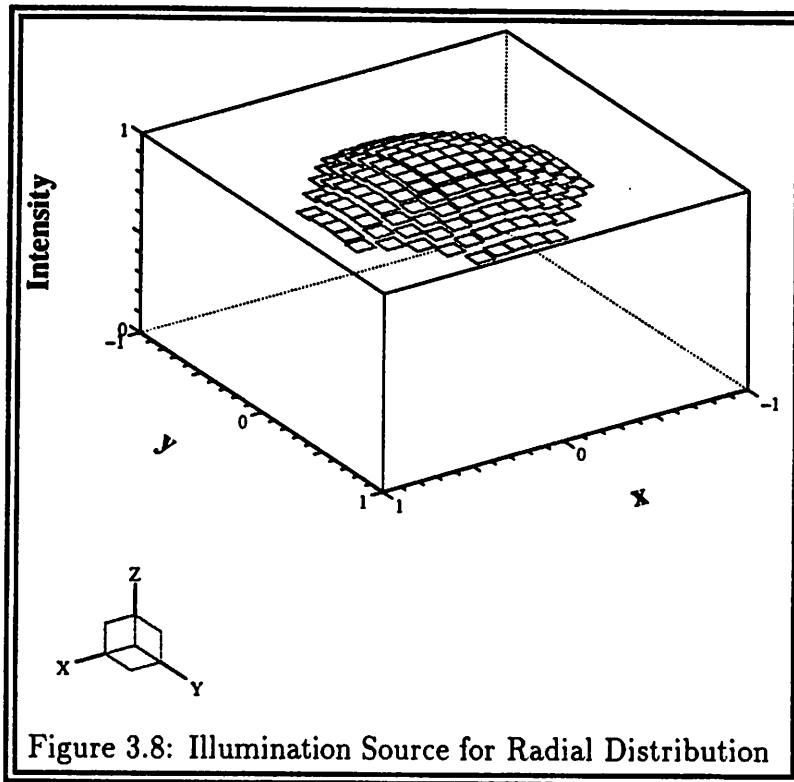
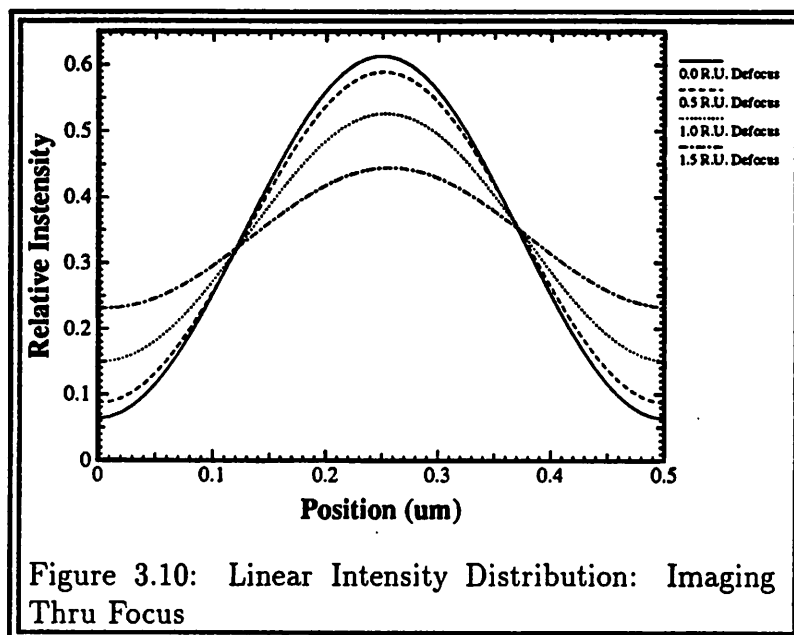


Figure 3.7: Illumination Source

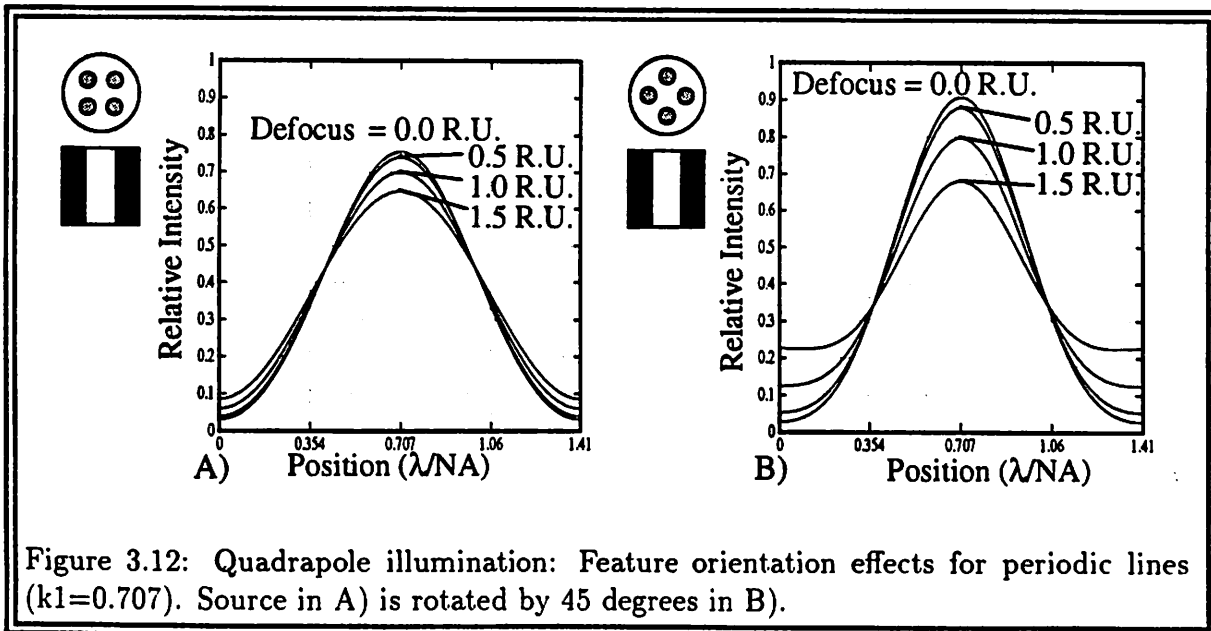
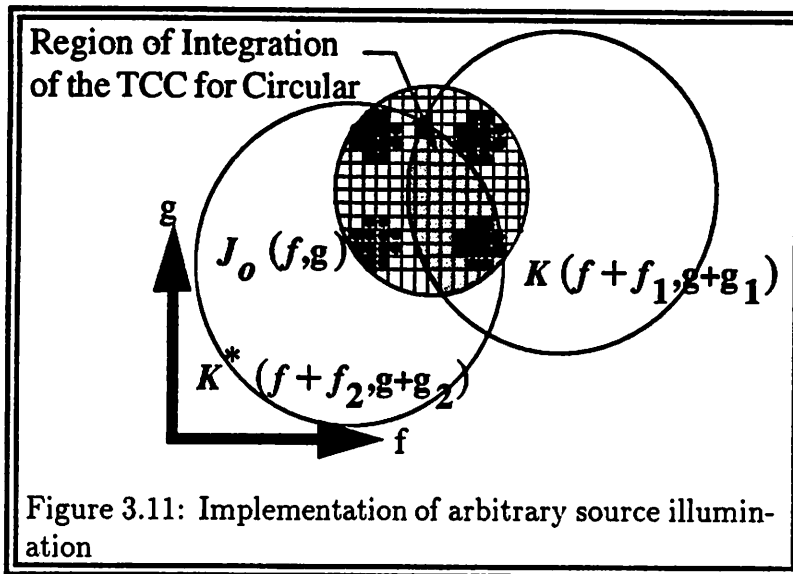


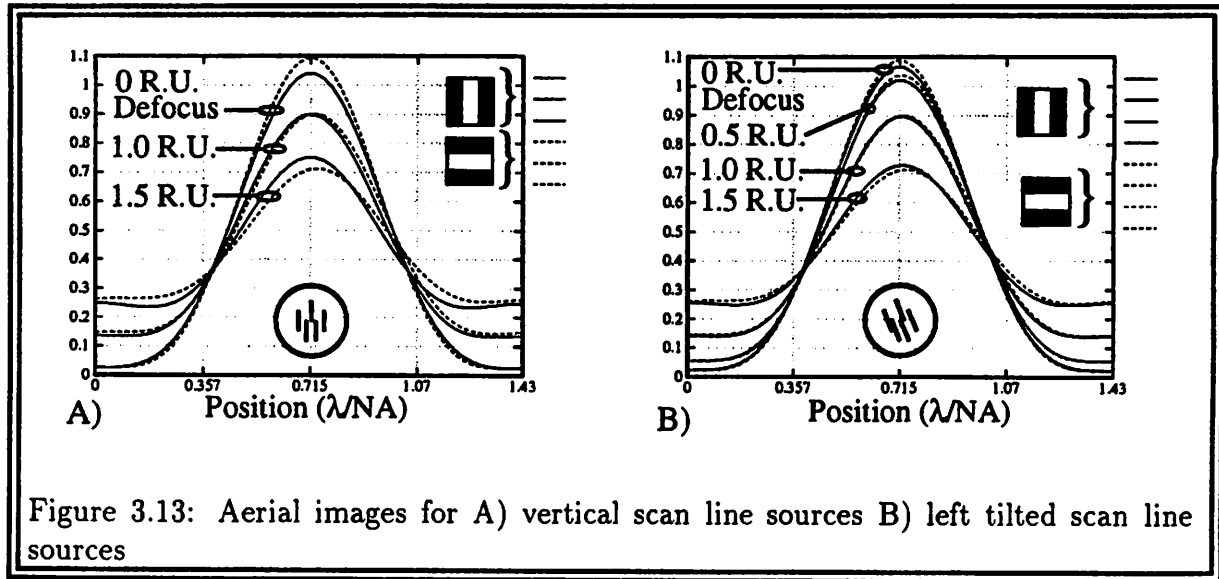


map. This approach facilitated the implementation and avoided writing complicated intersection routines to determine the integration area. The trade-off, however, is an increase in the simulation time, especially when a large number of pixels are used because every pixel should be sampled to guarantee accuracy. Testing of the arbitrary source imaging with SPLAT using circular sources is in agreement with those obtained with the source being represented analytically.

3.3.2 Effect of Source Shape on Image Quality

The interplay of source shape and feature orientation for periodic lines is illustrated in Figure 3.12 for a quadrupole illumination source. One of the ideas of this type of source is that there is an interplay between the angles in which the source drives the mask and the angles in which the diffracted orders come off. A lot of energy can be put into the rays going down the left and right side of the lens to get a very low dependence on focus. For the source shape and mask in Fig. 6A, an image can still print defocussed to 1.5 R.U. while most steppers only print up to about 1 R.U. Rotating the source by 45 degrees, or rotating the line by 45 degrees, as in Fig. 6B, shows that the source orientation is important in that the good behavior with focus is deteriorated. At a defocus level of 1.5 R.U., the pattern is over 20% in the dark areas.





3.3.3 Multi-element sources

A more relevant issue is how the nature of the sources envisioned for EUV systems may interact with features. The EUV system proposed by Sweatt [Swe93] is used here as an example. In this scanning system, the illumination source is in the shape of a set of five line sources. The angle depicted in Figure 3.13 correspond to how the source illuminates different regions of the mask depending on where they lie in the ring-field. The images produced by the five sources were simulated in two positions: tilted left and vertical.

Differences in image intensity for these source orientations were found when periodic mask features were changed from being horizontal to vertical to the scanning direction. Figure 3.13A shows this effect for vertical line sources. At zero defocus, the horizontal mask line is more intense than the vertical mask line by about 5%, owing to the differences in the effective sigma of the source seen by these different features. Horizontal mask lines see a larger effective sigma of 0.525 than the vertical mask lines which see a smaller effective sigma of 0.35. The worst case would be lines perpendicular to the tilt angle for which the width of the source is even smaller than that for the vertical orientation. For example, the mask lines perpendicular to left tilted source lines see an effective sigma of 0.565 while mask lines parallel to the source lines would see an effective sigma of 0.325. As with the quadrapole illumination system, there is an interplay of the pitch with the illumination angles due to the lens acting as a low pass ray angle filter. For multiple line element sources there may be rather sharp changes in image peak intensity when illumination lines tangent to the low pass

filter circle move inside or outside the acceptance circle of the lens.

With defocus the horizontal line images become non-symmetric, and intersect the vertical line images. The horizontal line patterns become asymmetrical with defocus due to the interplay of the asymmetrical source with defocus. Figure 3.13B shows the same simulation for the left-tilted source line which would correspond to the edge of the mask. The differences in the images are noticeably less, as the difference in effective sigmas are less.

3.4 Conclusion

SPLAT (version 5.0) can now simulate arbitrary illumination sources and wavefront attenuation. Wavefront attenuation reduces the intensity, and even with renormalization for open fields, small features require an approximate 8% oversizing with an OTA of $1 - 0.25\rho r^2$. The OTA can be implemented with only a 15% increase in CPU time through the use of analytic variations. The shape of the illumination source does not have a major effect on the image quality. Differences on the order of 5% are produced by the different scanning positions used in the bow-tie source. Reducing the discretization errors in arbitrary sources made from small elements increases the simulation time by an order of magnitude. Expressing the shapes with analytical functions would definitely decrease this time. However, this would require completely rewriting the integration routines.

Bibliography

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- [Swe93] William C. Sweatt. High-efficiency condenser design for illuminating a ring field. In *OSA Proceedings in Soft X-Ray Projection Lithography*, volume 18, Monterey, CA, 1993.

Chapter 4

MEMS Process Simulation using SIMPL

Abstract

This project demonstrates the use of SIMPL System 6 to simulate MEMS (MicroElectroMechanical Systems) process flows by combining mask layout data with process flow information. SIMPL-2 (Simulation of Profiles from the Layout) and SIMPL-DIX (Design Interface X-Windows) make up the core of SIMPL System 6 and were the primary tools. The focus has been on developing SIMPL System 6 to assist in the development of MEMS circuits and as a vehicle to coordinate process development with device design in a joint project on MEMS with Analog Devices. As a result, SIMPL System 6 has become much more functional and robust in regards to its internal algorithms and user interface. This project illustrates the success of using SIMPL on the following MEMS processes: MICS process, Side Wall Beam process, Analog Devices ADXL50 process, Metal Beam process, and iMEMS process. Various MEMS process problems are simulated and compared to lab results. A test mask for calibrating simulators and identifying some process problems has also been initiated.

4.1 Introduction

4.1.1 Motivation for Simulation

The technology understanding and quantitative analysis available in process simulators can greatly aid the process integration activity. They can help identify technology issues such as severity of planarity, transition topographies, and device protection schemes. They may also help predict device failure mechanisms or process limitations. In addition they may be used to help assess the influence of deposition technology on device performance, or the effect of process variations including misalignment errors.

Many processing issues exist in MEMS (MicroElectroMechanical System) processes, es-

pecially when integrating them with a standard device process. Due to the large thin-film stack height in the fabrication of the mechanical structures, problems in lithography, etching, and deposition arise. A few examples are: resist thickness variations causing linewidth variations, step coverage problems in deposition, and less predictable mechanical behavior of flexure members due to process dependent effects.

4.1.2 Project Overview

This research has focused on developing SIMPL System 6 (generally referred to as SIMPL) and using it to assist in the development of MEMS circuits. SIMPL (SIMulation of Profiles from the Layout) has been used to visualize cross section evolution in several MEMS processes by combining mask layout data with process flow information. The intent was to use SIMPL as a vehicle to coordinate process development with device design in a joint project on MEMS with Analog Devices.

The focus of research now even shifted to developing the tools rather than using them on more real lab examples as initially intended owing to the nature of the process steps for MEMS and the need to face up to algorithm limitations to achieve robustness. Significant changes have been made to the both the internal algorithms and user interface. From this effort, the capabilities of SIMPL have improved significantly, especially in etching robustness and in the visualization of mask layers and cross-sections. Extremely complicated MEMS process flows have been simulated from start to finish, the largest of which contains over 150 (SIMPL) process steps and 22 masks. A number of of the cross sections created in addressing processing problems will be used to illustrate the current successful status of the newly released SIMPL System 6.

This chapter will begin with an overview of SIMPL System 6 which will serve as a primer to the subsequent section describing various process technologies as simulated in SIMPL. In this section, comparison to actual lab results and investigation into processing problems will be discussed. The next section is similar, and will describe the use of fabrication test structures to identify processing problems. Finally, this is followed by recommendations for future work and the conclusion.

4.2 Overview of SIMPL System 6

SIMPL System 6 is a collection of software tools to simulate 2D device processing. The principle components of SIMPL System 6 are SIMPL-2 [KLN83] and SIMPL-DIX [W+88].

SIMPL-2 contains most of the routines necessary for manipulating data and generating

cross-sections. It also contains first-order models for certain basic process steps: exposure, development, deposition, etching, implantation, diffusion and oxidation. These models are goal-oriented in nature, requiring input parameters which describe the dimensions and shape of the affected material after that step is performed. For example, implantations are approximated by a Gaussian function with a user-defined peak depth and standard deviation. Deposition models require a material name and thickness to extended the current topography.

SIMPL-DIX (Design Interface in X-Windows) provides the graphics and user-interface capabilities of SIMPL System 6. A layout and profile viewer is provided along with a variety of design tools. All these features are thoroughly detailed in the SIMPL System 6 Users' Guide [Ele95]. The release notes present in this document lists new features which have been developed in the effort to simulate the MEMS process flows.

SIMPL System 6 is also linked to SAMPLE [WOO79] [WO+80] for physical vapor deposition, isotropic and anisotropic etching of multiple non-planar layers, and optical lithography on a planar substrate. SAMPLE deposition and etching has been used extensively in this project. Links to various other tools (e.g. SUPREM [CHD83], [MELD88] for implantations) exist but will not be discussed as they were not used in this project. Again, please refer to the SIMPL System 6 Users' Guide for more information.

SIMPL utilizes the layout and process flow specifications to visualize the evolution of the device cross sections. This has proven useful for designing complicated processes with many more masks than a standard CMOS process.

4.3 Simulation of MEMS Process Flows

4.3.1 Overview of Simulation Cases

Several process flows have been created for simulation with SIMPL, and are listed in Table 4.3.1. Each will be covered in detail except for the proprietary ADI iMEMS process. The resonator example will be used to illustrate the various etching models available. The NWell CMOS example will show the the overall MICS process—electronic devices with microstructures. The position sensor example will show a stringer problem. The actuator example will show the use of SOG to remove the formation of stringers. The side wall beam technology example will show the use of stringers to form hollow beams. The ADXL50 process is used to illustrate a more complicated example and the use of SAMPLE non-planar etching. The metal beam process will show the ability for SAMPLE to model resist flow. The ADI BiMOS2C fabrication test structures were designed to investigate processing problems in the fabrication of the ADXL50 accelerometer and the multitude of structures designed at UC Berkeley (as

part of the ARPA iMEMS project).

Many other less complicated process flows (e.g. CMOS and NMOS) also exist which are included with the basic SIMPL System 6 software package.

Process	Layout	Purpose/Description
MICS	Resonator NWell CMOS Position Sensor Actuator	Demonstrate Etching Models Demonstrate MEMS Integration Stringer Problem Example SOG Planarization Example
Side Wall Beam	Beam	Stringer Example
ADI BiMOS2C	ADXL50 Accelerometer	MEMS Integration, Non-planar Etching
Metal Beam	Sense Capacitor	Resist Flow Example
ADI BiMOS2C	Fabrication Test Structures	Fabrication Problem Detection

4.3.2 MICS

Process Overview

The MOS fabrication process has been integrated with surface micromachining of polysilicon structures. The technique used at the University of California Berkeley is a modular approach whereby the CMOS transistors are completely fabricated before any of the surface micromachining [WYH90]. Hence the process is called the Modular Integration of CMOS with microStructures, or MICS. The baseline process IC process is a $3\mu\text{m}$ design rule, double-poly, single metal CMOS process. The standard aluminum metalization has been replaced with tungsten since aluminum can not withstand the high temperature annealing of the microstructure. A $TiN/TiSi_2$ diffusion barrier at the metal/silicon contacts has also been added to prevent the reaction of tungsten and silicon at 6000 C.

Surface micromachining is employed to add three levels of polysilicon. Electrical connection between the micro structures and CMOS is accomplished through a jumper layer of polysilicon to prevent out diffusion of tungsten into the polysilicon deposition tubes. The use of tungsten and its silicides has been borrowed from already mature VLSI process technology and shows promise for increasing levels of integration. To minimize the stress in deposited films, rapid thermal annealing (RTA) is employed to limit excessive dopant redistribution. In addition, the structural polysilicon layers are sandwiched in between two doped phosphosilicate glass (PSG) layers so that the stress gradient in the polysilicon is minimized after the RTA.

Simulation

Several different versions of the MICS process flow have evolved as more functionality and robustness have been programmed into SIMPL. The process flows differ in accuracy of the etching and deposition models and hence simulation time. Appendix C briefly discusses the different ways etching can be modeled in SIMPL. Ion implantations, may also be simulated more rigorously but this will not be attempted as it was not the main processing thrust.

The mask and layer names in the MICS process used in the SIMPL process flows are defined below.

Mask Name	Description	Layer Name	Description
CAA	Active Area	CAP0	Capacitor Oxide
CCA	Metal Contacts	M1	Metal
CEL	Poly Capacitor	NTRD	Locos Nitride
CMF	Metal	NTDP	Cmos Passivation Nitride
CPG	Gate Poly	OM1	Oxide Mask for SP1
CSP	Nwell	OM2	Oxide Mask for SP2
SD2	Dimple for SP2	PLYC	Capacitor Poly
SD3	Dimple for SP3	PLYG	Gate Poly
SG1	SP2 anchor	PSG0	CMOS PSG (under M1)
SG2	SP3 anchor	PSG1	Sacrificial Oxide under SP2
SNT	CMOS passivation etch	PSG2	Sacrificial Oxide under SP3
SP1	μ structure poly1	PSGP	CMOS Passivation Oxide
SP2	μ structure poly2	RST	Resist
SP3	μ structure poly3	SP01	SP1
		SP02	SP2
		SP03	SP3

Note: SP01 is used for the material name while SP1 is used for the mask name. This distinction was made only to allow for different color patterns in SIMPL-DIX. The same is true for the other structural polysilicon layers.

Resonator

One of the simpler MICS examples is the lateral resonator. This example is used to show the different types of etching models in SIMPL. Figure 4.1 shows the mask and cutline which cuts through an anchor on the left and a dimple on the right. Figure 4.2 shows the layout and cross section of a resonator simulated using the most primitive form of etching. Notice that all the etch cuts have straight sidewalls. Figure 4.3 shows the cross section using etching with undercutting which results in sloped sidewalls. This is more accurate especially for isotropic etching as done in the dimple region. Finally, Figure 4.4 shows the same cross

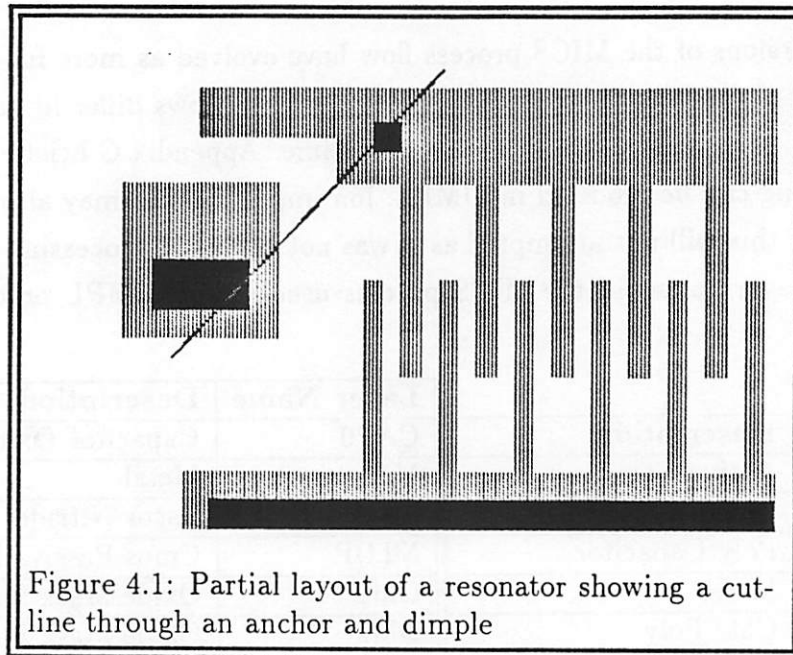


Figure 4.1: Partial layout of a resonator showing a cut-line through an anchor and dimple

section using the most rigorous etching, referred to as SAMPLE-nonplanar etching because SAMPLE performs all the etching. In this case, the isotropic etching used to form the dimple creates curved geometries as expected in a real process. For more details about etching please refer to Appendix C.

MICS NWELL

The full view of a MICS cross section showing the N-well CMOS and sensor area is shown in Figure 4.5. In between the PMOS and NMOS transistors, there is a poly-poly capacitor. A zoomed-in view of the the right side of the figure is shown in Figure 4.6. As described earlier,

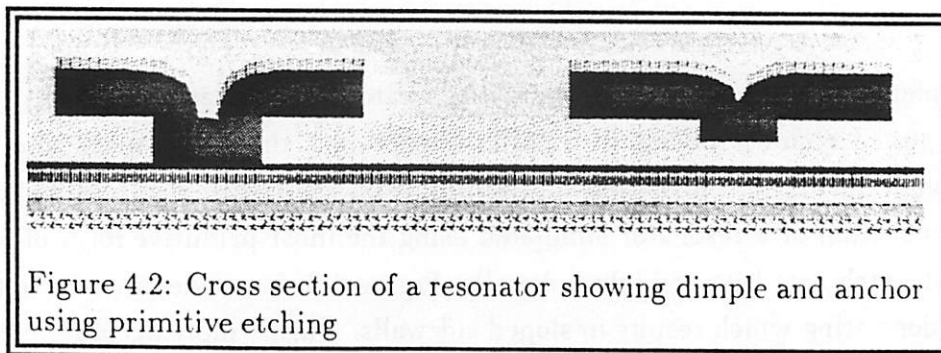


Figure 4.2: Cross section of a resonator showing dimple and anchor using primitive etching

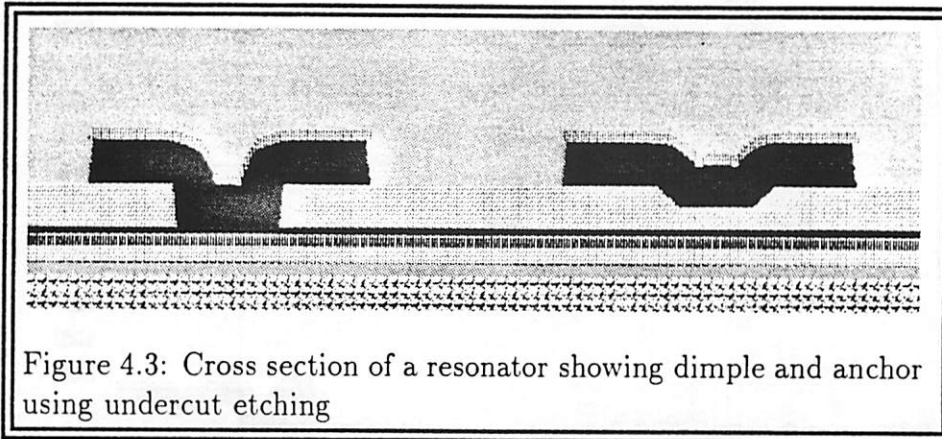


Figure 4.3: Cross section of a resonator showing dimple and anchor using undercut etching

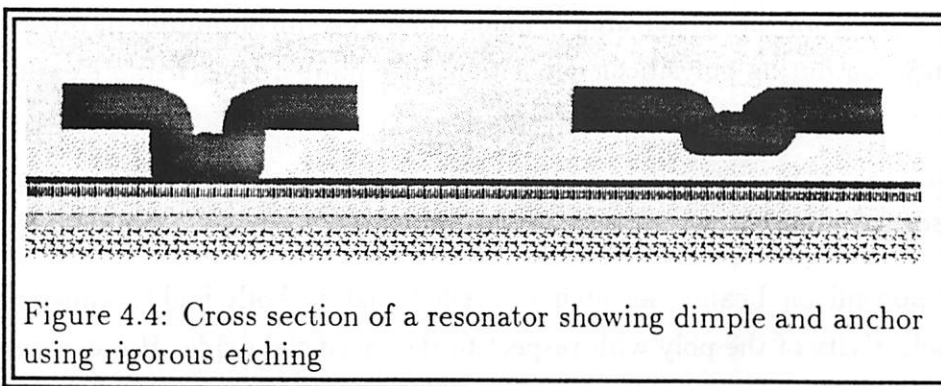


Figure 4.4: Cross section of a resonator showing dimple and anchor using rigorous etching

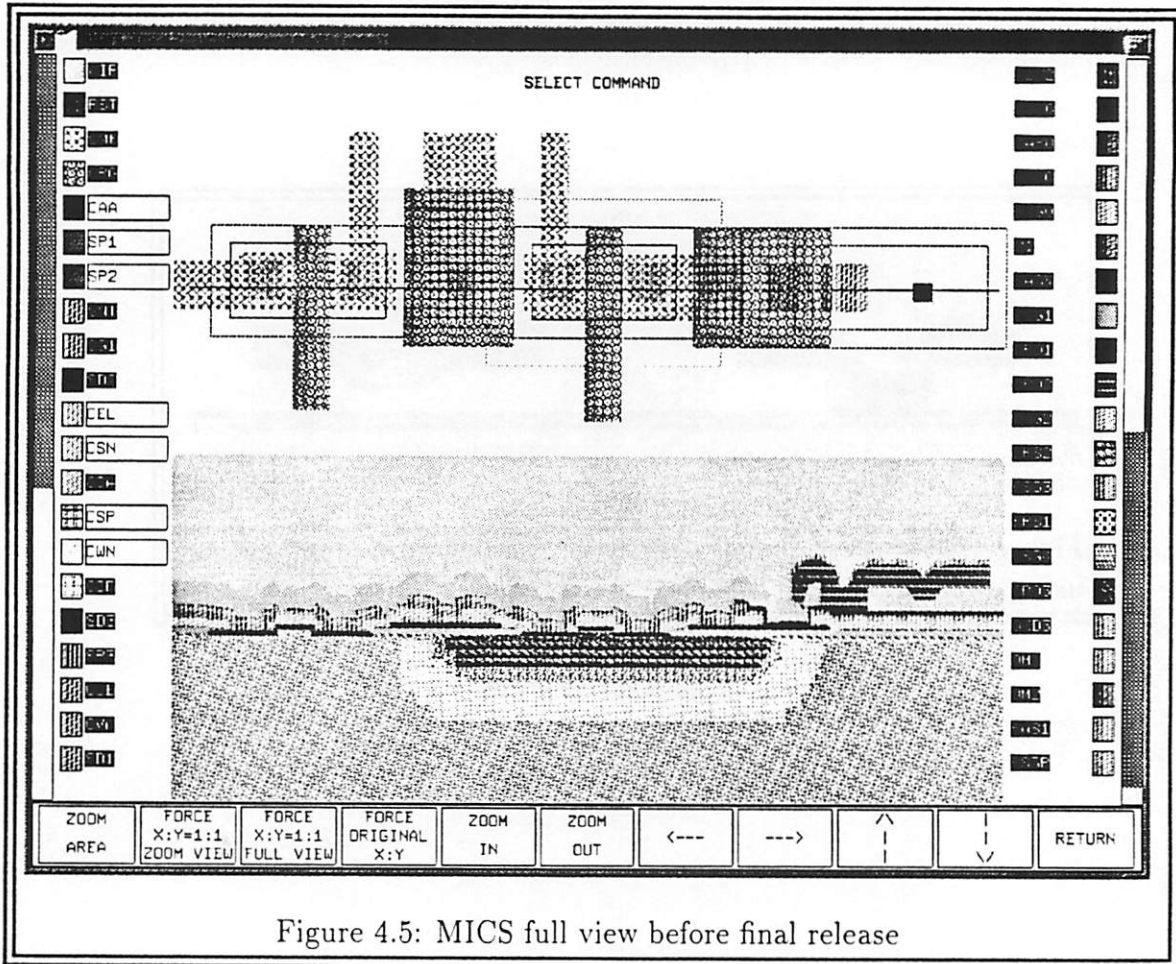


Figure 4.5: MICS full view before final release

electrical connection from the sensor area is made via a polysilicon jumper layer to prevent tungsten out-diffusion during polysilicon deposition. The jumper layer is more easily seen in this figure.

Position Sensor

In etching the polysilicon beams, an etch is needed that is both highly anisotropic and provides high selectivity of the poly with respect to the sacrificial oxide. However, elsewhere in the structure, the anisotropic etch may leave poly residue unless a significant over-etch is used. Unremoved poly can become a conduction path causing short circuits, or bind together structures which should be free standing.

These types of problems were found on a particular position sensor design in MICS process, and they have been simulated. The cross-section shown in Figure 4.7 has SP2

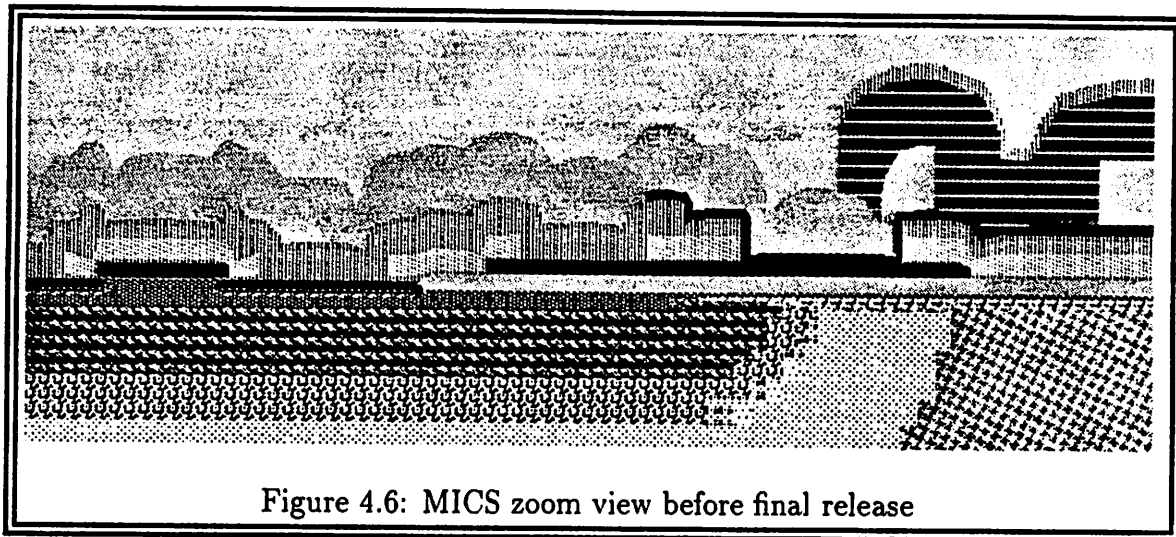


Figure 4.6: MICS zoom view before final release

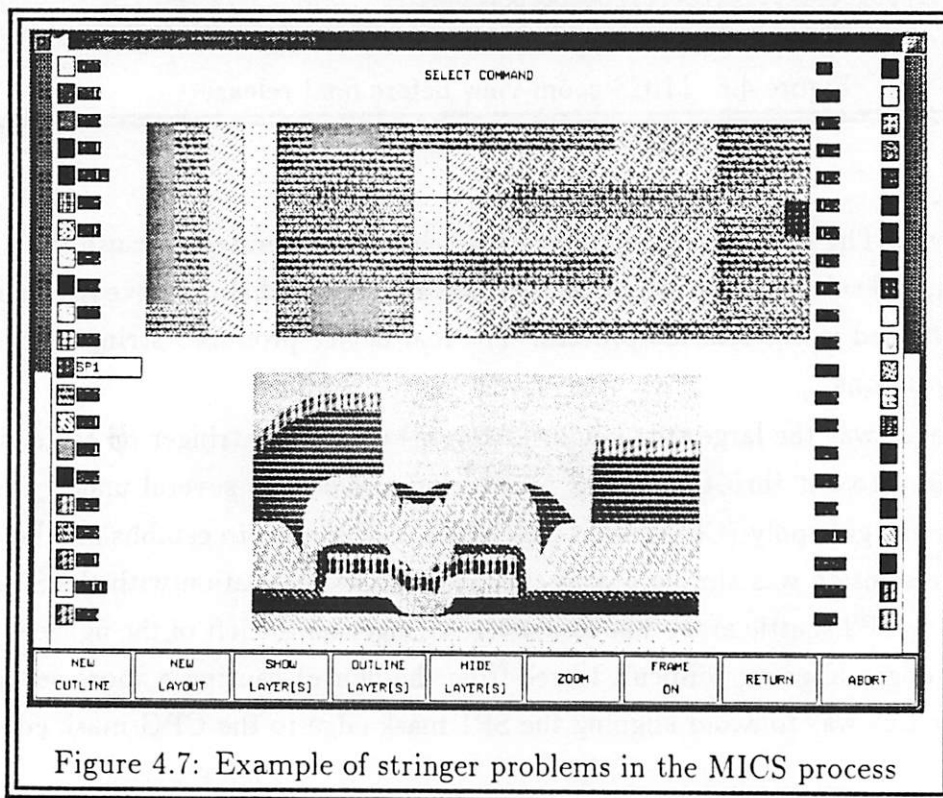
stringer problems. The severity of the stringers has been exaggerated because the SP2 was not over-etched, and subsequent isotropic etching was not done to help remove the stringers—a process step included in the real lab process. The real device produced stringers even with the normal precautions.

The main cause was the large step height ($\approx 1.4\mu\text{m}$) under the stringer on the right. The SNT mask is used to cut thru the CMOS passivation nitride and several underlying oxide layers to expose the gate poly (CPG) upon which SP1 is deposited to establish an electrical connection. The solution was simply not to cut through the passivation with the SNT mask in areas under the SP2 shuttle area. The less severe stringer on the left of the figure is caused by many mask edges aligning (difficult to see from the figure) causing a more severe step. The solution for this was to avoid aligning the SP1 mask edge to the CPG mask edge when possible.

In this simulation, SAMPLE-nonplanar etching was used to perform the SNT cut. It is particularly useful because one etch step cuts through many layers of oxide: passivation oxide (PSGP), CMOS oxide (PSG0), capacitor oxide (CAPO). Other methods of etching would require the user to specify each layer of oxide to etch. Forgetting to etch through the other layers would result in a step height of only about $0.6\mu\text{m}$.

Simulation of SOG planarization

A variation of the MICS process is to add a second structural layer. A second structural layer is desirable to serve as a limit stop for moving beams, upper ground planes, and upper



sense or actuation electrodes.

A problem with the earlier design of this process was the formation of stringers of SP3 (the second structural poly but the third poly in the backend MEMS process). A planarization process employing the use of spin-on glass was used to solve this problem. The SOG planarization process can now be simulated using SIMPL. In previous versions the etch-back step did not work on complicated topography.

An SEM cross section of the MICS 3-Poly process is shown in Figure 4.8 [Fed94]. The situation depicted is similar to but not the same as the one simulated by SIMPL. In this case, voids (completely sealed from the top) were created between the fingers of SP2 during the deposition of PSG2. Subsequent deposition of SP3 filled these voids from openings at the ends of the fingers. In essence, SP3 filled a tunnel. The lower SEM in Figure 4.9 [Fed94] shows this situation. In contrast, the top SEM in Figure 4.9 shows the situation where the PSG2 did not completely pinch off. This is the what has been simulated using SIMPL. The first case requires 3D simulation and the representation of materials with holes which SIMPL was not designed to handle.

The SIMPL simulation results of the second case are shown in Figures 4.10 and 4.11. In Figure 4.10 no planarization was used which resulted in SP3 stringers and undesirable SP3 filling gaps between the SP2 beams. Again this situation has been exaggerated because no over-etch or extra isotropic etching has been done. Figure 4.11 shows the situation in which the same etch times have been used but instead an SOG planarization step is added. With the SOG filling in the gaps, no undesirable or residual SP3 is present.

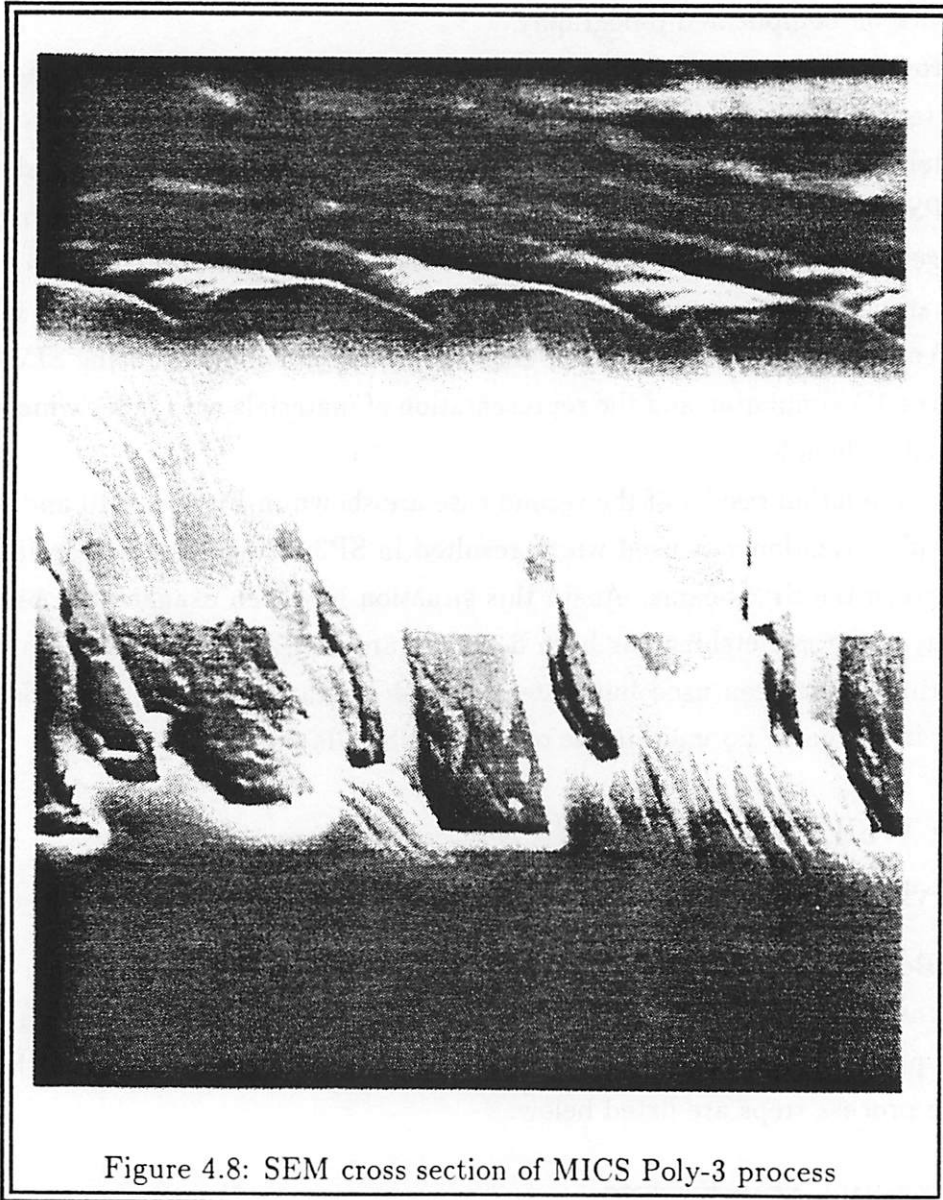
4.3.3 Side Wall Beam Process

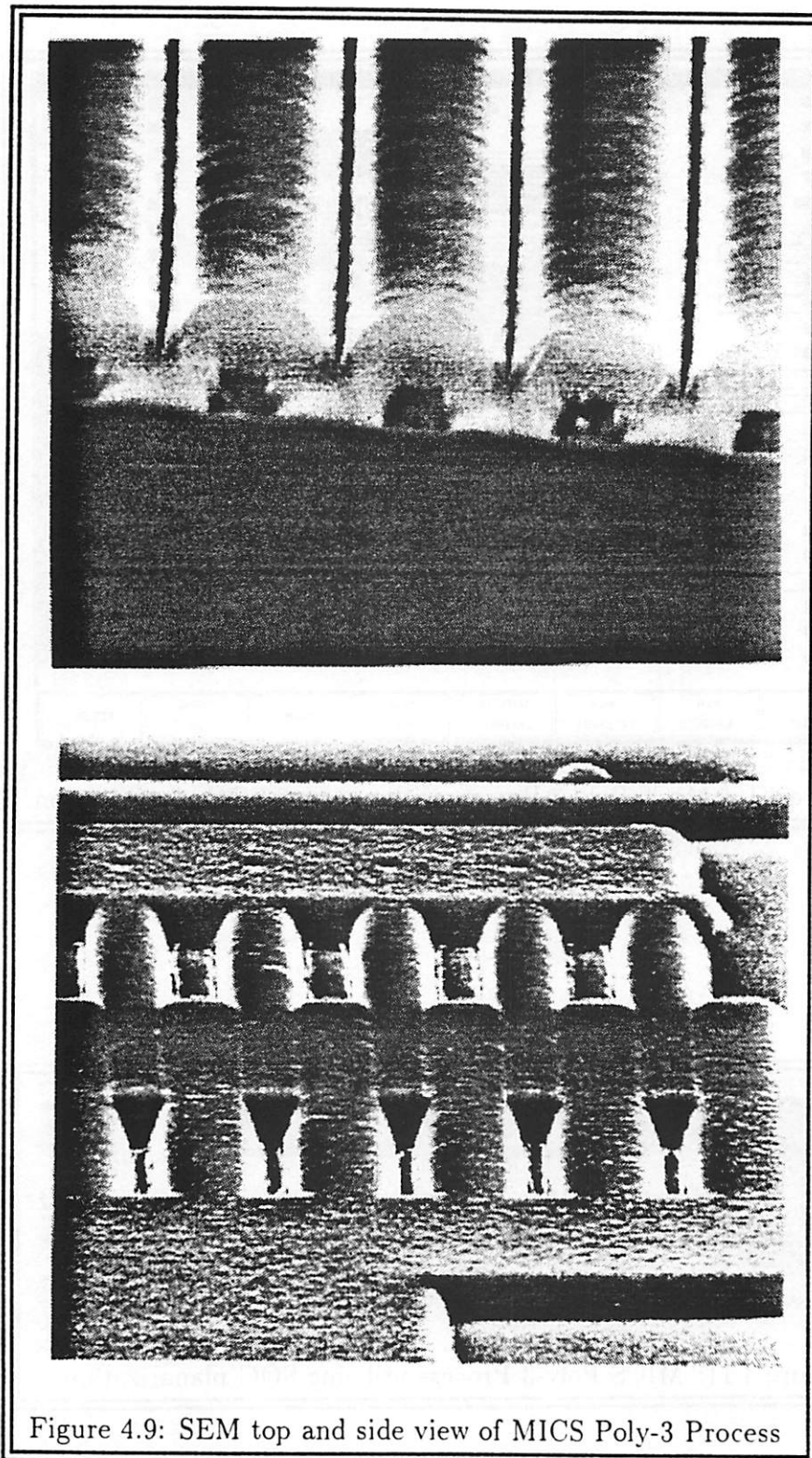
Process Overview

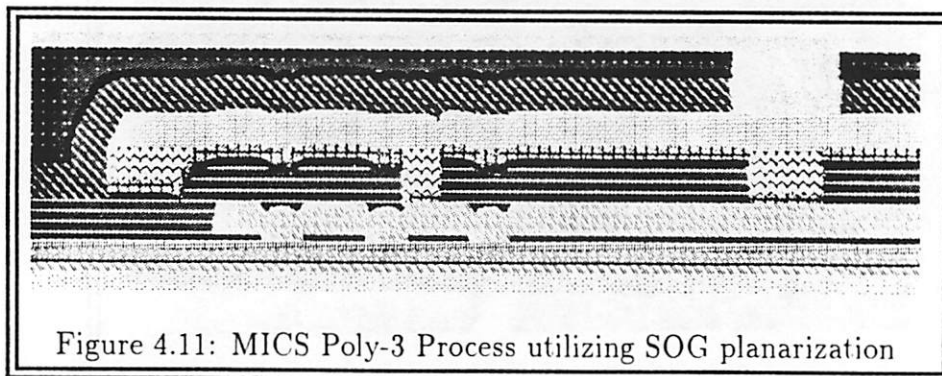
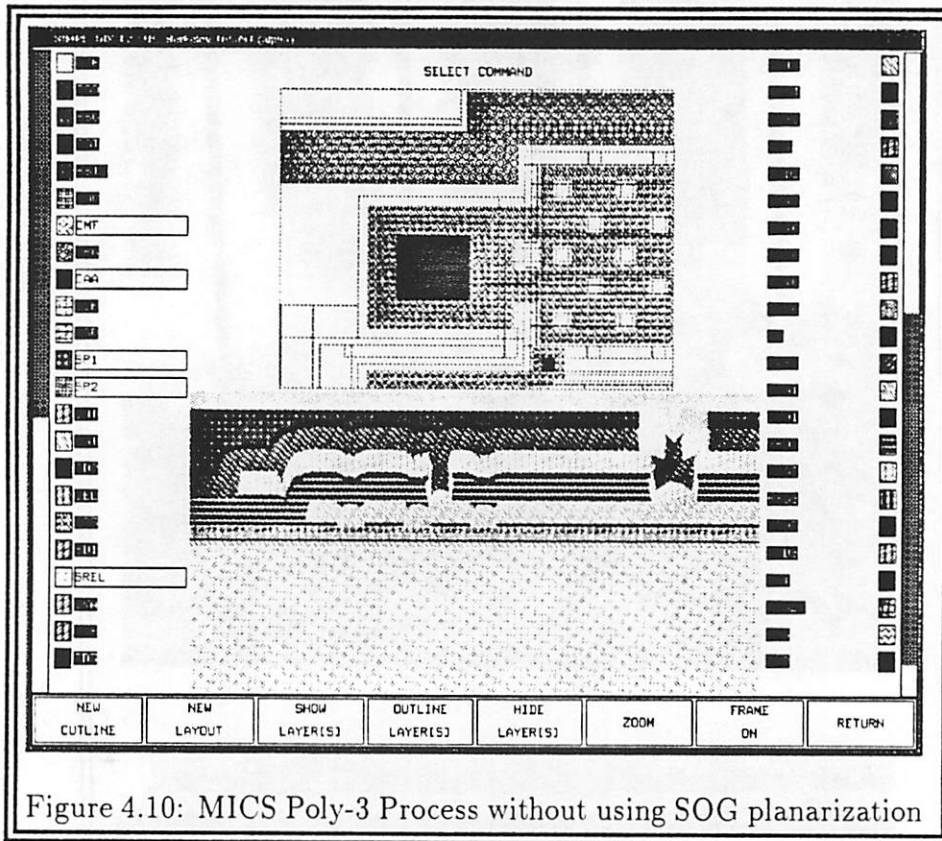
The Side Wall Beam Process [JH93] is a method to fabricate hollow beams with a very low resonant frequency for use in resonators. This process has been simulated using SIMPL. Simulating this process relies on anisotropic etching leaving behind stringers, which become the beams. The process steps are listed below:

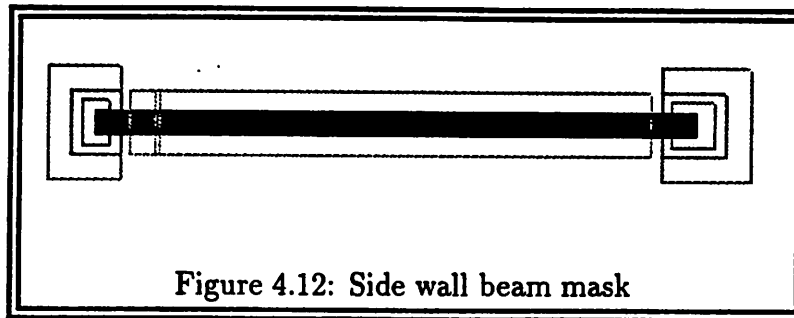
BASIC SIDE WALL BEAM PROCESS

1. Deposit 4 um psg (psg 1)
2. Lithography - mask NP - forms mesas of oxide
3. Partial anisotropic etch of psg (psg 1)- only 2 um of PSG
4. Strip PR, clean wafers
5. Deposit 0.2 um polysilicon (poly 1) & 0.2 um psg (psg 2)









6. Lithography - mask NS - pattern poly (form sidewalls)
7. Anisotropic etch of psg (psg 2)
8. Anisotropic etch of poly (poly 1)
9. Deposit 0.2 um PSG (psg 3)
10. Lithography - mask NPS - contact to poly 1
11. Anisotropic etch of psg (psg 3 and psg 2)
12. Deposit 2.0 um poly (poly 2)
13. Lithography - mask ND - poly 2
14. Anisotropic etch of poly (poly 2)
15. Lithography - mask NC - removal of stringers
16. Isotropic etch of poly (poly 2) along sidewalls
17. Isotropic etch of all psg layers

The initial attempts to simulate this process failed because simpl-2 could not handle the simulation of stringers. The etching algorithms had to be significantly altered for this process to work.

Simulation Cross Sections

Several SIMPL cross sections are shown in Figures 4.13- 4.15 and the mask is shown in Figure 4.12.

The various cross sections views demonstrate the non-trivial aspects of this process. SIMPL can greatly aid in the visualization and process design, especially when the user can see the step-by-step process graphically on the computer screen.

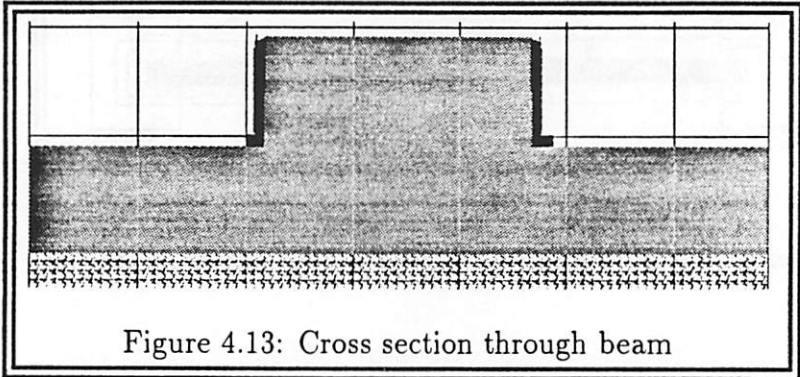


Figure 4.13: Cross section through beam

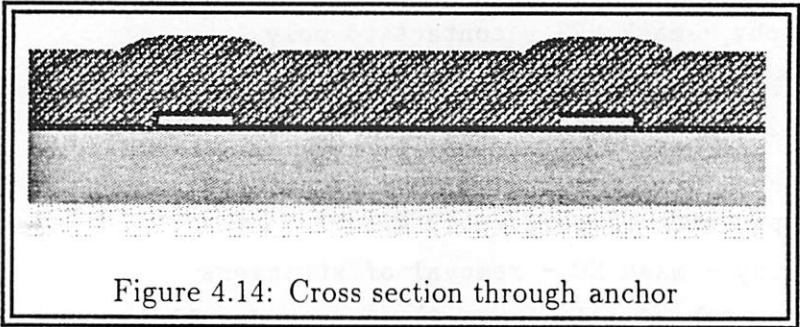


Figure 4.14: Cross section through anchor

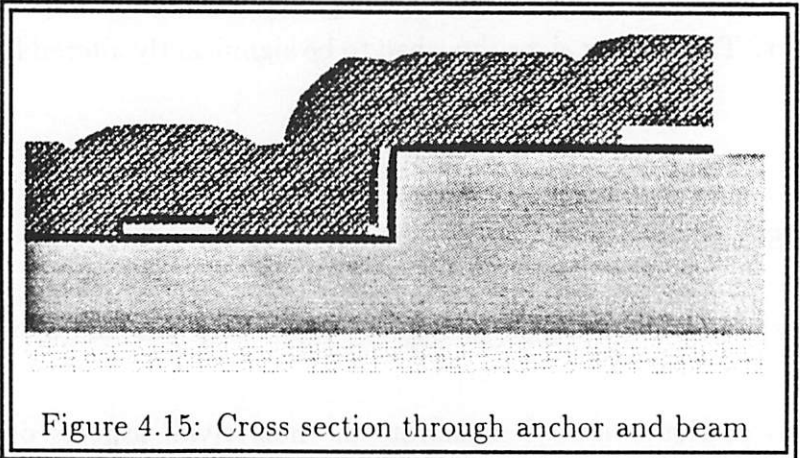


Figure 4.15: Cross section through anchor and beam

4.3.4 BiMOS Technology

Process Overview

A technology to integrate a single-level polysilicon surface micromachined layer with a BiMOS process was developed at Analog Devices [TACS93]. It is used to fabricate the ADXL50 fully integrated surface micromachined accelerometer used to deploy air bags in cars.

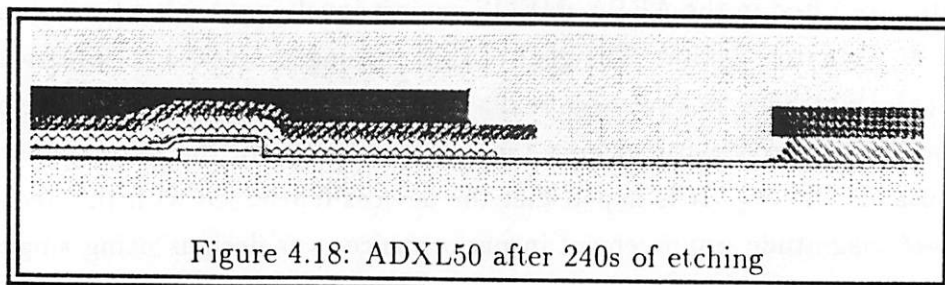
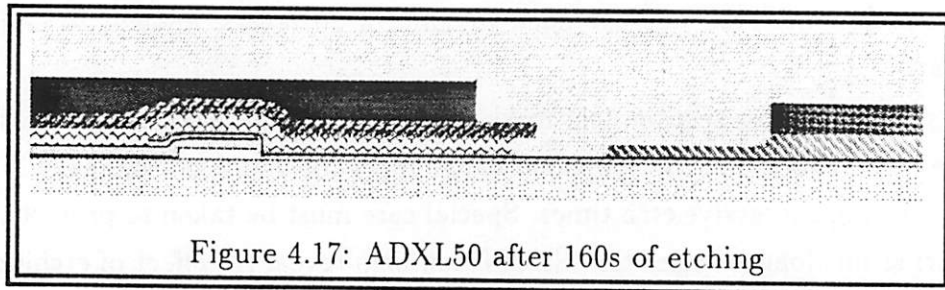
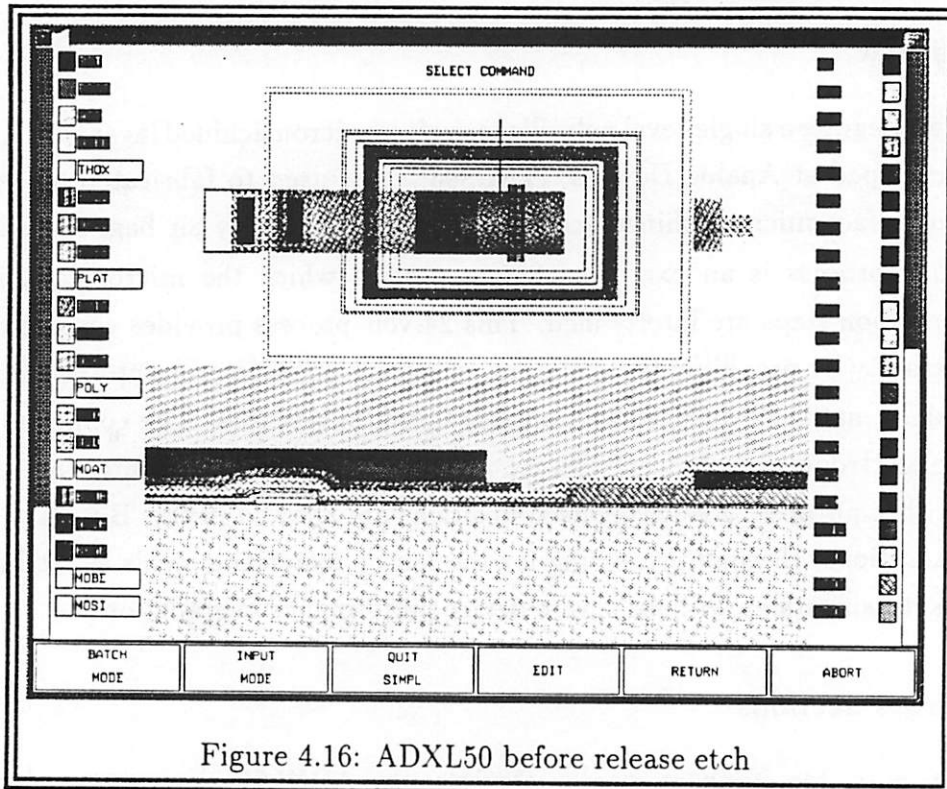
The ADXL50 process is an example of a process in which the micromechanical and electronics fabrication steps are intertwined. This 24-volt process provides considerable circuit design flexibility by providing vertical npns, substrate npns, lateral pnpn, enhancement PMOS and NMOS, native NMOS, and trimmable thin-film resistors. The connection of the sensor with the electronics is achieved with n+ underpasses in the sensor area—no metalization is used for that purpose. Relatively deep junctions are allowed in this BiCMOS process which permit additional thermal processing for the sensor material anneals as well as other brief dielectrics densifications without severe device performance degradation.

Simulation Cross Sections

In collaboration with Joe Kung at Analog Devices, the ADXL50 process was developed. One of the initial limitations was the restriction of etching only six non-planar layers. As described in Appendix C the top layers of the profile are exported to SAMPLE for the non-planar etching simulation. This was extended to total of 20 layers, enough to satisfy the ADXL50 process.

Figure 4.16 shows the cross section, test mask, and cutline of the ADXL50 process before the main release etch. The SAMPLE-nonplanar capabilities are shown in Figures 4.17, 4.18, and 4.19, each showing successive etch times. Special care must be taken to protect the rest of the device during this long release etch. SIMPL can help reveal the effect of etching on all of these layers.

The success of the ADXL50 technology and the awareness of the benefits of increased levels of integration resulted in the ARPA μ MEMS project involving Analog Devices and the Berkeley Sensor & Actuator Center. The goal of this ongoing project is to integrate VLSI with micromechanics which has not yet been realized. This would extend the number of transistors integrated with microstructures from the current number in the thousands into the hundreds of thousands [P+95]. It is hoped that the devices fabricated with this technology will have orders-of-magnitude improvement in performance over designs using single sense elements. The μ MEMS approach is to create systems using many simple and imprecise elements. The performance is gained through averaging, matching, and ratiometric techniques.



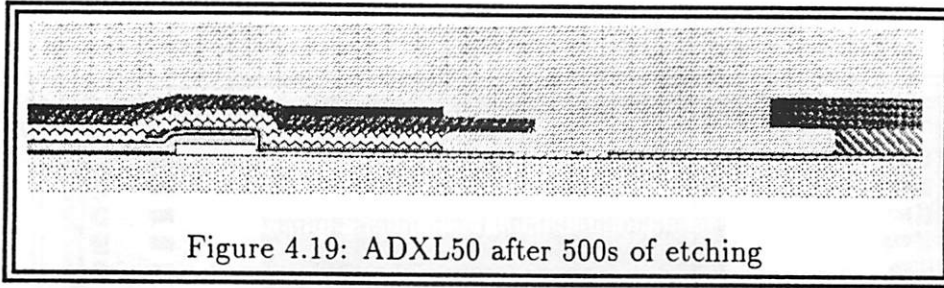


Figure 4.19: ADXL50 after 500s of etching

to reduce noise for example. Target applications include monolithic high-performance multi-axis accelerometers, vibratory rate gyroscopes, mechanical filters, among others.

4.3.5 Metal Beam Process

Process Overview

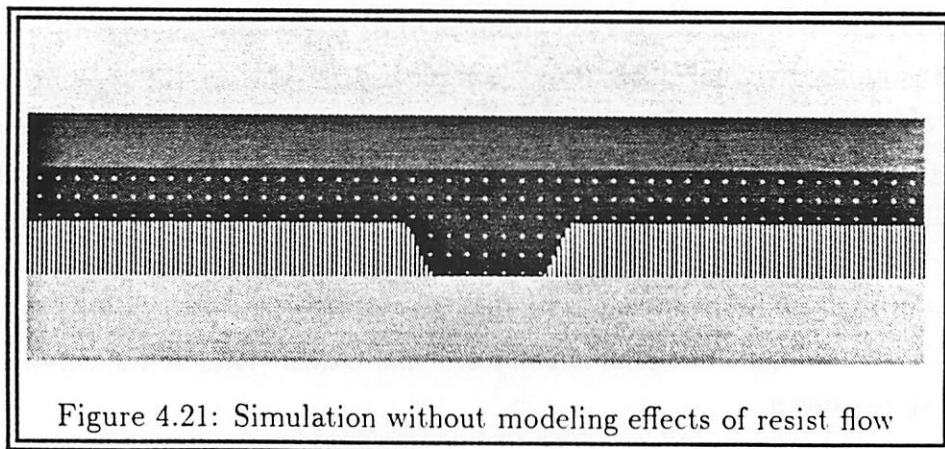
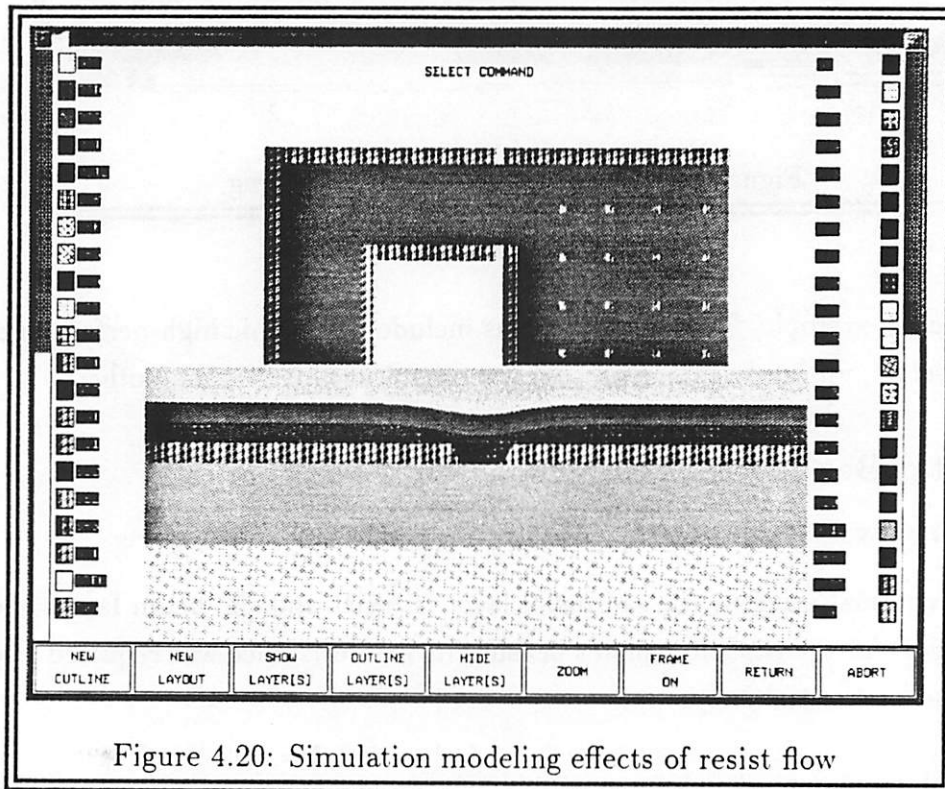
In this unconventional process, the sacrificial layer is resist and the beam layers are metal. Aluminum is used for the capacitor plates because its low resistance was required to gain the necessary capacitance sensitivity.

SIMPL Simulation

The spinning of resist, in the old version of SIMPL, was not suited to simulate this process because the top surface of the resist also ended up perfectly flat, no matter what the topography was. Because the beam layer is deposited over the resist, the resist influences its shape. Real resist spun onto a wafer would exhibit thinning at step edges.

To model this effect to first order, the top profile of SIMPL was convoluted with a Gaussian function with an optional sigma. This was accomplished by placing the top surface on a uniform grid, taking the discrete Fourier transform, multiplying it by the Gaussian filter, and then taking the inverse Fourier transform. A specified z-amount is also specified. Some simulation results are shown in Figure 4.20 as compared to a SIMPL simulation in Figure 4.21. without modeling any type of resist flow. An actual SEM showing a similar scenario with the metal beam crossing over a trench is shown in Figure 4.22. The effects of resist spinning are evident by the dip in the metal beam as it cross over the trench. Also at the contact edges, the metal layer slopes down.

At present this new deposition model only works for periodic structures in which the left and right side of the topography are similar. This could be changed by changing the boundary conditions to mirror each boundary.



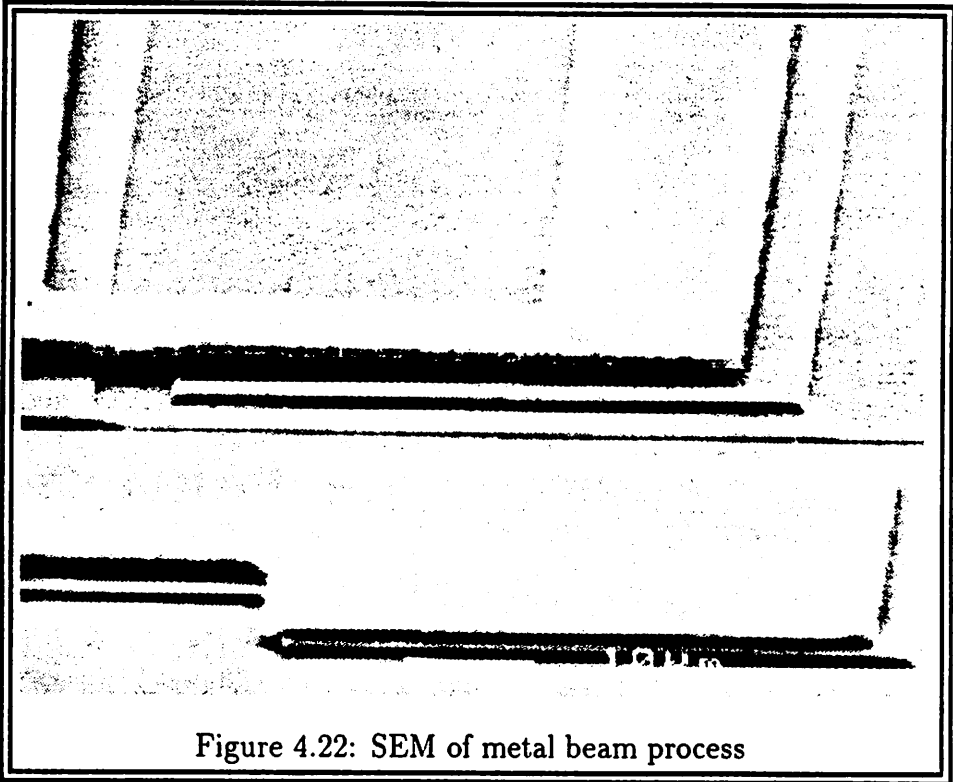


Figure 4.22: SEM of metal beam process

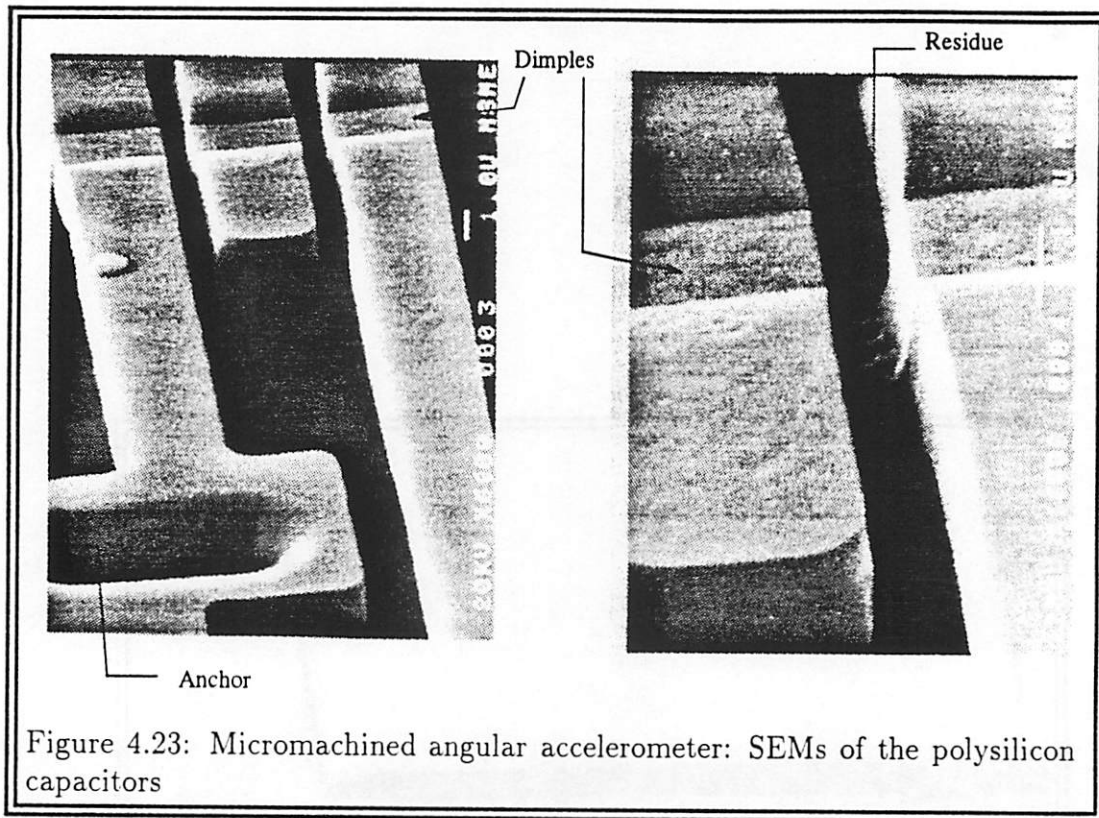


Figure 4.23: Micromachined angular accelerometer: SEMs of the polysilicon capacitors

4.4 Fabrication Test Structures

4.4.1 Residue Problem

Process issues were investigated from the Berkeley dies of the first stage BiCMOS2C run (Analog Devices ADXL50 process). Residue between the fingers of an angular accelerometer were reported at the dimple locations. Initial investigation revealed that the residue was likely polysilicon and was caused as a result of resist not clearing completely, impairing the etching of the polysilicon while defining the fingers. SEMs of this problem are shown in Figure 4.23 [Bro94].

4.4.2 Test Structure Layout

A variety of test structures, shown in Figure 4.24, have been designed to isolate some of the most likely causes of the residue and are all believed to be lithography related. The possible causes may be due to the following: differences in resist thicknesses resulting in thick resist not clearing completely and impeding the polysilicon etching, reflection from the polysilicon

shoulders of bump areas causing standing wave nulls in the resist, and properties of the mask maker when defining non-Manhattan lines.

The test structures on the bottom half of the figure (forming a semi-circle) are a series of periodic lines and spaces of polysilicon (the beam layer) reproduced every 22.5° , with one set also at 11.25° . The line/space ratios along a radial line starting from the center are 4:1, 2:1, 1:1, 1:2, and 1:3 with the lines always $4.0\ \mu\text{m}$ wide. The test structures have been rotated at various angles to test the mask makers ability to define non-Manhattan lines. The various line/space ratios will determine the effect of printing isolated and periodic lines. The spoked test structures in the center are $4.0\ \mu\text{m}$ lines rotated every 11.25° with a $4.0\ \mu\text{m}$ wide dimple ring. This will test the residue problem at various angles. The other spoked test structure on the left of the figure is similar but the beam spokes are separated every 22.5° . The test structure between the spoked test structures consists of concentric rings of the beam layer separated by 0.9 , 1.0 , 1.1 , and $2.0\ \mu\text{m}$ gaps. This will again test the rotational dependencies and the effect of etching the beam layer out of different size gaps without the presence of the dimple layer.

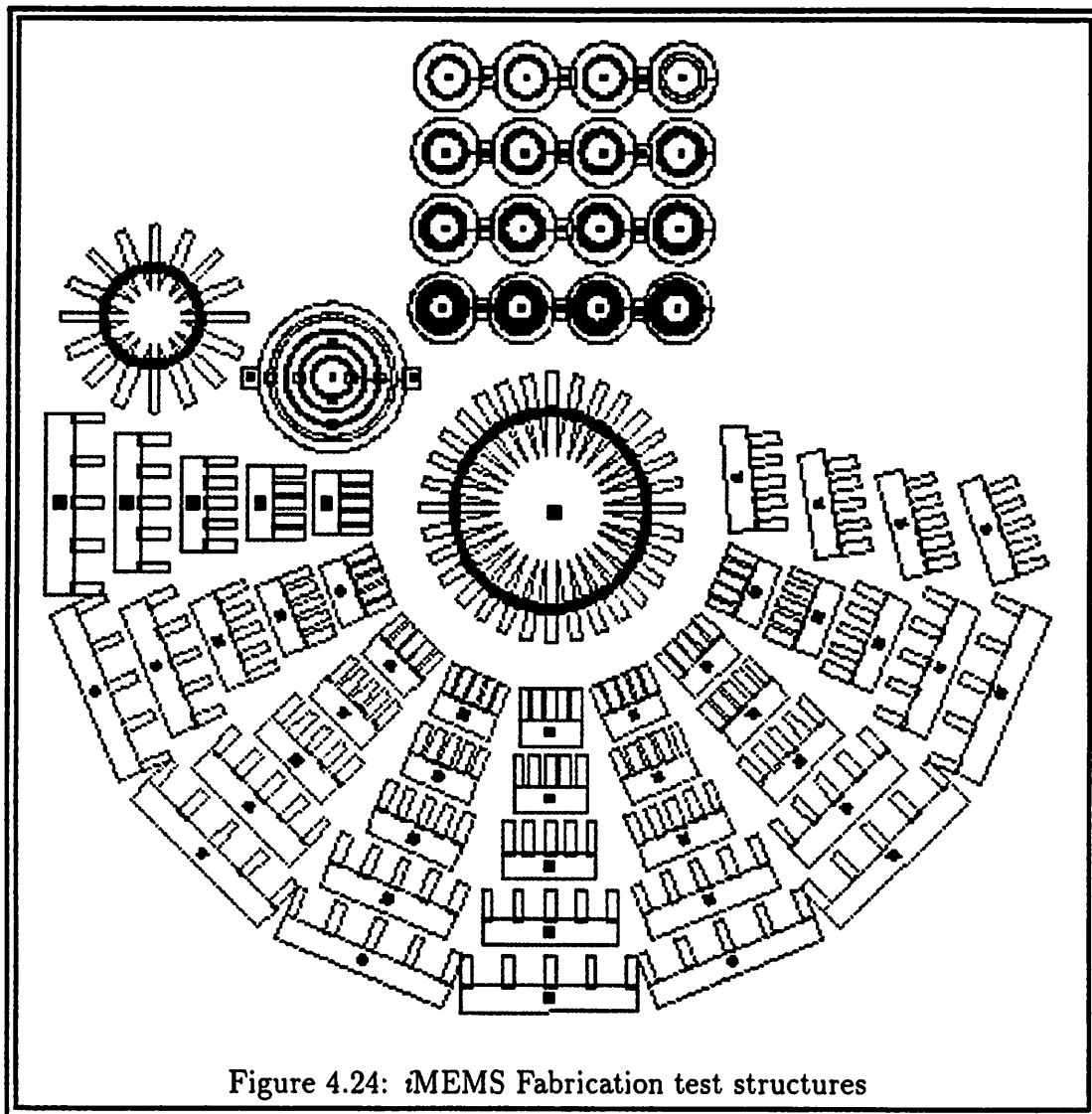
The remaining test structures located on the top center of the figure are shown in more detail in Figure 4.26. The test structures are made of an inner disk of polysilicon enclosed by an outer ring of polysilicon. The gap between them increases from left to right to assess if the residue is dependent on etching. The gaps are circular to test the angular dependence of the mask maker, and the bump mask width increases from top to bottom to check the dependence of resist thickness variations.

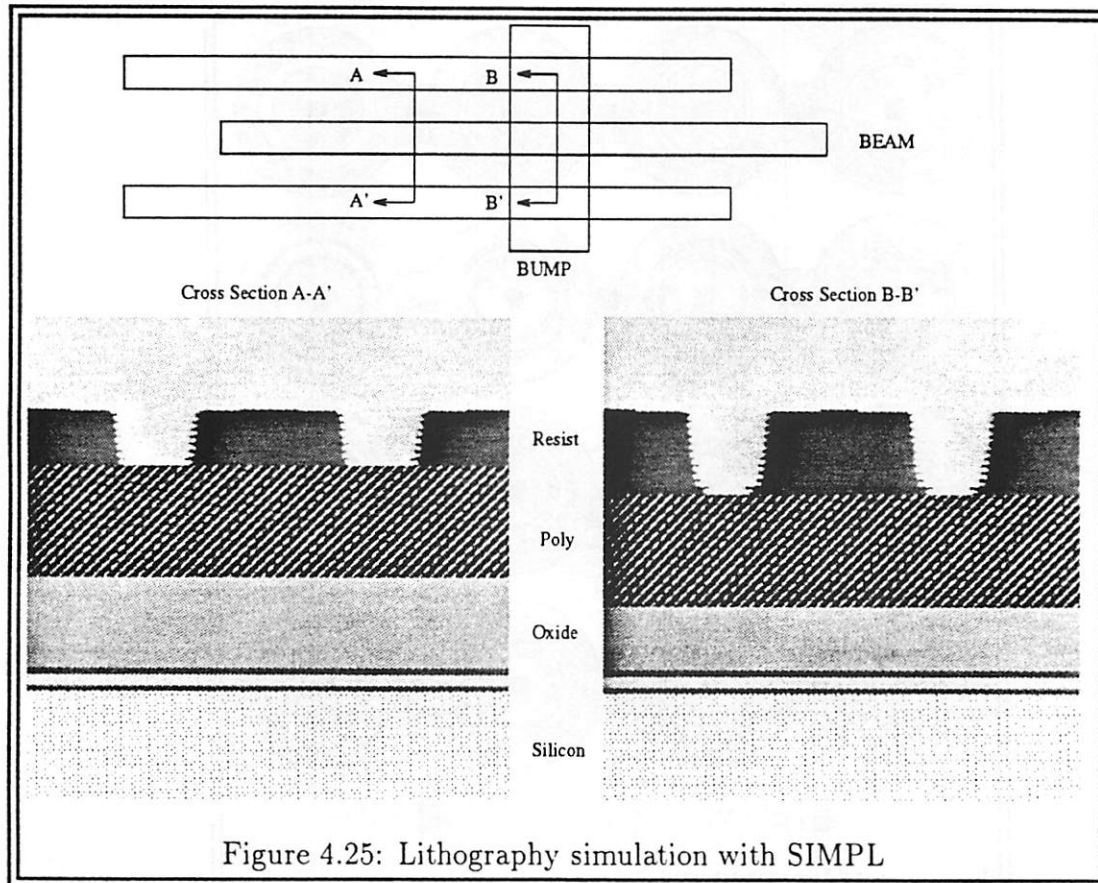
4.4.3 Simulation

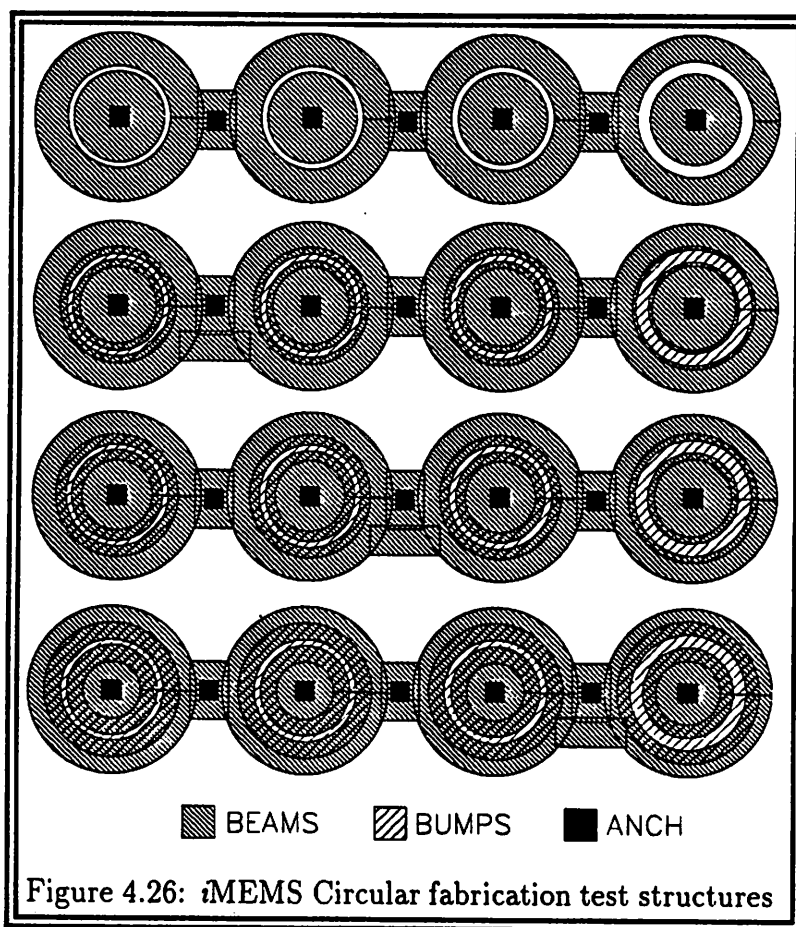
Simulation with SIMPL using the lithography capabilities of SAMPLE indicates that the first case of resist not clearing due to resist thickness variations is feasible. The simulation results are shown in Figure 4.25. Cutline A-A' cuts thru a region with a resist thickness of $1.0\ \mu\text{m}$ while cutline B-B' cuts thru a region with a larger resist thickness of $1.5\ \mu\text{m}$ because of the bump etch removing $0.5\ \mu\text{m}$ of oxide. If the lithography is optimized to develop resist $1.0\ \mu\text{m}$ thick, openings in thicker resist will not be as large and may not clear in some cases. This problem can usually avoided if a longer development times are used or if the resist differences are decreased by spinning on an overall thicker resist coat.

Unfortunately, at the time of this writing the die were not returned in time for examination so the results can not be reported at this time.

A computer program was created to generate the test structures described above. The input is a file containing commands and coordinates and the output is a CIF file containing







CIF polygons. Simple geometry can be entered to create objects which can be copied and rotated by any angle. Future test structures can now be created with less difficulty. A list of commands available can be found in Appendix D.

4.5 Future Work

Though SIMPL has existed for many years, it needs to continue to evolve to keep up with the technology and its applications. The user interface is somewhat outdated and could be improved. Now that many more mask levels are being simulated, a more convenient way of viewing them with the layout editor is required. This has been alleviated by allowing mask to be outlined or hidden, but overlapping color schemes are also desirable and will make simulation results easier to interpret.

Integration with other process simulators also needs improvement. The link to SUPREM needs does not work well for large cross sections requiring large dopant meshes due to memory limitations. Other useful features of SUPREM, such as diffusion with oxidation, are not used. Currently only diffusion can be done in an inert environment. Lithography simulation with the link SAMPLE is limited to planar topographies. More rigorous lithography simulation could be possible with a link to TEMPEST [Won94], a 3D electromagnetic simulator, though this may not always be practical depending on the computing resources available.

Other problems continue to persist, such as the robustness of the etching and deposition routines. Though they have greatly improved, cases exist where they may fail. Smaller problems also exist such as the capability to implant thru material other than oxide. New users will find that normal processes will work fine but may run into trouble if they try something more exotic.

A limited amount of work in the lab has been performed for comparison with SIMPL. This type of work would be useful for calibration and verification of the deposition and etching models. New models could be developed and added to the simulator where the existing ones are inadequate.

Lastly, this work has been limited to 2-D simulation. Key process flow step sequences would benefit from 3-D simulation to examine critical MEM features in detail, especially now that the SAMPLE-3D code has matured and become more stable. Possible 3D cases are the release of structures, and the formation of the end features on comb fingers which contribute to fringe field effects. Exporting the resulting topographies to mechanical and electrical analysis tools is also now a possibility from the recently added link from SAMPLE to FastCap [SN95] for interconnect analysis.

4.6 Conclusion

SIMPL has proven to be a very useful tool for studying the complex interrelationships that goes into the fabrication of MEMS devices. Many MEMS related applications have been successfully simulated (See Table 4.3.1) and have provided insight into the fabrication process. It is hoped that with the new capabilities and increased robustness, SIMPL will be accepted as a working tool and establish a large user base. The user guide is quite complete and has a lot of useful information as to get the most from of the existing code. Nevertheless, the code will continue to evolve, as it did in my hands, as well as in the hands of the others before me.

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Chapter 5

Conclusion

The simulation programs SPLAT and SIMPL System 6 have been successfully utilized in the simulation of EUV projection lithography and the fabrication of MEMS devices respectively. Through this effort, new functionality and robustness has been added to both programs.

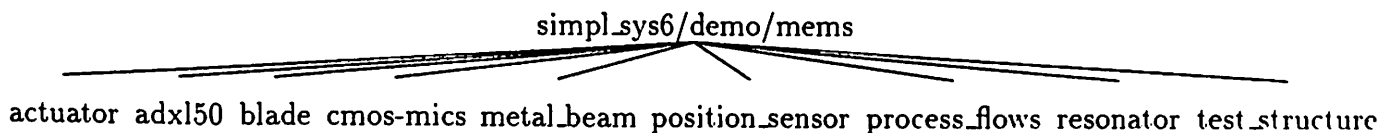
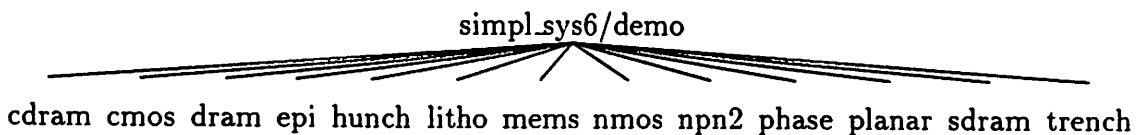
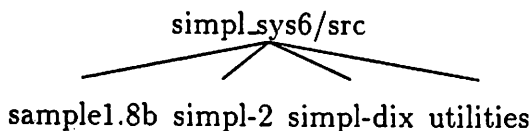
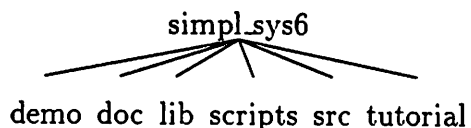
SPLAT was applied to several image quality studies taking into account the following characteristics of prototype EUV systems: highly aberrated optics, non-uniformities in the illumination, and non-uniform source geometries. The extensions made to SPLAT included representing aberrations across the pupil with Zernike polynomials and wavefront maps to more accurately model a real system. The image quality studies using a rotating undulator source model showed that source spot to source spot image displacement rather than image degradation for a given source spot location currently limits the resolution. SPLAT was also extended to simulate arbitrary illumination sources and wavefront attenuation. Wavefront attenuation reduced the printability of small features require oversizing dependent on the level of attenuation. The shape of the illumination source proved not to have a major effect on the image quality.

SIMPL has proven to be a very useful tool for studying the complex interrelationships that goes into the fabrication of MEMS devices. Utilizing layout and process flow information SIMPL was successfully used to visualize the cross evolution in the following processes: MICS process, Side Wall Beam process, Analog Devices ADXL50 process, Metal Beam process, and iMEMS process. Various MEMS process problems were simulated and compared to lab results. This work was possible through the the new functionality and robustness programmed into SIMPL System 6.

Appendix A

File Structure of SIMPL System 6

The directory file structure of SIMPL System 6 is presented below. All nodes represent directories. The SIMPL System 6 Users' Guide can be found in SIMPL_SYS6/DOC which is the best source of information on getting started using SIMPL System 6. Most of the examples used in this report can be found in SIMPL_SYS6/DEMOS/MEMS.



Appendix B

MICS Process Flow

The position sensor in Figure 4.7 was generated with the 'mics.L3.process' listed on the next page. 'L3' stands for Level 3 simulation in which SAMPLE-nonplanar etching and rigorous SAMPLE deposition was used for most of the etching and deposition steps. The other process flows used to generate the examples in this report are available with the standard SIMPL System 6 software release.

```

1 # -----
2 # mics.L3.process
3 # Front End & Back End process
4 # -----
5 #
6 #
7 # Front End Berkeley MICS Process flow
8 # Microlab CROS Process
9 # Version 2.1 (Jan. 4, 1993)
10 # 2um, N-well, double poly-Si, single metal
11 #
12 # simpl-2 etching used for most etching steps
13 # Implantations Missing
14 #
15 #
16 # 1: Initial Wet Oxidation (.1)
17 WHICH PROCESS ? OXID
18 OXIDE THICKNESS (micro-meter) ? 0.1
19 Do you wish to use default parameters (yes or no) ? yes
20 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
21 #
22 # 2: Well Photo Mask
23 WHICH PROCESS ? DEPO
24 NAME OF THE MATERIAL ? RST
25 THICKNESS OF THE MATERIAL (micro-meter) ? 1.000000
26 VERT, SPIN-ON, ISO, AWISO or SAMPLE MENU (V,S,I,A, or N) ? S
27 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
28 #
29 # NWELL MASK
30 WHICH PROCESS ? EIPO
31 WHICH MASK ? CWN
32 INVERT THE MASK (yes or no) ? yes
33 NAME OF THE MATERIAL TO BE EXPOSED ? RST
34 NAME OF THE EXPOSED RESIST ? ERST
35 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
36 #
37 WHICH PROCESS ? DEVL
38 NAME OF THE LAYER TO BE DEVELOPED ? ERST
39 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
40 #
41 # 3: Well Implant
42 #
43 # 4: Well Drive-In: (tox .35)
44 #
45 # 4.2: Etch pattern into oxide in 5/1 BHP
46 WHICH PROCESS ? ETCH
47 WHICH LAYER DO YOU WANT TO ETCH ? OXID
48 ETCH ALL (yes or no) ? no
49 AMOUNT OF VERTICAL ETCH (micro_meter) ? 0.11
50 RATIO 1/2 OF ETCHING (0.0 <= RATIO <= 1.0) ? 0.0
51 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
52 #
53 # 4.3: Remove PR
54 WHICH PROCESS ? ETCH
55 WHICH LAYER DO YOU WANT TO ETCH ? RST
56 ETCH ALL (yes or no) ? yes
57 #
58 #
59 # 4u.5: Well Drive In
60 WHICH PROCESS ? OXID
61 OXIDE THICKNESS (micro-meter) ? 0.300
62 Do you wish to use default parameters (yes or no) ? yes
63 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
64 #
65 # 5.0 Pad Oxidation/Nitride Deposition
66 #
67 # 5.2 Remove all oxide
68 WHICH PROCESS ? ETCH
69 WHICH LAYER DO YOU WANT TO ETCH ? OXID
70 ETCH ALL (yes or no) ? yes
71 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
72 #
73 # 5.4 Dry Oxidation
74 WHICH PROCESS ? OXID
75 OXIDE THICKNESS (micro-meter) ? 0.0300
76 Do you wish to use default parameters (yes or no) ? yes
77 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
78 #
79 # 5.5 Nitride Deposition
80 WHICH PROCESS ? DEPO
81 NAME OF THE MATERIAL ? NTRD
82 THICKNESS OF THE MATERIAL (micro-meter) ? 0.1000
83 VERT, SPIN-ON, ISO, AWISO or SAMPLE MENU (V,S,I,A, or N) ? I
84 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
85 #
86 # 6.0: Active Area Photo
87 WHICH PROCESS ? EIPO
88 WHICH MASK ? CAA
89 INVERT THE MASK (yes or no) ? no
90 NAME OF THE MATERIAL TO BE EXPOSED ? NTRD
91 NAME OF THE EXPOSED RESIST ? ERST
92 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
93 #
94 # 7.0: Nitride Etch
95 WHICH PROCESS ? DEVL
96 NAME OF THE LAYER TO BE DEVELOPED ? ERST
97 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
98 #
99 # 8.0: Field (P-) Implant Photo (Reversed N-Well Mask)
100 WHICH PROCESS ? DEPO
101 NAME OF THE MATERIAL ? RST
102 THICKNESS OF THE MATERIAL (micro-meter) ? 1.000000
103 VERT, SPIN-ON, ISO, AWISO or SAMPLE MENU (V,S,I,A, or N) ? S
104 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
105 #
106 # NWELL MASK (Reversed)
107 WHICH PROCESS ? EIPO
108 WHICH MASK ? CWN
109 INVERT THE MASK (yes or no) ? no
110 NAME OF THE MATERIAL TO BE EXPOSED ? RST
111 NAME OF THE EXPOSED RESIST ? ERST
112 DO YOU WANT TO DRAW THE CROSS SECTION ? yes

```



```

113
114 WHICH PROCESS ? DEVL
115 NAME OF THE LAYER TO BE DEVELOPED ? EAST
116 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
117
118 # 9.0: Field (P-) Ion implant
119
120 # 10.0: Locos Oxidation (target .65)
121
122 # 10.2 Remove PR
123 WHICH PROCESS ? ETCH
124 WHICH LAYER DO YOU WANT TO ETCH ? RST
125 ETCH ALL (yes or no) ? yes
126 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
127
128 # 10.3 Wet Oxidation
129 WHICH PROCESS ? OXID
130 OXIDE THICKNESS (micro-meter) ? 0.65
131 Do you wish to use default parameters (yes or no) ? yes
132 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
133
134 # 11.0 Nitride Removal
135 WHICH PROCESS ? ETCH
136 WHICH LAYER DO YOU WANT TO ETCH ? NTRD
137 ETCH ALL (yes or no) ? yes
138 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
139
140 # 12.0 Sacrificial Oxide
141 WHICH PROCESS ? ETCH
142 WHICH LAYER DO YOU WANT TO ETCH ? OXID
143 ETCH ALL (yes or no) ? no
144 AMOUNT OF VERTICAL ETCH (micro_meter) ? .1
145 RATIO 1/2 OF ETCHING (0.0 <= RATIO <= 1.0) ? 0.0
146 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
147
148 WHICH PROCESS ? OXID
149 OXIDE THICKNESS (micro-meter) ? 0.0200
150 Do you wish to use default parameters (yes or no) ? yes
151 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
152
153 # 13.0 N-Channel Threshold Implant (blanket)
154
155 # 14.0 P-Channel Threshold Implant Photo (Use the first mask: N-Well)
156 WHICH PROCESS ? DEPO
157 NAME OF THE MATERIAL ? RST
158 THICKNESS OF THE MATERIAL (micro-meter) ? 1.000000
159 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? S
160 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
161
162 WHICH PROCESS ? EXPO
163 WHICH MASK ? CWN
164 INVERT THE MASK (yes or no) ? yes
165 NAME OF THE MATERIAL TO BE EXPOSED ? RST
166 NAME OF THE EXPOSED RESIST ? EAST
167 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
168

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169 WHICH PROCESS ? DEVL
170 NAME OF THE LAYER TO BE DEVELOPED ? EAST
171 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
172
173 # 15.0 P-Channel Threshold Implant (Mask N-Mask)
174
175 WHICH PROCESS ? ETCH
176 WHICH LAYER DO YOU WANT TO ETCH ? RST
177 ETCH ALL (yes or no) ? yes
178 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
179
180 # 16.0: Gate Oxidation/Poly-Si Deposition
181
182 # 16.3 Dip off sacrificial oxide
183
184 # 16.4 Dry Oxidation
185 WHICH PROCESS ? OXID
186 OXIDE THICKNESS (micro-meter) ? 0.050
187 Do you wish to use default parameters (yes or no) ? yes
188 DO YOU WANT TO DRAW THE CROSS SECTION ? yes
189
190 # 16.5 Gate Poly Deposition
191 WHICH PROCESS ? DEPO
192 NAME OF THE MATERIAL ? PLTO
193 THICKNESS OF THE MATERIAL (micro-meter) ? 0.45
194 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? I
195 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
196
197 # 17.0: Gate Definition: GATE POLY-CPO
198 WHICH PROCESS ? DEPO
199 NAME OF THE MATERIAL ? rst
200 THICKNESS OF THE MATERIAL (micro-meter) ? 1
201 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? S
202 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
203
204 WHICH PROCESS ? EXPO
205 WHICH MASK ? CPO
206 INVERT THE MASK (yes or no) ? no
207 NAME OF MATERIAL TO BE EXPOSED ? rst
208 NAME OF THE EXPOSED RESIST ? east
209 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
210
211 WHICH PROCESS ? DEVL
212 NAME OF THE LAYER TO BE DEVELOPED ? east
213 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
214
215 # 18.0: Plasma Etch poly-Si (PLYO)
216 # Starting Thickness = 0.45
217
218 WHICH PROCESS ? ETCH
219 WHICH LAYER DO YOU WANT ETCH ? PLTO
220 ETCH ALL (yes or no) ? no
221 AMOUNT OF VERTICAL ETCH (micro_meter) ? 0.60
222 RATIO 1/2 OF ETCHING (0.0 <= RATIO <= 1.0) ? 0.1
223 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
224

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225 # PR Strip
226
227 WHICH PROCESS ? ETCH
228 WHICH LAYER DO YOU WANT TO ETCH ? rst
229 ETCH ALL (yes or no) ? yes
230 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
231
232 # 19.0: Capacitor Formation:
233 # Dry Oxidation (SIMPL must deposit oxide)
234
235 # 19.3 Dry Oxidation
236 WHICH PROCESS ? DEPO
237 NAME OF THE MATERIAL ? CAPO
238 THICKNESS OF THE MATERIAL (micro-meter) ? .08
239 VERT, SPIN-ON, ISO, AWISO or SAMPLE MENU (V,S,I,A, or N) ? I
240 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
241
242 # 19.4 Deposit Capacitor Poly
243 WHICH PROCESS ? DEPO
244 NAME OF THE MATERIAL ? PLYC
245 THICKNESS OF THE MATERIAL (micro-meter) ? .45
246 VERT, SPIN-ON, ISO, AWISO or SAMPLE MENU (V,S,I,A, or N) ? I
247 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
248
249 * 20.0 Capacitor Photo Mask: CAP POLY-CEL
250
251 WHICH PROCESS ? DEPO
252 NAME OF THE MATERIAL ? RST
253 THICKNESS OF THE MATERIAL (micro-meter) ? 1
254 VERT, SPIN-ON, ISO, AWISO or SAMPLE MENU (V,S,I,A, or N) ? S
255 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
256
257 WHICH PROCESS ? EIPO
258 WHICH MASK ? CEL
259 INVERT THE MASK (yes or no) ? no
260 NAME OF MATERIAL TO BE EXPOSED ? RST
261 NAME OF THE EXPOSED RESIST ? rst
262 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
263
264 WHICH PROCESS ? DEVL
265 NAME OF THE LAYER TO BE DEVELOPED ? rst
266 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
267
268 * 21.0: Plasma etch capacitor poly-Si (PLYC)
269 * Starting Thickness = 0.45
270
271 WHICH PROCESS ? ETCH
272 WHICH LAYER DO YOU WANT ETCH ? PLYC
273 ETCH ALL (yes or no) ? no
274 AMOUNT OF VERTICAL ETCH (micro_meter) ? 0.60
275 RATIO 1/2 OF ETCHING (0.0 <= RATIO <= 1.0) ? 0.0
276 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
277
278 * PR Strip
279
280 WHICH PROCESS ? ETCH
281 WHICH LAYER DO YOU WANT TO ETCH ? rst
282 ETCH ALL (yes or no) ? yes
283 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
284
285 # 22.0 N+ S/D Photo
286
287 # 23.0 N+ S/D Implant: Arsenic
288
289 # 24.0 N+ S/D Anneal
290
291 # 25.0 P+ S/D Photo
292
293 # 26.0 P+ S/D Implant: Arsenic
294
295 # 27.0 PSG Deposition and Densification
296
297 # 27.3
298 WHICH PROCESS ? DEPO
299 NAME OF THE MATERIAL ? PSGO
300 THICKNESS OF THE MATERIAL (micro-meter) ? 0.7
301 VERT, SPIN-ON, ISO, AWISO or SAMPLE MENU (V,S,I,A, or N) ? N
302 SOURCE TYPE (U,D,H,C, or P) ? H
303 SPUTTERING SOURCE ANGLE (degrees, e.g. 45.0) ? 45
304 Include Surface Migration (Y or N) ? Y
305 VARIANCE IN ATOMIC MOTION (um, e.g. 0.181) ? .05
306 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
307
308 * 28.0: Contact Photo Mask
309 WHICH PROCESS ? DEPO
310 NAME OF THE MATERIAL ? rst
311 THICKNESS OF THE MATERIAL (micro-meter) ? 1
312 VERT, SPIN-ON, ISO, AWISO or SAMPLE MENU (V,S,I,A, or N) ? S
313 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
314
315 WHICH PROCESS ? EIPO
316 WHICH MASK ? CCA
317 INVERT THE MASK (yes or no) ? yes
318 NAME OF MATERIAL TO BE EXPOSED ? rst
319 NAME OF THE EXPOSED RESIST ? rst
320 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
321
322 WHICH PROCESS ? DEVL
323 NAME OF THE LAYER TO BE DEVELOPED ? rst
324 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
325
326 * 29.0: Contact Etch (PSGO, CAPO, and OXID)
327 WHICH PROCESS ? ETCH
328 WHICH LAYER DO YOU WANT ETCH ? PSGO
329 ETCH ALL (yes or no) ? no
330 AMOUNT OF VERTICAL ETCH (micro_meter) ? 0.8
331 RATIO 1/2 OF ETCHING (0.0 <= RATIO <= 1.0) ? 0.9
332 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
333
334 WHICH PROCESS ? ETCH
335 WHICH LAYER DO YOU WANT ETCH ? CAPO
336 ETCH ALL (yes or no) ? no

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337 AMOUNT OF VERTICAL ETCH (micro_meter) ? 0.1
338 RATIO 1/2 OF ETCHING (0.0 <= RATIO <= 1.0) ? 0.0
339 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
340
341 WHICH PROCESS ? ETCH
342 WHICH LAYER DO YOU WANT ETCH ? OXID
343 ETCH ALL (yes or no) ? no
344 AMOUNT OF VERTICAL ETCH (micro_meter) ? 0.2
345 RATIO 1/2 OF ETCHING (0.0 <= RATIO <= 1.0) ? 0.0
346 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
347
348 * PR Strip
349
350 WHICH PROCESS ? ETCH
351 WHICH LAYER DO YOU WANT TO ETCH ? rst
352 ETCH ALL (yes or no) ? yes
353 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
354
355 # 30.0 Back side etch
356
357
358 #####
359 #           M I C S   B A C K S I D E   P R O C E S S           #
360 #####
361 # SAMPLE nonplanar etching used.
362 # poly3 steps omitted
363 #
364
365 * 31: TiSi2 Formation
366
367 * 32: Tungsten Metallization
368
369 WHICH PROCESS ? DEPO
370 NAME OF THE MATERIAL ? W1
371 THICKNESS OF THE MATERIAL (micro-meter) ? 0.6
372 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? I
373 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
374
375 * 33: Metal Photo Mask
376
377 WHICH PROCESS ? EXPO
378 WHICH MASK ? CNP
379 INVERT THE MASK (yes or no) ? no
380 NAME OF MATERIAL TO BE EXPOSED ? W1
381 NAME OF THE EXPOSED RESIST ? erst
382 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
383
384 * 34: Tungsten / Tintanium Etch
385
386 WHICH PROCESS ? DEVL
387 NAME OF THE LAYER TO BE DEVELOPED ? erst
388 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
389
390 * 35 Sintering and Test:
391
392 * 36 Std Tungsten Clean

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393
394 * 37 - CROSS PASSIVATION
395
396 WHICH PROCESS ? DEPO
397 NAME OF THE MATERIAL ? PSQP
398 THICKNESS OF THE MATERIAL (micro-meter) ? .4
399 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? N
400 SOURCE TYPE (U,D,H,C,or P) ? H
401 SPUTTERING SOURCE ANGLE (degrees, e.g. 45.0) ? 45
402 Include Surface Migration (Y or N) ? Y
403 VARIANCE IN ATOMIC MOTION (um, e.g. 0.181) ? .05
404 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
405
406 WHICH PROCESS ? DEPO
407 NAME OF THE MATERIAL ? BTDP
408 THICKNESS OF THE MATERIAL (micro-meter) ? 0.18
409 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? ISO
410 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
411
412 * 38 - Inter CROSS-structure Contact Mask: SWT (chrome-df)
413
414 WHICH PROCESS ? DEPO
415 NAME OF THE MATERIAL ? RST
416 THICKNESS OF THE MATERIAL (micro-meter) ? 1
417 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? S
418 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
419
420 WHICH PROCESS ? EXPO
421 WHICH MASK ? SWT
422 INVERT THE MASK (yes or no) ? yes
423 NAME OF MATERIAL TO BE EXPOSED ? RST
424 NAME OF THE EXPOSED RESIST ? erst
425 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
426
427 WHICH PROCESS ? DEVL
428 NAME OF THE LAYER TO BE DEVELOPED ? ERST
429 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
430
431 * 39: Nitride Passivation Etch
432 * Starting Thickness = 0.2
433
434 WHICH PROCESS ? ETCH
435 Etch Type:Isotropic, or Iso with Directional (1 or 10) ? 10
436 File containing etch rates ? nitride.plasma.etch.mod
437 Etch accuracy (0:worst to 10:best) ? 10
438 Timestep in seconds ? 2
439 Number of steps ? 1
440 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
441
442 WHICH PROCESS ? ETCU
443 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
444
445 * 40 : Oxide Passivation Etch
446 * Includes etching of (PSQP, PSQP, and CAPO)
447 WHICH PROCESS ? ETCH
448 Etch Type:Isotropic, or Iso with Directional (1 or 10) ? 10

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449 File containing etch rates ? psg.plasma.etch.mod
450 Etch accuracy (0:worst to 10:best) ? 10
451 Timestep in seconds ? 14
452 Number of steps ? 1
453 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
454
455 WHICH PROCESS ? ETCU
456 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
457
458 * 41: PR Strip
459
460 WHICH PROCESS ? ETCH
461 WHICH LAYER DO YOU WANT TO ETCH ? RST
462 ETCH ALL (yes or no) ? yes
463 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
464
465 * 42: Ustructure Poly1 Deposition:
466
467 WHICH PROCESS ? DEPO
468 NAME OF THE MATERIAL ? PS01
469 THICKNESS OF THE MATERIAL (micro-meter) ? 0.3
470 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or H) ? I
471 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
472
473 ? save
474 ? after.spl.deposition.cross
475
476 * 43: Ustructure Poly Mask: SP1 (emulsion-cf)
477
478 WHICH PROCESS ? DEPO
479 NAME OF THE MATERIAL ? RST
480 THICKNESS OF THE MATERIAL (micro-meter) ? 1
481 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or H) ? S
482 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
483
484 WHICH PROCESS ? EIPO
485 WHICH MASK ? SP1
486 INVERT THE MASK (yes or no) ? no
487 NAME OF MATERIAL TO BE EXPOSED ? RST
488 NAME OF THE EXPOSED RESIST ? ERST
489 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
490
491 WHICH PROCESS ? DEVL
492 NAME OF THE LAYER TO BE DEVELOPED ? ERST
493 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
494
495 * 44: Poly1 Etch (SP01)
496
497 WHICH PROCESS ? ETCH
498 Etch Type:Isotropic, or Iso with Directional (1 or 10) ? 10
499 File containing etch rates ? ply.plasma.etch.mod
500 Etch accuracy (0:worst to 10:best) ? 10
501 Timestep in seconds ? 3
502 Number of steps ? 1
503 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
504
505 WHICH PROCESS ? ETCU
506 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
507
508 * 45: PR STRIP
509
510 WHICH PROCESS ? ETCH
511 WHICH LAYER DO YOU WANT TO ETCH ? RST
512 ETCH ALL (yes or no) ? yes
513 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
514
515 * 46: Sacrificial PSG Deposition
516
517 WHICH PROCESS ? DEPO
518 NAME OF THE MATERIAL ? PS01
519 THICKNESS OF THE MATERIAL (micro-meter) ? 2
520 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or H) ? H
521 SOURCE TYPE (U,D,H,C,or P) ? H
522 SPUTTERING SOURCE ANGLE (degrees, e.g. 45.0) ? 45
523 Include Surface Migration (Y or N) ? Y
524 VARIANCE IN ATOMIC MOTION (um, e.g. 0.101) ? .05
525 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
526
527 ? save
528 ? after.psg1.deposition.cross
529
530 * 47: RTA for Sacrificial PSG Densification
531
532 WHICH PROCESS ? DEPO
533 NAME OF THE MATERIAL ? rat
534 THICKNESS OF THE MATERIAL (micro-meter) ? 1
535 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or H) ? S
536 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
537
538 * 48: Dimple Photo Mask: SD1 (same as SD2) (chrome-df)
539
540 WHICH PROCESS ? EIPO
541 WHICH MASK ? SD2
542 INVERT THE MASK (yes or no) ? yes
543 NAME OF MATERIAL TO BE EXPOSED ? rat
544 NAME OF THE EXPOSED RESIST ? erst
545 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
546
547 WHICH PROCESS ? DEVL
548 NAME OF THE LAYER TO BE DEVELOPED ? erst
549 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
550
551 * 49: Dimple Formation
552 * Etch PS01
553
554 WHICH PROCESS ? ETCH
555 Etch Type:Isotropic, or Iso with Directional (1 or 10) ? 1
556 File containing etch rates ? psg.wet.etch.mod
557 Etch accuracy (0:worst to 10:best) ? 10
558 Timestep in seconds ? 10
559 Number of steps ? 1
560 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes

```

```

561
562 WHICH PROCESS ? ETCU
563 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
564
565 ? save
566 ? after.dimple.etch.cross
567
568 * 50.0: PR Strip
569
570 WHICH PROCESS ? ETCH
571 WHICH LAYER DO YOU WANT TO ETCH ? RST
572 ETCH ALL (yes or no) ? yes
573 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
574
575 * 51: uStructure Anchor Photo Mask: SO1 (chrome-df)
576
577 WHICH PROCESS ? DEPO
578 NAME OF THE MATERIAL ? rst
579 THICKNESS OF THE MATERIAL (micro-meter) ? 1
580 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? S
581 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
582
583 WHICH PROCESS ? EXPO
584 WHICH MASK ? SO1
585 INVERT THE MASK (yes or no) ? yes
586 NAME OF MATERIAL TO BE EXPOSED ? rst
587 NAME OF THE EXPOSED RESIST ? erst
588 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
589
590 WHICH PROCESS ? DEVL
591 NAME OF THE LAYER TO BE DEVELOPED ? rst
592 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
593
594 * 52: Thick Oxide Etch (Plasma Etch)
595 * starting thickness = 2.0
596
597 WHICH PROCESS ? ETCW
598 Etch Type:Isotropic, or Iso with Directional (1 or 10) ? 10
599 File containing etch rates ? pg.plasma.etch.med
600 Etch accuracy (0:worst to 10:best) ? 10
601 Timestep in seconds ? 20
602 Number of steps ? 1
603 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
604
605 WHICH PROCESS ? ETCU
606 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
607
608 * 53: PR Strip
609
610 WHICH PROCESS ? ETCH
611 WHICH LAYER DO YOU WANT TO ETCH ? rst
612 ETCH ALL (yes or no) ? yes
613 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
614
615 * 54: uStructure Poly2 Deposition
616

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617 WHICH PROCESS ? DEPO
618 NAME OF THE MATERIAL ? SPO2
619 THICKNESS OF THE MATERIAL (micro-meter) ? 2.0
620 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? I
621 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
622
623 ? save
624 ? after.sp2.deposition.cross
625
626 * 55: PSG Oxide Mask Deposition
627
628 WHICH PROCESS ? DEPO
629 NAME OF THE MATERIAL ? OR1
630 THICKNESS OF THE MATERIAL (micro-meter) ? 0.5
631 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? N
632 SOURCE TYPE (U,D,H,C,or P) ? H
633 SPUTTERING SOURCE ANGLE (degrees, e.g. 45.0) ? 45
634 Include Surface Migration (Y or N) ? Y
635 VARIANCE IN ATOMIC MOTION (cm, e.g. 0.181) ? .05
636 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
637
638 * 56: RTA Polysilicon stress relief anneal
639
640 * 57: uStructure Poly2 Mask: SP2
641
642 WHICH PROCESS ? DEPO
643 NAME OF THE MATERIAL ? rst
644 THICKNESS OF THE MATERIAL (micro-meter) ? 1
645 VERT, SPIN-ON, ISO, ANISO or SAMPLE MENU (V,S,I,A, or N) ? S
646 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
647
648 WHICH PROCESS ? EXPO
649 WHICH MASK ? SP2
650 INVERT THE MASK (yes or no) ? no
651 NAME OF MATERIAL TO BE EXPOSED ? rst
652 NAME OF THE EXPOSED RESIST ? erst
653 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
654
655 WHICH PROCESS ? DEVL
656 NAME OF THE LAYER TO BE DEVELOPED ? erst
657 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
658
659 * 58: Oxide Etch (OR1)
660
661 WHICH PROCESS ? ETCW
662 Etch Type:Isotropic, or Iso with Directional (1 or 10) ? 10
663 File containing etch rates ? pg.plasma.etch.med
664 Etch accuracy (0:worst to 10:best) ? 10
665 Timestep in seconds ? 5
666 Number of steps ? 1
667 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
668
669 WHICH PROCESS ? ETCU
670 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
671
672 ? save

```

673 ? before.sp2.etch cross
674
675 * 59: Structural Poly2 Etch (SP02)
676 * starting thickness = 2.0
677
678 WHICH PROCESS ? ETCH
679 Etch Type:Isotropic, or Iso with Directional (1 or 10) ? 10
680 File containing etch rates ? ply.plasma.etch.mod
681 Etch accuracy (0:worst to 10:best) ? 10
682 Timestep in seconds ? 25
683 Number of steps ? 1
684 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
685
686 WHICH PROCESS ? ETCU
687 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
688
689 * 60: PR Strip
690 WHICH PROCESS ? ETCH
691 WHICH LAYER DO YOU WANT TO ETCH ? rst
692 ETCH ALL (yes or no) ? yes
693 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
694

695 * 61: Back Side Etch
696
697 * 62: uStructure Release
698
699 ? save
700 ? before.release.cross
701
702 WHICH PROCESS ? ETCH
703 WHICH LAYER DO YOU WANT TO ETCH ? ON1
704 ETCH ALL (yes or no) ? yes
705 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
706
707 WHICH PROCESS ? ETCH
708 WHICH LAYER DO YOU WANT TO ETCH ? PS01
709 ETCH ALL (yes or no) ? yes
710 DO YOU WANT TO DRAW THE CROSS SECTION (yes or no) ? yes
711
712 ? save
713 ? final.cross
714 WHICH PROCESS ? END
715

Appendix C

Etching in SIMPL

There are three different ways to simulate etching in SIMPL. The easiest way is to use the mask as a "cookie cutter" to remove material which results in straight edges. Using this method, resist does not need to be deposited. The material is treated as resist and exposed and etched away. All exposed material is removed regardless if the material is very thick or filling narrow cracks. This method of etching is referred to as "exposure" etching.

The second method of etching is to deposit resist and etch vertically with an optional undercut ratio. This type of etching is referred to as "SIMPL" etching because SIMPL performs the etching internally. This gives fairly good results because stringers can be created.

The most rigorous method of etching is to call SAMPLE non-planar etching. The topography in SIMPL is taken one layer at a time to make a SAMPLE input file. SIMPL assigns to each layer an isotropic and/or directional etch by looking up the corresponding etch rates in an etch-rate (library) file. Directional and isotropic etch rates for materials layers are entered into this file by the user. This avoids the need to type in the etch rates manually one at a time. The new SAMPLE nonplanar etching capabilities are mainly due to the efforts of Andrej Gabara with his revamping of the "stitch back" code.

Please refer to the SIMPL System 6 Users' Guide for more information about etching.

Appendix D

Test Structure Generator Commands

The following commands are available in the TSGEN program for the generation of layout test structures.

nameCell	cellName
createObject	objectID
copyObject	newObject oldObject
deleteObject	objectID
combineObjects	objectID1 objectID2 destObjectID
generateRadialLines	objectID material xC yC width length startAngle endAngle deltaAngle
generateHorizontalLines	objectID material xStart yStart width length period nLines
generateBox	objectID material xStart yStart width height
generateCIFBox	objectID material width height xCenter yCenter
generateCircle	objectID material xC yC radius segments
generateRing	objectID material xC yC innerRadius outerRadius segments
rotateObject	objectID angle
translateObject	objectID deltaX deltaY
centerObject	objectID centerX centerY
printObjects	CIF or XGRAPH
?	: help
help	: help
version	: version

The input file to create the test structures in Figure 4.26 is given below.

```
1 nameCell circles_side
2 # .9 um gap 6.0 um bump
3 createObject 1
4 generateCircle 1 beams 0 0 700 200
5 generateRing 1 beams 0 0 790 1300 200
6 generateRing 1 bumps 0 0 445 1045 200
7 # 1.0 um gap 6um bump
8 createObject 2
9 generateCircle 2 beams 0 0 700 200
10 generateRing 2 beams 0 0 800 1300 200
11 generateRing 2 bumps 0 0 450 1050 200
12 translateObject 2 3000 0
13 # 1.1 um gap 6.0 um bump
14 createObject 3
15 generateCircle 3 beams 0 0 700 200
16 generateRing 3 beams 0 0 810 1300 200
17 generateRing 3 bumps 0 0 455 1055 200
18 translateObject 3 6000 0
19 # 2.0 um gap 6.0 um bump
20 createObject 4
21 generateCircle 4 beams 0 0 700 200
22 generateRing 4 beams 0 0 900 1300 200
23 generateRing 4 bumps 0 0 500 1100 200
24 translateObject 4 9000 0
25 #
26 # .9 um gap 4.0 um bump
27 createObject 5
28 generateCircle 5 beams 0 0 700 200
29 generateRing 5 beams 0 0 790 1300 200
30 generateRing 5 bumps 0 0 545 945 200
31 translateObject 5 0 3000
32 # 1.0 um gap 4.0 um bump
33 createObject 6
34 generateCircle 6 beams 0 0 700 200
35 generateRing 6 beams 0 0 800 1300 200
36 generateRing 6 bumps 0 0 550 950 200
37 translateObject 6 3000 3000
38 # 1.1 um gap 4.0 um bump
39 createObject 7
40 generateCircle 7 beams 0 0 700 200
41 generateRing 7 beams 0 0 810 1300 200
42 generateRing 7 bumps 0 0 555 955 200
43 translateObject 7 6000 3000
44 # 2.0 um gap 4.0 um bump
45 createObject 8
46 generateCircle 8 beams 0 0 700 200
47 generateRing 8 beams 0 0 900 1300 200
48 generateRing 8 bumps 0 0 600 1000 200
49 translateObject 8 9000 3000
50 #
51 # .9 um gap 3.0 um bump
52 createObject 9
53 generateCircle 9 beams 0 0 700 200
54 generateRing 9 beams 0 0 790 1300 200
55 generateRing 9 bumps 0 0 595 895 200
56 translateObject 9 0 6000
57 # 1.0 um gap 3.0 um bump
58 createObject 10
59 generateCircle 10 beams 0 0 700 200
60 generateRing 10 beams 0 0 800 1300 200
61 generateRing 10 bumps 0 0 600 900 200
62 translateObject 10 3000 6000
63 # 1.1 um gap 3.0 um bump
64 createObject 11
65 generateCircle 11 beams 0 0 700 200
66 generateRing 11 beams 0 0 810 1300 200
67 generateRing 11 bumps 0 0 605 905 200
68 translateObject 11 6000 6000
69 # 2.0 um gap 3.0 um bump
70 createObject 12
71 generateCircle 12 beams 0 0 700 200
72 generateRing 12 beams 0 0 900 1300 200
73 generateRing 12 bumps 0 0 650 950 200
74 translateObject 12 9000 6000
75
76 printObjects CIF
77 #printObjects IGRAPH
78
```