# Loop-Extended Symbolic Execution on Binary Programs



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## **Loop-Extended Symbolic Execution on Binary Programs**

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#### **Abstract**

Mixed concrete and symbolic execution is an important technique for finding and understanding software bugs, including securityrelevant ones. However, existing symbolic execution techniques are limited to examining one execution path at a time, in which symbolic variables reflect only direct data dependencies. We introduce loop-extended symbolic execution, a generalization that broadens the coverage of symbolic results in programs with loops. It introduces symbolic variables for the number of times each loop executes, and links these with features of a known input grammar such as variable-length or repeating fields. This allows the symbolic constraints to cover a class of paths that includes different numbers of loop iterations, expressing loop-dependent program values in terms of properties of the input. By performing more reasoning symbolically, instead of by undirected exploration, applications of loop-extended symbolic execution can achieve better results and/or require fewer program executions. To demonstrate our technique, we apply it to the problem of discovering and diagnosing bufferoverflow vulnerabilities in software given only in binary form. Our tool finds vulnerabilities in both a standard benchmark suite and 3 real-world applications, after generating only a handful of candidate inputs, and also diagnoses general vulnerability conditions.

#### 1. Introduction

Mixed concrete and symbolic execution generalizes a single concrete execution by representing inputs as variables and performing operations on values dependent on them symbolically (such as [11,24]). This approach enables automated tools to reason about properties of all the program executions that follow the same control flow path, and has been successfully applied to a wide range of different applications in software engineering and security. However, this approach generalizes an execution only to a set of executions that follow exactly the same control-flow path. We therefore call this approach *single-path symbolic execution* (SPSE for short).

One of the limitations of single-path symbolic execution is that it interacts poorly with loops, a common programming construct. In particular, the generalized program executions all follow the same number of loop iterations for each loop as in the original concrete execution. For instance, when single-path symbolic execution is applied to bug-finding, it will not be able to detect the bug from a benign execution if the bug can only be triggered with a different number of loop iterations as in the original execution; similarly,

when single-path symbolic execution is applied to test case generation to increase testing coverage, it will not be able (in one iteration) to generate an input to go down a different branch than in the original execution if taking that different branch is only feasible with a different number of loop iterations. In other words, in single-path symbolic execution, the values of a symbolic variable reflect only the data dependencies on the symbolic inputs, not any control dependencies, including loop dependencies.

In this paper we propose a new symbolic execution technique, *loop-extended symbolic execution* (or LESE for short), which generalizes from a concrete execution to a set of program executions which may contain a different number of iterations for each loop as in the original execution. In loop-extended symbolic execution, in addition to the data dependencies on symbolic inputs, the value of a symbolic variable will also capture certain loop dependent effects (such as a linear relationship with the number of loop iterations).

At a high level, our approach of loop-extended symbolic execution works by introducing new symbolic variables to represent the number of times each loop in the program has executed. It augments the symbolic execution with a more detailed analysis to identify loop-dependent variables, for instance finding variables whose value is a linear function of one or more loop execution counts. In addition to maintaining the data dependencies of program state variables on inputs as in SPSE, LESE also relates loop-dependent variables to features of the program input, introducing auxiliary variables to capture the lengths and repetition counts of fields in a known input grammar. Together, these constraints capture how loop-dependent variables relate to the program's input.

Loop-extended symbolic execution can be used to get better results from symbolic execution whenever it is used with programs in which loops are important. For instance, it can make bug finding tools more effective and allow test-case generation to reach higher coverage more quickly. These benefits come because LESE captures more program logic in symbolic constraints. When represented symbolically, these constraints can be reasoned about directly by a decision procedure, rather than requiring many iterations of undirected search as with SPSE.

As sample applications, this paper uses loop-extended symbolic execution to discover and diagnose buffer-overflow vulnerabilities, one of the most important classes of software errors that allow attackers to subvert programs and systems. Our tool can be used to search for previously unknown vulnerabilities, and for any vulnerability can determine a general set of conditions under which the vulnerability may be exploited. Because LESE can determine loop iteration counts in a single step, it allows vulnerabilities to be discovered using many fewer iterations than single-path symbolic execution. Diagnosing a set of general vulnerability conditions is a new application that would not be possible for most buffer overflows with single-path symbolic execution. These conditions are useful for understanding the vulnerability, testing for it, fixing it, and blocking attacks targeting it.

Because symbolic execution is often used in security-related applications such as this one, it is important that it works well for

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binary programs for which source code is not available. We therefore design algorithms with this constraint in mind: in some cases our tools must work to recover structure, such as the boundaries of loops, that appears trivially in the original source.

We have built a full implementation of this technique, using a dynamic tool to collect program traces and an off-the-shelf decision procedure to simplify and solve constraints. We use our tool to discover and diagnose vulnerabilities in both a standard benchmark suite and three real-world programs on Windows and Linux. Because of the heuristic nature of the extension to unobserved loop iterations, the theoretical soundness properties of LESE are limited to the same executions considered by SPSE. However, the fact that its practical performance is much better confirms that the behavior of loops in real programs is usually very regular.

In summary, this paper makes the following contributions:

- We introduce loop-extended symbolic execution, a new, more powerful approach to symbolic execution that incorporates the semantics of loops.
- We give algorithms and heuristics to implement LESE that are simple enough to implement at scale, but effective in practice.
- We show an application of LESE to the important security challenge of buffer overflow vulnerabilities, including a realistic implementation that does not require source code.
- We evaluate the implementation, showing that it is effective at finding and diagnosing vulnerabilities in both standard benchmarks and vulnerable real-world programs.

The rest of the paper is organized as follows: Section 2 motivates our loop-extended symbolic execution with an example and then gives a more detailed overview of the technique. Section 3 describes the two key algorithms used to analyze loop dependencies and to link loops to input features. Section 4 introduces a primitive for condition analysis and how to apply it to security vulnerabilities, and Section 5 discusses some details needed to build a practical implementation that works on binaries. Section 6 gives our evaluation of our technique on benchmarks and real-world vulnerabilities. Finally, Section 7 surveys related work, and Section 8 concludes.

#### 2. Overview

In this section, we first motivate our approach with an example showing the limitation of single-path symbolic execution, then give an overview of our technique of loop-extended symbolic execution.

#### 2.1 Motivation and Challenges

Using symbolic execution to generalize over observed program behavior is a powerful technique because it combines the strengths of dynamic and static analysis. It starts with a fully correct and detailed concrete program trace, and then generalizes that trace to predict the behavior of software on other inputs. For instance, this approach can be used to find bugs [11,24,42] or vulnerabilities [25] in software, to understand the conditions under which a program path can occur [6], and even to automatically exploit a security vulnerability [7]. However, the core single-path symbolic execution technique corresponds to an analysis of just one control-flow path in a program, which is a significant limitation in programs that contain loops. Next, we show this limitation with a specific example.

**Example.** Consider a simplified example of a function in an HTTP server, shown in Figure 2, that processes HTTP GET requests. The program first checks that the request's method field has the value GET on line 9, and then proceeds to parse the URI and version fields into separate buffers on lines 12–16 and 18–22 respectively. It rejects this request if the version number is unsupported. Finally, it records the URI requested by the client and the version number in a comma separated string denoted by msgbuf on lines 26-30, which it subsequently logs by invoking LogRequest on line 32.

```
#define URI DELIMITER '
  #define VERSION_DELIMITER '\n'
  void process request(char * input)
5
     char URI[80], version[80], msgbuf[100];
6
     int ptr=0, uri_len=0, ver_len=0, i, j;
     if (strncmp input, "GET ", 4) != 0)
    fatal("Unsupported request");
10
     ptr = 4;
11
     while (input[ptr] != URI_DELIMITER) {
12
          if (uri_len < 80)
13
14
            URI[uri_len] = input[ptr];
                            ptr++;
15
          uri_len++;
16
17
     ptr ++;
     while (input[ptr] != VERSION_DELIMITER) {
18
19
          if (ver_len < 80)
            version[ver_len] = input[ptr];
20
21
          ver len++;
                            ptr++;
22
     if (ver_len < 8 || version[5] != '1')
23
          fatal("Unsupported protocol version");
24
25
     for (i=0,ptr=0; i < uri_len; i++, ptr++)
26
    msgbuf[ptr] = URI[i];
msgbuf[ptr++] = ',';
for (j = 0; j < ver_len; j++, ptr++)
    msgbuf[ptr] = version[j];
msgbuf[ptr++] = '\0';</pre>
27
28
29
30
31
32
     LogRequest(msgbuf);
             GetRequest → "GET_" URI "_" Version "\n"
```

Figure 2: A simplified example from an HTTP server program, and the corresponding input grammar.

Readers may have already noticed that this code is vulnerable to a buffer overflow, but suppose we were attempting to check for such vulnerabilities using a single-path symbolic execution technique. For instance, in the course of its exploration, such an iterative test generation tool might consider the program input GET x y. It will trace the execution of the program with this input, which causes the program to reach the error condition on line 24. In order to explore the rest of the function, the exploration tool needs to find a program input that passes the checks on line 23. However, a single path does not contain enough information to reason about the length check, because the ver\_len variable is not directly dependent on any byte of the input: single-path symbolic execution would not mark it as symbolic. At this point, testing tools based on symbolic execution will usually attempt to explore other program paths, but without information from the first path to guide them, they can only choose further paths in an undirected fashion, such as by trying to take a different direction at one of the branches that occurred on the observed path. (Such tools treat the execution of a loop simply as a sequence of branches, one for each time the loop end test is executed.) For instance, a tool might determine that changing the last character of the input from a newline to z would cause the loop at line 18 to run for one additional iteration. A series of many such changes would be required before the version field was long enough to pass the check.

Similarly, consider the execution of the program on the normal program input GET /index.html HTTP/1.1. For this simple function, a single input already exercises a large proportion of the code (for instance, it executes all of the lines of non-error code in the figure). However, examining this single path is not enough to elucidate the relationship between the variable ptr and the input, because that relationship involves control dependencies.

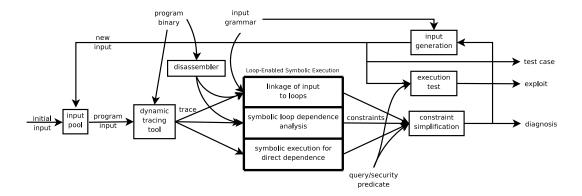


Figure 1: Overview of our loop-extended symbolic execution tool and accessory components. LESE, our main contribution, enhances symbolic execution for directly input-dependent data values, as in single-path symbolic execution, with symbolic analysis of the affects of loops (Section 3.1) and an analysis that links loops to the input fields they process (Section 3.2). Additional components, described in Sections 4 and 5, support LESE and particular applications such as detecting and diagnosing security bugs.

#### 2.2 Technique Overview

We propose a new type of symbolic execution, *loop-extended symbolic execution* or LESE, which captures the effects of many more related program executions than just a single path (as in single-path symbolic execution), by modeling the effects of loops.

Broadly, the goal of loop-extended symbolic execution is to extend the symbolic expressions computed from a single execution by incorporating additional information reflecting the effects of loops that were executed. In single-path symbolic execution, the values of variables are either concrete (i.e., constant, representing a value that does not directly depend on the symbolic input) or are represented by a symbolic expression (for instance, the sum of an input byte and a concrete value). But some of the values considered concrete by single-path symbolic execution are in fact indirectly dependent on the input because of loops. In loop-extended symbolic execution these values can also be represented symbolically, and variables whose values were already symbolic because of a direct input dependency can have a more general abstract value.

To make loop-extended symbolic execution more tractable, we split the task into two parts by introducing a new class of symbolic variables, which we call *trip counts*. Each loop in the program has a trip count variable that represents the number of times the loop has executed at any moment. Then to obtain the relationship between a symbolic values and the program input, we separately obtain first the relationships between the symbolic values and one or more trip counts (in addition to their direct relationships with the input, as in single-path symbolic execution), and then the relationships between the program's trip counts and the program input:

- Step 1: Symbolic analysis of loop dependencies. To determine dependencies on loop trip counts, we use a program analysis that maintains the trip counts as symbolic variables that are implicitly incremented for each new loop iteration, and then looks for relationships between those variables and others in the program. (This is done at the same time as the analysis tracking direct dependencies as in SPSE, and the results combined in single symbolic expressions.) Specifically, we have found that looking for linear functions of the trip counts covers the most important loop dependent variables without excessive analysis cost.
- Step 2: Constraints linking input grammar to loops. Loops are often used when fields of the input are of variable length, such as character strings and sequences of data of the same type. Our approach takes advantage of this connection by using a grammar that specifies the inputs to the program, and matching

loops with the parts of the input over which they operate. In particular, the approach introduces *auxiliary* input variables to capture features of the grammar such as lengths and repetition counts.

A summary of the components of our system is shown in Figure 1; the center box, LESE, represents the primary contribution of this research.

**Example, revisited.** To summarize our approach, we now return to the example of Figure 2 and explain how loop-enhanced symbolic execution is more helpful to our vulnerability testing application.

- 1. In the first step, the symbolic loop dependence analysis expresses various program values in terms of four trip count symbolic variables  $TC_i$ , one for each loop i in the program. For instance, the value of the variable ptr at the end of execution is abstracted by the expression  $TC_3 + TC_4 + 2$ , and similarly urilen  $= TC_1$ , verlen  $= TC_2$ , i  $= TC_3$ , and j  $= TC_4$ . The path predicate is also maintained (as in single-path symbolic execution). In this example, for instance, i < urilen inside the third loop, while the negation holds after the loop has completed, and similarly for j and verlen.
- 2. In the second step, we link the trip counts to auxiliary variables representing features of the input. In the running example, the execution counts of the first two loops are equal to the lengths of input fields:  $TC_1 = \text{Length}(\textit{URI})$  and  $TC_2 = \text{Length}(\textit{Version})$ .

In the case of vulnerability checking, we would combine these symbolic constraints describing a class of program executions with the condition for a violation of the security policy. In this case, for instance, the array access on line 30 will fail if  $ptr \ge 100$ . Then in the same way as in a single-path symbolic execution approach, we can pass these conditions to a decision procedure to determine whether an exploit is possible, and if so, determine specific values for input variables that will trigger it. In this case, the decision procedure will report that an overflow is possible, specifically on an input for which Length(URI) + Length(Version)  $\ge 99$ .

Applying the approach to binaries. Because we wish to use these analysis techniques for security applications, it is an important practical consideration that they work on binary programs for which source code is not available. This adds further challenges for our approach: for instance, purely static analysis is more difficult on binaries because much of the structure that existed in the source code has been lost. (And of course, the real constraints we generate do not contain variable names, which we added in the example for

readability.) It is in part for this reason that the symbolic execution approach is valuable in the first place, so we choose algorithms to retain these benefits in our extension. For instance, even though the technique we use to infer linear relationships between variables is closely related to a sound static analysis approach, we do not limit it to finding relationships that could hold on all possible inputs. Instead, our goal is to combine static and dynamic analysis to produce results that cover as large as possible a range of inputs for which we can still produce useful results.

Use of an input grammar. Information that constrains the space of valid inputs to a program, in the form of a grammar or otherwise, is key to scaling input space exploration beyond the limits of brute-force exhaustive search. Previous research using symbolic execution [23,33] demonstrates the benefit of using an input grammar for this purpose. In the application domains we target, suitable grammars are easily available, so we simply use them. However, for domains in which grammars are not already available, previous research shows how a grammar can be inferred [9, 31, 44]; such a system could easily be combined with ours.

#### 3. Algorithms

In this section, we discuss the algorithmic details of the key steps in loop-extended symbolic execution introduced in Section 2. Section 3.1 describes the analysis that identifies relationships between values of variables and numbers of loop iterations (step 1). Section 3.2 outlines techniques to capture the relationships between loops and the input, using auxiliary variables in the external specification of the input grammar (step 2).

The steps described below require accessory components to extract control flow graphs from binaries, make irreducible CFGs reducible, extract sizes of allocated objects, and parse input grammars. The details of these components, which form the preparation phase for steps outlined here, are given later in Section 5.

#### 3.1 Symbolic Analysis of Loop Dependencies

In order to generalize its description of computations that involve loops, our tool must determine the relationship between loop-dependent variables and the loops in which they are modified. Potentially, this could be done by enhancing the basic single-path symbolic execution approach with any data-flow-style value analysis. Since linear dependencies on loop counts are very common, we choose to use a linear relationship analysis. Specifically, our tool searches for variables whose value is a linear function of *trip count* variables representing the number of times one or more loops execute. It then propagates those relationships on to other variables (including after the loop) whose values depend on them, where they may also intermingle with symbolic values representing direct input dependencies.

For three reasons, we have chosen to implement this linear relationship analysis in style of symbolic execution. First, unlike the syntactic "induction variable" analysis commonly performed in compilers [1], we wish to extend dependencies on loop execution counts after the loop itself has finished, and combine dependencies on separate loops. Second, because the approach already uses symbolic execution to track direct input dependencies, performing the loop analysis in a compatible way allows the two analyses to proceed together and easily produce combined results. Finally, even seemingly simple operations such as adding a constant can be expressed in many ways at the instruction level, so focusing on the semantics of basic operations (in the style of abstract interpretation) is more robust and flexible than syntactic pattern matching.

Our approach is therefore intermediate between purely syntactic induction variable analysis, and a general analysis for linear equalities among arbitrary program variables, which would be signifi-

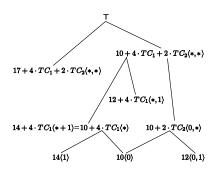


Figure 3: The partial order among some typical abstract values used in the linear relationship analysis of Section 3.1. A line connects a (higher) to b (lower) if  $a \supseteq b$ . Values on the same level connected by a = a = b are both a = a = b.

cantly more expensive. We will first describe the abstract interpretation in general terms, in which form it can also be applied purely statically, and then discuss how to modify it to produce more useful results in our mixed static/dynamic context.

**Analysis algorithm.** For each loop i in the program, we introduce a symbolic trip count variable  $TC_i$ , which represents the number of times the loop (specifically, its back edge) has executed. The core of an abstract value in our analysis is a symbolic linear combination whose terms are trip counts or other symbolic variables, with integer scaling factors and an integer constant term. For instance, the abstract value  $10 + 4 \cdot TC_1 + 2 \cdot TC_2$  would correspond to a variable initialized as 10, then incremented by 4 on each iteration of the first loop and by 2 on each iteration of the second loop.

In order to link these abstract values with the loops and understand how to combine them between loop iterations, each abstract value also specifies the domain for each trip count variable it applies to. We refer to the four possible domains as 0, 1, \*, and \* + 1. Intuitively, 0 represents points before the loop has finished its first iteration, 1 represents later points before the end of the second iteration, and \* and \*+1 both represent abstract values applicable to all iterations, before and after the trip count in question is incremented. We write the domains in angle brackets after an expression, in order (first  $TC_1$ , then  $TC_2$ , etc.); domains not listed are assumed to be 0. We define a partial ordering on these domains (intuitively corresponding to inclusion) in which  $0 \sqsubseteq *, 1 \sqsubseteq *,$  and \* = \* + 1. This ordering extends to a partial ordering on the abstract values (intuitively, function inclusion), in which abstract values are related if their domains are related point-wise in the same way, and their linear expressions are consistent over their common domains. For instance,  $10\langle 0 \rangle \subseteq 10 + 4 \cdot TC_1 \langle * \rangle$ ,  $14\langle 1 \rangle \subseteq 10 + 4 \cdot TC_1 \langle * \rangle$ , and  $10 + 4 \cdot TC_1 \langle * \rangle = 14 + 4 \cdot TC_1 \langle * + 1 \rangle$ . Finally, to represent values that cannot be represented as a linear combination of trip counts, we add a distinguished element  $\top$  at the top of the partial order. Some elements of this partial order are illustrated in Figure 3.

The rules for operations on these abstract values are like those of symbolic execution. Operations on values with only constant terms give the corresponding constants. Addition, subtraction, unary negation, and multiplication by a constant operate on linear expressions according to the usual rules of algebra, but multiplication of two trip counts gives  $\top$ . We have not encountered a need for an analogous rule for division by constants, though it would be straightforward to add (perhaps along with generalizing the scale factors to rationals). Other non-linear operators, such as bitwise operations, give  $\top$  on non-constants involving trip count variables, as does any operator if one operand is already  $\top$ .

The analysis builds an abstract store that associates an abstract value as described above with abstract variables corresponding to

distinct variables in our machine-level trace (temporaries and machine registers) and memory locations. As is conventional in abstract interpretation, the abstract store is updated with the side effect of each assignment, including stores to memory, and propagates forward through the program. We propagate across forward CFG edges in a topologically sorted order to reduce recomputation. The back edges of loops are treated specially: if a CFG edge is the back edge of loop i, the domains for all the  $TC_i$ variables in the abstract store are incremented from 0 to 1 and from \* to \*+1. Such back edge values are then joined with the abstract values representing previous iterations. The join operation  $\sqcup$  matches the partial ordering  $\sqsubseteq$  defined earlier, and prefers \* to \*+1, which ensures that 1 and \*+1 domain values will not be propagated. Specifically,  $a\langle 0 \rangle \sqcup (a+b)\langle 1 \rangle = a+b \cdot TC_1 \langle * \rangle$  and  $a+b\cdot TC_1\langle *\rangle \sqcup (a+b)+b\cdot TC_1\langle *+1\rangle = a+b\cdot TC_1\langle *\rangle$ . After the first (abstract) execution of a back edge, 0 and 1 values will be joined to a \* value. After each subsequent abstract execution, the \* and \* + 1 values will be joined into either a \* value if they are consistent, or to  $\top$  otherwise.

For instance, consider the analysis of loop 3 on lines 26–27 of Figure 2. At the beginning of the loop, ptr has the abstract value  $0\langle 0,0,0\rangle$ . At the end of the first iteration, ptr is incremented, and on the loop back edge the two abstract values are joined to give  $0\langle 0,0,0\rangle \sqcup 1\langle 0,0,1\rangle = TC_3\langle 0,0,*\rangle$ . When ptr is incremented again on the next iteration, its abstract value after the back edge will be  $1+TC_3\langle 0,0,*+1\rangle$ , which again joins to  $TC_3\langle 0,0,*\rangle \sqcup 1+TC_3\langle 0,0,*+1\rangle = TC_3\langle 0,0,*\rangle$ . On the other hand, if ptr had been incremented by 2 on an iteration, giving  $2+TC_3\langle 0,0,*+1\rangle$ , the join would give  $TC_3\langle 0,0,*\rangle \sqcup 2+TC_3\langle 0,0,*+1\rangle = \top$ , signifying that ptr cannot be expressed as a linear function of the trip counts. The effect of the increments on lines 28 and 31 and loop 4 on lines 30–31 are analyzed in a similar way, giving a final abstract value for ptr of  $1+TC_3+TC_4\langle 0,0,*,*\rangle$ .

**Adapting to dynamic traces.** Though as previously described, the linear dependence analysis could be applied in a completely static context, some additional improvements are possible when operating as our tool does on a single execution trace.

An important simplification is that analysis of a trace does not require a conservative alias analysis, which is often a source of scalability challenges and/or imprecision in static analysis. Instead, our analysis can distinguish memory regions using the concrete addresses observed on the trace. When a symbolic value is used as a memory address (e.g., indexing an array), we use the concrete address value, as is common in single-path symbolic execution.

A second difference relates to our coverage goals. A purely static analysis attempts to give an answer that holds for the entire space of program inputs; but sometimes, no informative answer can be given, such as if the true relationship is too complex for the abstract domain. Other things equal, a result that covers a larger class of executions is most useful, but results that represent no constraint at all are useless. In mixed concrete and symbolic execution the particular set of executions to which our results apply can be flexible, so we aim for the largest set of executions for which the analysis gives an informative result.

To achieve this, we also allow our tool to lower uninformative  $\top$  abstract values back to the constant value representing the value the variable had in the concrete trace at that point. This is similar in effect to removing from consideration all the executions on which that variable had any other value, though less drastic because those executions can still contribute to the generality of other abstract values. Given that there is a limit to the amount of generality our abstract values can represent, this lowering reflects a judgment that it is more valuable for them to abstract over variation that occurs close to the point where they are queried. For instance, if

the combined effect of two nested loops is nonlinear, our analysis will retain the dependence on the inner loop's trip count.

Theoretically, it is not clear when the best points to lower an abstract value in this way would be: for instance, delaying a lowering at one program point might remove the need to lower another value later. However, we have had good results by performing the lowering eagerly just before a  $\top$  value would otherwise propagate.

#### 3.2 Linking Loops to Input

After Step 1 (symbolic analysis of loop dependencies), the symbolic expressions for program state variables our tool computes depend on two types of symbolic variables: the symbolic variables representing the data values of each byte in the input and the trip count variables. Thus, to obtain the relationship between the program state variables and the input, we need to obtain the relationship between the trip count variables and the input. In general, such relationships might be very complicated. However, we leverage the observation that most such trip count variables relate to certain features of the structure of the input such as the length of a variable-length field (such as a string) or the number of records of the same type (called *iterative fields*).

To precisely capture these repetitive features of program inputs, which are missing from descriptions like context-free grammars, we introduce the concept of auxiliary attributes. For instance, we introduce length attributes to represent the size of fields that might vary in length, and *count* attributes to represent the number of times iterative fields are repeated. Auxiliary attributes are associated with grammatical units at any level (e.g., terminals and non-terminals in a context-free grammar), such as Length(URI) for the length of a URI field in the HTTP grammar. They can also be systematically added to an existing parser as an attribute grammar (as in yacc [29]); for instance, the length for a non-terminal in a rule can be computed as the sum of the lengths on the right-hand side of the rule. In some cases, the value of an auxiliary attribute is provided in another field of the input. Our technique can take advantage of auxiliary attributes that appear in the input in this way, but it also uses them in ways that do not require them to appear in the input.

The goal for the linking step is to identify loop-computed values in the program that represent auxiliary attributes; for instance, if a loop is used to compute the length of a field. Previous work [9] shows that automatic inference of variables that iterate over multiple variable-length fields is feasible. We use similar techniques; in short, we determine that a loop's iteration count is the length of a field if its exit condition checks either a delimiter for the field or a value derived from a length or count auxiliary attribute of the field. In more detail, we use the following steps:

- 1. Relate data-dependent bytes to fields. As in single-path symbolic execution, our tool determines for each variable in the trace which input byte(s) (identified by offset) it directly depends on. Our tool also parses the input according to the known grammar, and so determines which protocol field contains each input byte. Therefore, one simple way of matching variables with one or more input fields is to combine these two mappings. For instance, in the example of Figure 2, the buffer URI contains the contents of the field URI.
- 2. *Identify variable length fields, counts, and delimiters*. The input grammar also identifies which fields correspond to the lengths or iteration counts of other fields, and our tool maps this information through direct dependencies to determine program variables that represent lengths and counts. Also, we use the grammar to determine which values are used as delimiters to signal the end of a variable-length field. For instance, in the HTTP grammar, the field *URI* is delimited by a space character.
- 3. *Identify variables used in loop exit conditions*. By analyzing loops as described in Section 5, our tool determines which vari-

- ables are used in the conditions that determine when to exit a loop. For instance, the loop on lines 26–27 of Figure 2 is guarded by a condition on the variables i and urilen.
- 4. Recognize loops over delimited fields. If the exit condition of a loop compares bytes of a field to a value that is the delimiter of the field, then we link the iteration count of the loop to the length of the field. For instance, in Figure 2, the loop on lines 12-16 compares each byte of the URI field to a space, which is known from the grammar to be the delimiter of the URI, so the execution count of that loop is the length of the field  $(TC_1 = \text{Length}(URI))$ . In other situations, a loop may process several bytes on each iteration, which gives a relation with a scale factor. For instance, if each iteration processes a 4-byte word, the field length is equal to 4 times the loop trip count.
- 5. Recognize loops over counted fields. If the exit condition of a loop compares a variable to a value that is identified in the grammar as the length of a field or the counter for a repeated field, then we link the iteration count of the loop to that length or count field. As in the case of a delimited field, the scale factor between the field and the trip count may not be 1, for instance if a loop process several items in each iteration.

While these techniques are not enough to recognize every loop that might be written, they represent the most common patterns, and we have found them to be sufficient to capture the relationships for both length and count attributes in practice.

#### 4. Applying LESE

Loop-extended symbolic execution can be used to get better results from mixed concrete and symbolic execution whenever it is used with programs in which loops occur. In this section we describe how to apply it to test generation and in problems about security bugs in software. First, we describe the primitive operation of using LESE to determine how a given predicate might be satisfied during program execution: on a single program path, but perhaps involving different numbers of loop iterations. We then show how to use this primitive for improving coverage in test generation, discovering previously unknown security bugs, and diagnosing the cause of a bug given only an execution that exercises it.

#### 4.1 Loop-extended Condition Analysis

A basic use of single-path symbolic execution is to determine the conditions under which a predicate at a program location can be true. For instance, the predicate might be a branch condition, a programmer-provided assertion, or an array bounds check. We start with the predicate (which we will call the *query predicate*), associated with a program point, and an execution that reaches that point, but does not satisfy the predicate. Then the task is to determine the conditions on an input to the program that could cause execution to follow the same path, but cause the query predicate to be true. Using loop-extended symbolic execution, we enhance this condition analysis by taking into account other program executions that are similar to the observed one, but might involve different numbers of loop executions. Once the predicate has been chosen, this loop-extended condition analysis takes the following 3 steps:

- Derive symbolic expressions in terms of inputs. Given the original execution trace, our tool performs loop-extended symbolic execution on the trace as described in previous sections. The result of this step gives a symbolic expression for each program state variable that depends on the inputs, including both data dependencies and control dependencies introduced by loops.
- Instantiate query predicate. Our tool instantiates the query predicate by using the symbolic expression computed for each variable that appears in the predicate.

3. Solve constraints. The query predicate can be satisfied if there exist inputs to the program that simultaneously cause it to reach the location of the predicate, and satisfy the predicate. So our tool conjoins a path condition with the query predicate, and passes this formula to a decision procedure to determine if it is satisfiable. Constraints in the path condition that arise from loop exit conditions are removed, since they are superseded by loop-dependent symbolic expressions. Our implementation uses STP [21], an SMT solver that represents machine values precisely as bounded bit vectors. If the formula is solvable, STP returns a satisfying assignment to its free variables, which represent particular input bytes and auxiliary attributes. A grammar-based input generation tool [4,23] can then be used to produce a version of the initial input, modified according to the satisfying assignment, which is a candidate to satisfy the predicate.

#### 4.2 Applications of Loop-Enhanced Conditions

Loop-extended condition analysis has many applications. In this section, we describe three: improving the coverage of test generation based on mixed concrete and symbolic execution, discovering violations of security properties, and diagnosing the exploit conditions of a security flaw.

#### 4.2.1 Improving Test Generation

Test generation is the task of discovering inputs to a program that cause it to explore a variety of execution paths. Single-path symbolic execution can be used in an iterative search process to find such inputs [10,24,42], but it does not cope well with program branches that involve loop-dependent values; using LESE instead allows test generation to achieve higher coverage.

The basic operation in such an iterative search is to take an execution path and a branch along that path, and reverse the branch: find an input that causes execution to reach that branch, but then take the opposite direction. By reversing different branches in execution one at a time, a search tool could eventually explore every possible execution path, and a biased choice of which branch to reverse can implement a more directed search. Reversing a branch is just an application of the primitive of Section 4.1, where the query predicate is a branch condition or its negation. The benefit of using loop-extended symbolic execution instead of singlepath symbolic execution in test generation can be seen in two aspects: First, an LESE-based exploration is able to reverse branches whose conditions involve loop-dependent values; in a tool based on SPSE, by contrast, loop-dependent values are not considered symbolic. Second, an iterative search performed with LESE is more directed, since the conditions it reasons about capture more information about the program. For instance, if a subsequent branch depends on a loop-derived value, LESE-based search requires only one iteration to determine a number of iterations of the loop to reverse the condition. The length check on line 23 in the example of Figure 2 shows this benefit: an LESE-based generation tool can immediately construct an input with a long-enough version field, because the length is a symbolic variable, while an SPSE-based tool could only stumble on such an input by trial and error.

#### 4.2.2 Vulnerability Discovery

Many classes of security vulnerabilities can occur when a *security predicate* is violated during program execution. For instance, given a program that writes to an array, a buffer overflow occurs if the index of a write to an array is outside of the correct bounds. In a program that uses machine integers to compute the length of a data structure, an integer overflow vulnerability occurs if a computation gives the wrong result when truncated to word size. To check whether program logic is sufficient to prevent such failures, the problem of vulnerability discovery, or "fuzzing," asks whether

there is a program input that could violate the security predicate. Vulnerability discovery is similar to test case generation; the only difference is the additional checking of a security predicate at each dangerous operation. Thus, like test generation, it can be performed using our loop-extended condition analysis: the query predicate is just the negation of the security predicate.

Loop-extended symbolic execution is a particularly good match for discovering vulnerabilities related to input processing, because the data structure size values that are misused in buffer overflow and integer overflow vulnerabilities are often processed using loops. The buffer overflow in Figure 2 is typical in this way. Depending on the security property, some preprocessing might be needed to precisely define the security predicate describing how an operation might be unsafe: for instance, when checking for a buffer overflow, to determine the length of the vulnerable buffer. We will discuss some practical aspects of such preprocessing in Section 5.

Comparison with length abstraction. Xu et al. [46] suggest a different approach to making SPSE work better for certain buffer overflows, by abstracting over the length of string buffers. Specifically, their Splat tool uses a programmer-supplied annotation to treat a chosen prefix of a buffer's contents as symbolic; for the rest of the buffer, Splat ignores potential contents but treats the length as symbolic. When a buffer is processed using standard string functions, source-level summaries of those functions maintain the length abstraction. Splat's length abstraction is a simple technique that is effective for a limited class of buffer overflows involving only standard string functions. However, it does not provide the other advantages of LESE over SPSE: it does not apply if a program processes a string buffer using its own loop, or to any other loops in a program. We compare our implementation and experimental results with Splat in Section 6.1.

#### 4.2.3 Vulnerability Diagnosis

If a vulnerability has already been exploited by an attacker, another important application is diagnosing it: extracting a set of *vulnerability conditions* (general constraints on the values of inputs that exploit the vulnerability). Diagnosis is an important problem in security because vulnerability conditions are useful for automatically generating signatures to search for or filter attacks, or to help a security analyst understand a vulnerability.

Vulnerability diagnosis is again based on the loop-extended condition analysis primitive of Section 4.1: in fact, the combination of a path predicate and a negated security predicate gives a vulnerability condition. However, symbolic execution typically generates thousands of constraints, so our tool performs several optimizations to simplify them into a smaller set, as discussed in Section 5. Such simplification is particularly important for applications involving manual analysis, but a compact condition is also more efficient for use by later automated tools.

Some forms of vulnerability diagnosis could be performed using SPSE, but an SPSE-based diagnosis would be too narrow for many applications, including most buffer overflows. For instance, an SPSE-based diagnosis of the web server in Figure 2 could capture some generality in the contents of the input fields, but it would restrict their lengths to the particular values seen in the sample exploit. A filter based on such a diagnosis could be easily bypassed by an attack that used a different length URI. By contrast, LESE finds more general conditions; for instance, in the example of Figure 2, it finds that msgbuf can be overflowed by inputs of arbitrary size, as long as the sum of the lengths of two fields is at least 99.

#### 5. Implementation on Binaries

We have implemented loop-extended symbolic execution and an automatic buffer overflow diagnosis and discovery tool. We implemented the core loop-extended symbolic execution component described earlier in OCaml, and the protocol format linkage in OCaml combined with C and Python code to integrate with off-the-shelf parsers. For much of the binary analysis, such as taking an execution trace and getting the semantics of x86 instructions, we used an existing infrastructure. This infrastructure represents the trace in an intermediate representation language, similar to that of the VEX library used in Valgrind [39], to facilitate standard transformations such as slicing and conversion to SSA form.

In this section, we outline several additional components we developed to realize our proposed primitives, and heuristics that make this approach practical when working with binaries.

- Memory layout extraction. To check for overflows in pointer accesses, we need a representation of the memory allocations made by the program at different points in its execution. This module extracts this information from the trace, giving a log file listing each allocation and deallocation event.
- It would be simple to model each function's activation record as a single allocation. However this yields imprecise results—for instance, while it would detect the possibility of a buffer overflow corrupting a return address, it would miss corruption of other stack data, such as saved register values [13].
- Therefore, our approach uses a much more precise notion of allocations that accounts for separate variables and temporaries allocated in the stack. It uses a comprehensive abstract interpretation based on static binary analysis of stack-based memory accesses to partition the activation record into finer variable-like quantities. For this, we implemented an existing technique called stack analysis [41], though other techniques [2, 3] could alternatively be used. For dynamically allocated variables, our tool records call arguments to memory allocation functions, such as malloc, in standard libraries and over 100 Windows functions that allocate memory.
- Loop information extraction. We use IDA Pro [28] to disassemble binaries. Based on this disassembly, we implemented standard loop detection analysis algorithms [1]. There are two important modifications that were useful for obtaining results for our case studies.
- 1. Addition of dynamic edges. The presence of indirect call and jump instructions in functions hinders static CFG extraction: an analysis may completely miss code blocks that are reachable only through indirect jumps. Our static control flow graph extraction is supplemented with indirect jump targets observed during tracing, which allows many more loops to be discovered. For instance, such loops were critical to obtaining accurate results in the SQL Server case study of Section 6.
- 2. *Irreducible loops*. Unlike when compiling from source code of high-level languages, loops in binaries are often irreducible. We dealt with this by employing standard transformation techniques to make loops reducible.
- Call graph extraction. To separate executions of loops in different function activations, and to reason about functions called in loops, our tool requires a function call graph. Dynamic techniques proved to be sufficient in this case, but it is not enough to simply match call instructions with corresponding ret instructions, particularly for the low-level code found in system libraries. For instance, mismatched instructions can be caused by hand-written assembly code, compiler optimizations such as tail-call elimination, and longjmp. Instead, our tool maintains a mirror auxiliary stack to model the program's stack usage for call/return operations. It pushes the return address on the mirror stack at the point of a call, and considers a function to have exited when the instruction at this return address is executed.
- Protocol Grammar. We used an off-the-shelf IDS/IPS, Wireshark [43], to obtain protocol grammars of network protocols

we study, and the Hachoir [27] library for the grammars of media file formats. Such grammars are available in many application domains, but if they are not, they can also be automatically inferred from analysis of a program [9, 31, 44]. Such a protocol grammar can also be used to generate new legal inputs that satisfy particular constraints, such as by language intersection [23] or solving string constraints [4].

- Input Generation. Given an initial input, a grammar, and constraints on auxiliary attributes, the input generation problem asks for a new input that is legal according to the grammar and satisfies the constraints. We find that a relatively simple input generation approach works well with our LESE implementation: when a constraint requires that a length or count be larger, we repeat elements from the initial input until the result is long enough.
- Constraint simplification. The first simplification our tool performs is to remove constraints that are not relevant to the security predicate. It performs a live-variable analysis at the level of the intermediate representation, where only the variables used in the security predicate are live at the end of the trace. Any constraints that do not mention any live variables are eliminated. Then, the tool performs constant folding on the constraints, and simplifies them using the algebraic simplification routines of the STP constraint solver (which are available as a library).

#### 6. Evaluation

We evaluated the effectiveness of our LESE implementation by applying it to discovery and subsequent diagnosis of buffer overflow vulnerabilities. We selected two kinds of subject program for this evaluation. For comparison with other implementations, which require source code and/or run only on Linux, we use standard benchmark suites containing known overflows. To test the practical utility of our tool, we use real-world Windows and Linux applications with historic vulnerabilities. Our tool discovers all the benchmark overflows, as well as those in real-world applications, by generating just a few candidate inputs.

#### **6.1** Benchmarks Comparison

As benchmarks, we used a set of 14 programs extracted from vulnerabilities in open-source programs (BIND, Sendmail and WuFTP) by researchers at the MIT Lincoln Laboratories [47], which range between 200 and 800 lines of code each. (These are the same benchmark programs used by Xu *et al.* [46]).

Replacing SPSE with LESE would be beneficial throughout the process of input space exploration in vulnerability discovery, since symbolic expressions for loop-dependent values allow more branches to be reversed, as discussed in Section 4.2.1. However, it can be difficult to fairly compare symbolic execution tools on an end-to-end basis, because of differences in input assumptions and search heuristics. Therefore, we confine our evaluation to the last stage of vulnerability search by starting both our tool and an SPSE tool with a program input that reaches the line of code where a vulnerability occurs, but does not exploit it. These inputs are short and/or close to usual program inputs, so they could be found relatively easily by either an SPSE-based or an LESE-based approach (though the time required would still be highly dependent on the initial input and search heuristics used). Therefore, the results on these inputs provide a bound on the performance of an end-to-end system: if a tool is unable to find a vulnerability given the hint of a nearby input, it would also be unable to find it starting from a completely unrelated input.

**Results and New Bugs.** The upper half of Table 1 shows the results of our tool on the Lincoln Labs overflow benchmarks. The first column identifies each benchmark, and the second column summarizes the input grammar our tool uses. The third and fourth columns

give the initial input our tool started with, and the exploit input it found. The fifth column gives the number of candidate inputs our tool generates (after the slash), and the number of those that in fact cause an overflow (before the slash). The sixth column gives the total runtime of our tool, starting with the initial input trace and including all the discovered overflows. (The seventh column will be discussed in Section 6.3.) All experiments were performed on a 3GHz Intel Core 2 Duo with 4GB of RAM.

Our LESE tool discovers most of the bugs in just a few minutes, requiring only a few candidate inputs each. In each case, we supplied a small benign input, and the tool automatically found that a longer input could cause an overflow. Our tool also discovered an apparently new bug in one of the Lincoln Labs benchmarks: in addition to the known overflows (marked with /\* BAD \*/ comments in the benchmark code) our tool finds a new overflow on line 340 of the function parse\_dns\_reply in Sendmail benchmark 7. (In the other cases where our tool reports multiple overflowing inputs, they were a set of related errors marked in the benchmark.)

Comparison with SPSE and Splat. To compare our tool with a single-path symbolic execution tool that also works on (Linux) binaries, we used the latest version (dated Jan 22nd 2009) of catchconv [12] (also called SmartFuzz), an implementation of white-box fuzzing [25]. Examination of Catchconv's results confirms the expected characteristics of an SPSE-based discovery tool. When there is no direct dependence between an input byte and an overflowing pointer, Catchconv can only explore the input space in an undirected fashion, and so requires many candidate inputs to discover even a short exploit. For instance, Catchconv runs for 6 hours on the Sendmail 1 benchmark, but does not find an exploit.

The Lincoln Labs benchmarks also allow a comparison with the Splat tool of Xu et al. [46], which extends single-path symbolic execution with a length abstraction as described in Section 4.2.3. Unfortunately, the Splat developers were unable to supply us with a version of their tool that matches the results obtained in their paper (the publicly available version seems to only support 32 bits of symbolic input), so we could not perform a head-to-head comparison. Also, because of the way the benchmarks were modified to be self-contained, it is not always clear which variables should be designated as the program inputs, and the Splat authors were unable to supply us with the designations used in their experiments. For instance, the BIND 2 benchmark exercises code from BIND that parses a DNS packet, and also includes code to generate an appropriate packet. As shown in Table 1, we considered the packet itself to be the input, so that only an input that is a mostly syntactically correct packet will cause an overflow. By contrast, the Splat authors report that for their choice of input, only the size and not the contents of the input are relevant to the bug, perhaps because they took some value in the packet generation process to be the input. We believe our choice makes for a more realistic evaluation, but it also makes the task much more difficult. In particular, this means that a direct comparison of the tools' execution times would not be meaningful. However, our tool was able to find exploits for the two benchmarks (Sendmail 1 and 5) on which Splat times out. (In the case of Sendmail 5, the total running time of our tool to evaluate 3 candidate inputs is longer than the two hour timeout used with Splat, but our tool reports its first vulnerability before two hours have elapsed.) On the remaining benchmarks, our tool reproduces Splat's positive results on the complete programs, without requiring source code annotations or hand summaries of string functions that would make a tool difficult to use in practice.

Accuracy of candidate inputs. In the fifth column, Table 1 shows the number of candidate test inputs our tool generated in the process of finding each exploit. The fact that only a few tests were required (on average, 62.5% of the candidates our tool generates are real exploits) demonstrates the targeted nature of LESE-based search:

Program	Input Format	Initial Input	Exploit Input	Bug /	Time (s)	Loop-Dep.
				Candidate		Conditions
BIND 1	DNS QUERY	104 bytes, RDLen=30	RDLen=10	1/5	2511	16
BIND 2	DNS QUERY	114 bytes, RDLen=46	RDLen=30	1/4	2155	12
BIND 3	DNS IQUERY	39 bytes, RDLen=4	RDLen=516	1/2	586	13
BIND 4	DOMAINNAME	"web.foo.mit.edu"	"web.foo.mit.edu" (64 times)	1/1	4464	52
Sendmail 1	Byte Array	"<><>"	"<>" (89 times)	4/5	672	1
Sendmail 2	struct passwd (Linux)	("","root",0,0,"root","","")	("","root",0,0,"rootroo","","")	1/1	526	38
Sendmail 3	$[String]^N$	["a=\n"] <sup>2</sup>	["a=\n"] <sup>59</sup>	1/4	626	18
Sendmail 4	Byte Array	"aaa"	"a" (69 times)	1/1	633	2
Sendmail 5	Byte Array	"\\\"	"\" (148 times)	3/3	18080	6
Sendmail 6	OPTION∘' '∘ARG	"-d aaaaaaaaa-2"	"-d 422222222-2"	1/1	676	11
Sendmail 7	DNS Response Fmt	TXT Record : "aaa"	Record: "a" (32 times)	1/1	237	16
WuFTP 1	String	"aaa"	"a" (9 times)	2/2	483	5
WuFTP 2	PATH	"aaa"	"a" (10 times)	1/1	197	29
WuFTP 3	PATH	"aaa"	"a" (47 times)	1/1	109	7
GHttpd	MethodoURIoVersion	"GET /index.html HTTP/1.1"	"GET "+188 bytes + " HTTP/1.1"	2/2	1562	41
SQL Server	CommandoDBName	x04 x61 x61 x61	x04 x61(194 bytes)	1/3	205	1
GDI	(Not required)	1014 bytes, INP[19:18]=0x0182	INP[19:18]=0x4003	1/1	353	2

Table 1: Discovery Results for benchmarks and real-world programs. A circle ( $\circ$ ) represents concatenation. In  $[X]^k$ , k denotes the auxiliary count attribute specifying the number of times element X repeats.

the tool efficiently chooses appropriate loop iteration counts and prunes buffer operations that are safe, concentrating on the most likely vulnerability candidates. Of course, since the candidates are concrete inputs that can be automatically tested, failed candidates are not reported: the tool gives no false positive results.

#### 6.2 Evaluation on Real-World Programs

As full-scale case studies, we took 3 real-world Windows and Linux programs which are known to have buffer overflow vulnerabilities. These include the program targeted by the infamous Slammer worm in 2003 [37], the one affected by a recent GDI vulnerability in 2007 [34], and an HTTP server [22]. Table 1 summarizes the vulnerabilities in these programs and the input grammars our tool used. We gave benign initial inputs to these programs that are representative of normal inputs that they would receive in practice.

Our tool discovers buffer overflows in all 3 real world programs, starting with a benign input. The bugs found in the GDI and SQL cases are the same as reported earlier in these programs, as we manually confirmed. For ghttpd, our tool discovered two buffer overflows vulnerabilities in the Log function in util.c. One of these is described in previous research using this subject program [14]. The new vulnerability involves a separate buffer and would require a separate fix. These results are summarized in Table 1; next we explain each test vulnerability in more detail.

GHttpd vulnerability. GHttpd is a Linux web server; our case study used version 1.4.3. We send an initial benign input, GET /index.html HTTP/1.1, to the running web service, and it responds normally. Given a trace of this execution and the HTTP grammar, our tool discovers 2 potential buffers to overflow and generates candidate exploits for each. These inputs are the same as the initial input except that their URI fields have lengths of 188 and 140 bytes respectively. Testing confirms that both candidates indeed cause overflows: the shorter request overflows one buffer, and the longer one overflows both that buffer and a subsequent one.

**SQL Server vulnerability.** This vulnerability is a stack-based overflow in Microsoft's SQL Server Resolution Service (SSRS), which listens for UDP requests on port 1434. Based on its specification [35], one valid message format contains 2 fields: a header byte of value 4, followed by a string giving a database name. We send the SSRS service a benign request that consists of the header byte and a string "aaa", to which the service responds correctly. Given the trace and the input grammar, our tool finds 3 potential

buffers to overflow and generates one candidate inputs for each. Our automated testing reports that one candidate, which is 195 bytes long, overflows a buffer that is the same one exploited by the SQL Slammer worm. (The other two candidate inputs are longer than the maximum-length UDP packet, so they are discarded during testing and not reported.) The fact that such large inputs could be generated in a single step, rather than via a long iteration process, shows the power of LESE.

**GDI vulnerability.** This vulnerability in the Microsoft Windows Graphic Rendering Engine was reported and patched in 2007. We created a benign and properly formatted WMF image file using Microsoft PowerPoint, containing only the text "aa"; the file is 1014 bytes long. We attempt to open the file using a sample application and record the program execution. Without using an input grammar, our tool discovers a potential buffer read overflow and creates an exploit input, which crashes the sample application. The only differences between the exploit and the benign input are the values in bytes 18 and 19 (shown in Table 1). Comparing with a grammar for the WMF image format, these bytes correspond to the size of the image field.

#### **6.3 Further Applications**

Improving test coverage. Though our evaluation does not focus on the exploration phase of vulnerability detection, our experiments do demonstrate a feature of loop-extended symbolic execution that makes it more effective in obtaining input space coverage. As described in Section 4.2.1, LESE improves on SPSE by finding symbolic expressions for more branch conditions that depend on the number of times loops execute, making it possible for a coverage tool to reverse them. To measure this effect, we give in the seventh column of Table 1 the number of branches for which our tool found a loop-dependent condition but no directly input-dependent condition, so that an LESE-based tool would be able to reverse them but an SPSE-based tool would not. The count is a number of unique program-counter locations (i.e., static and context-insensitive), and excludes loop exit conditions. For instance, one of the 29 loopdependent conditions in WuFTP 2 is a length check (on line 464) that was intended to prevent the buffer overflow. Because the check is faulty, it is false on both our benign and exploit inputs, but exploring both sides would be critical for an exploration task, such as verifying the lack of overflows in a fixed version. The condition

is immediately apparent to our tool, but would not be considered symbolic under standard SPSE.

Vulnerability diagnosis. Our tool can also be used for vulnerability diagnosis: to find a general set of conditions under which an exploit occurs. Diagnosis is most useful when a vulnerability is already being used by attackers, and it is important to understand and defend against attacks quickly: vulnerability conditions can accelerate or replace manual analysis of an exploit, and be used to generate filters to detect or block attacks. But to be useful, such conditions must be broad enough to cover a large class of attacks.

We used our tool to perform diagnosis on the same real-world programs described in Section 6.2. Either a publicly available exploit, or the exploits generated by our discovery tool, could be used and produce the same results.

Our tool's diagnoses, summarized in Table 2, are more accurate and usable than those given in previous work [18]. For instance, for the Microsoft SQL Server vulnerability, the condition our tool generates states that the vulnerable field's length must be greater than 64 bytes, whereas the buffer overrun vulnerability condition generated in previous work states that the length must be at least 97 bytes [18]. This difference turns out to be significant. Because we have no access to source code, we validated our results experimentally by supplying inputs of various sizes to the server. We found that when the vulnerable field has a size larger than 64 bytes, the overflow overwrites pointers with invalid values, causing an exception when these values are dereferenced.

Also note that most diagnoses of buffer overflows, including the GHttpd and SQL Server examples shown in Table 2, could not be produced by a standard SPSE tool, which lacks even a notation to refer to the length of an input field.

#### 7. Related Work

This section discusses two classes of related research: first, other work on analysis approaches similar to our loop-extended symbolic execution; then, work that also addresses the problem of discovering and/or diagnosing buffer-overflow attacks.

#### 7.1 Analysis Approaches

Single-path symbolic execution. The technique we refer to as single-path symbolic execution has been proposed by a number of researchers, with modest variations in technique and sometimes larger differences in terminology. It is also called "directed testing" [24], "execution-generated test cases" [10], "concolic testing" [42], and "whitebox fuzzing" [25]. It was first proposed as a test-generation technique to produce program inputs that cover new program paths, and therefore uncover bugs, including security vulnerabilities. In addition to generating new inputs, the symbolic conditions derived from an execution path also have a number of other applications, such as building signatures to filter network attacks [6] or searching for differences between implementations [5].

**Extensions to single-path symbolic execution.** Several previous approaches have extended single-path symbolic execution with additional information about the program or its possible inputs. Previous grammar-based approaches [23,33] have taken advantage of knowledge of which program inputs are legal to reduce the size of the search space when generating new inputs. By comparison, our use of an input grammar in Section 3.2 is focused on extracting more information from a single execution. The Splat tool of Xu *et al.* [46] also targets the problem of buffer-overflow diagnosis, but they do not explicitly model loop constructs as in loop-extended symbolic execution. We compare to their approach in Section 4.2.2, and to their implementation and experimental results in Section 6.1.

Static analysis. Determining linear (technically, "affine") relationships among the values of variables, as our analysis in Sec-

tion 3.1 does, is a classic problem of static program analysis, pioneered by Karr [30]. Like many properties that involve more than one variable, it can potentially become expensive. For instance the polyhedron technique [16] requires costly conversion operations on a multi-dimensional abstract representation. More recent research has considered restricted abstract domains that allow for more efficient computation, such as "octagons" [36] and "pentagons" [32]. The techniques of Müller-Olm and Seidl [38] have the advantage of giving precise results even with respect to overflow, but their runtime is a high power of the number of variables in a program  $(k^7)$  for the interprocedural case). Random analysis [26] can also be used to determine linear relationships, with a small probability of error. For the simpler case we consider, it is sufficient to take a more efficient non-relational approach: we express the values of program variables not in terms of each other but in terms of a small set of auxiliary trip-count variables.

#### 7.2 Discovering and Diagnosing Buffer Overflows

Buffer-overflow vulnerabilities are a critical security challenge, and many approaches have targeted them. Sound static analysis holds the possibility of eliminating false negatives, but in practice buffer overflow checking is difficult enough that sound analysis is possible only for small programs with extensive user annotation [19]. More comparable to our approach are scalable bug-finding tools [20, 45]. However, pure static analysis approaches suffer from false positives, which tool users must examine by hand. For instance, one comparison [47] using the same benchmarks we use in Section 6.1 found that many tools produced so many false positives they did only slightly better than chance. Dynamic analysis techniques, on the other hand, avoid false positives by examining programs as they execute [15, 17, 40]. However, the requirement of running on all executions means that the overhead of dynamic analysis tools can limit their applicability. Symbolic execution combines static and dynamic techniques in order to generalize from observed executions to similar unobserved ones, and loop-extended symbolic execution extends this generalization to include loops.

Our vulnerability diagnosis using loop-extended symbolic execution extends previous diagnosis approaches based on single-path symbolic execution [6, 8, 14]. Bouncer [14] employs source-codebased static alias analysis along with SPSE.

ShieldGen [18] uses a protocol-specification-based exploration of the input space to diagnose a precise vulnerability condition. However, in contrast to our work, it treats the program as a blackbox, ignoring the implementation. In addition, it does not capture complex relationships between fields that may be necessary to exploit a vulnerability. For instance, as its authors point out, Shield-Gen cannot capture the condition that the combined length of two fields must exceed a buffer size for exploit (as in the example of Section 2), which our techniques can.

#### 8. Conclusion

We propose loop-extended symbolic execution, a new type of symbolic execution that gains power by modeling the effects of loops. It introduces trip count variables with a symbolic analysis of linear loop dependencies, and links them to features in a known input grammar. We apply this approach to the problem of detecting and diagnosing buffer overflow vulnerabilities, in a tool that operates on unmodified Windows and Linux binaries. Rather than trying a large number of inputs in an undirected way, our approach often discovers an overflow on the first candidate it tries. Our tool finds all the vulnerabilities in the Lincoln Labs benchmark suite and gives accurate symbolic conditions describing real vulnerabilities. These results suggest that loop-extended symbolic execution has the potential to make many kinds of program analysis, including important security applications, faster and more effective.

Program	Buffer size (bytes)	Condition for overflow	Constraint generation time (s)
GHttpd (1)	220	URI.len > 172	420 + 23
GHttpd (2)	208	URI.len > 133	420 + 140
SQL Server	128	DBName.len > 64	192
GDI	4096	(2·INP[19:18])>>2 < 0	200

Table 2: Diagnosis results on real-world software. Generation time for GHttpd consists of the pre-processing time (420 seconds) and the post-processing time (23 and 40 seconds) for each overflow condition.

#### 9. Acknowledgements

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