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ALGORITHMS FOR STEERING ON THE GROUP OF ROTATIONS

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Gregory Walsh, Augusto Sarti, and Shankar Sastry

Memorandum No. UCB/ERL M93/44

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Algorithms for steering on the group of rotations*

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Abstract

The paper focuses on the problem of explicitly generating open loop strategies for steering control systems with left-invariant vector fields on the Lie group of rigid rotations SO(3). Both systems with and without drift are considered as well as systems with three, two or one input(s). For each of these cases, if possible, we present a constructive solution to the steering problem.

The most interesting cases are those of systems with drift and either only or two inputs. Having two inputs gives us the freedom to choose the steering time. In the case of only one input our algorithm will drive the system to the desired orientation in a finite time. There are, however, limitations on the choice of the arrival time.

Simulations have been developed and the results animated on a Silicon Graphics Iris workstation. In particular, an executable for the Indigo II workstation which demonstrates the algorithms mentioned above is available by anonymous flp

1 Introduction and Problem Statement

Noether's theorem [1, 4] identifies conserved quantities associated with invariant actions of a Lie group on the Lagrangian of a system. The Lie group (for a good review, see [7]) associated with the conservation of angular momentum is SO(3), the space of orthogonal matrices of determinant 1. The conserved quantities induce constraints on the tangent bundle of the configuration space; these constraint equations [8] can be converted to control systems. To this end, we will study leftinvariant control systems on SO(3). The Lie algebra so(3) associated to SO(3) is the set of all 3×3 skew-symmetric matrices, with the Lie bracket being the matrix commutator. The differential equation describing the evolution of g, with $g \in SO(3)$, is as follows:

$$\dot{g} = A_0(g) + \sum_{i=1}^m A_i(g)u_i \qquad g \in SO(3)$$
 (1)

where each vector field $A_i(g)$ may be written as:

$$A_i(g) = g(b_i \times)$$
 $(b_i \times) \in so(3)$

with the $(b_i \times)$'s constant and linearly independent members of the Lie algebra. We will often map a skew-symmetric matrix $(b \times)$ to the vector b with $b \in \mathbb{R}^3$. Thus given $b \in \mathbb{R}^3$, the skew-symmetric matrix is then:

$$(b\times) = \begin{bmatrix} 0 & -b_3 & b_2 \\ b_3 & 0 & -b_1 \\ -b_2 & b_1 & 0 \end{bmatrix}$$

To avoid confusion between the identity element in the group and the exponential map, we will use $Exp(\cdot)$ for the latter and e for the former. The development of this paper may also be carried out for right-invariant systems.

The problem that we approach is to explicitly generate open-loop strategies for solving the steering problem, that is, given some initial point g_i with $g_i \in SO(3)$ and some final point g_f with $g_f \in SO(3)$, find a time T and a control $u(\cdot)$ piecewise continuous, defined on the interval [0, T], such that the system (1), starting at the initial condition of g_i at time 0, will at time T arrive at g_f . We note that least-squares optimal control of systems of the form (1) was studied by [2]. In this paper, we focus on explict steering laws given the initial and final points in SO(3).

Suppose the system had an input constraint, say, $u(t) \in U$ for all t. If the set U contained a neighborhood of the origin, we would be able to rescale any bounded solution both in time and magnitude to obtain an alternate solution which obeys the constraint U, provided there is no drift. In many cases with drift, this is possible as well.

We will consider six cases. We will set $A_0(g) \equiv 0$ in the first three and we will vary the number of input vector fields from three to one $(drift-free \ cases)$. In the next three cases the drift term A(g) will be nontrivial and the input vector fields will be varied in the same manner. In all cases we will assume the input vector fields are not redundant (meaning they are not linearly dependent).

2 Left-Invariant Control Systems

A satellite with two or three rotors at rest, that is, with zero total angular momentum, may be modeled as a drift-free system on SO(3). The kinematic equations for such a system are given by (1) (for details, see [10]). The vector b_0 is zero and all other vectors depend on the physical parameters of the system.

As there is no drift to this system, Chow's theorem [3] may be applied in order to check controllability. Some simplifications in the case of left-invariant systems on Lie groups will apply. The Lie bracket reduces to the matrix commutator on the Lie algebra, and in the case of so(3), $[b_i, b_j] = ((b_j \times b_i) \times)$.

2.1 The Three Input Control System

For the case of three independent inputs, the input vector fields span the tangent space at every point therefore controllability is assured. The system has the form:

$$\dot{g} = g(b_1 \times) u_1 + g(b_2 \times) u_2 + g(b_3 \times) u_3$$

Thus given that g_i , with $g_i \in SO(3)$, is the initial state of the system, the configuration which results from the action of a combination of the constant inputs (u_1, u_2, u_3) for one second¹ is

$$g_f = g_i \operatorname{Exp}((b_1 \times) u_1 + (b_2 \times) u_2 + (b_3 \times) u_3)$$

We can thus consider the desired net movement $g_d = g_i^{-1}g_f$ with² $g_d \in SO(3)$, find the controls which will steer the system from the identity to g_d and apply these to our system to obtain the movement from g_i to g_f . Thus we may solve this equation:

$$g_i^{-1}g_f = \operatorname{Exp}((b_1 \times) u_1 + (b_2 \times) u_2 + (b_3 \times) u_3)$$

While the exponential map does not in general cover every group, it

¹This is the same as applying the constant inputs $\left(\frac{u_1}{T}, \frac{u_2}{T}, \frac{u_3}{T}\right)$ for T seconds. This consideration is valid also in what follows, except where specified. ²Recall that g^{-1} is the transpose of g when g is in SO(3)

does for SO(3) and some others [9]. Euler's theorem [7], in the case of SO(3), guarantees the existence of an element $(a\times)$ of the Lie algebra so(3) such that $g = \text{Exp}((a\times))$, for any $g \in SO(3)$. Once the element $(a\times) \in so(3)$ is found, we only need to find numbers (u_1, u_2, u_3) such that $\sum_{i=1}^{m} u_i (b_i \times) = (a \times)$. As the $(b_i \times)$'s form a basis for so(3), such constants are uniquely specified.

For the special case of SO(3) and its Lie algebra so(3) there exists a formula called Cayley's formula [5],

$$(a\times) = (g-e)(g+e)^{-1}$$

which allows us to efficiently compute the matrix logarithm by means of a simple matrix inversion when (g + e) is nonsingular.

2.2 The Two Input Control System

Given that b_1 and b_2 are independent, Chow's theorem assures controllability because $b_1 \times b_2$ is perpendicular to b_1 and b_2 . A more constructive argument for controllability follows from the various parameterizations of SO(3). Besides the classic roll-pitch-yaw parameterization, there exist others like the roll-pitch-roll parameterization. One can think of this coordinate chart as a recipe for steering to some configuration from the identity while using only two left-invariant vector fields, $g(e_1 \times)$ and $g(e_2 \times)$ with e_1 and e_2 in \mathbb{R}^3 being the standard basis elements $(1,0,0)^T$ and $(0,1,0)^T$. Of course, in general the system will not be at the identity and have $g(e_1 \times)$ and $g(e_2 \times)$ as input vector fields; however, with a little work this can be put right. First, a linear transformation is needed to decouple the inputs by orthogonalizing their action. Secondly, the random disposition of these now orthonormal vector fields may be made to appear as the canonical ones with the appropriate conjugate transformation. In this way the critical formula, that is the *roll-pitch-roll* inversion, must be computed only once for any systems in this class.

Proposition 1

Given a control system on SO(3) whose evolution is described by $\dot{g} = g(b_1 \times) u_1 + g(b_2 \times) u_2$, with b_1 and b_2 linearly independent, g_i and g_f both in SO(3)and a time T > 0, Then there exists a $u(\cdot)$ defined on [0, T], piecewise constant, which will steer the system

from g_i to g_j in the interval [0, T].

Proof: The proof will be given in algorithmic form.

Step 1: Decoupling the inputs We assume the roll motion to correspond to the action of the first input, and the *pitch* motion to be a linear combination of the two inputs³. If we call v_1 the roll, and v_2 the *pitch*, the input transform is:

$$\begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \begin{bmatrix} \beta_{11} & \beta_{12} \\ 0 & \beta_{22} \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$
(2)

with

$$\beta_{11} = (||b_1||)^{-1}$$

$$\beta_{22} = (||b_2 - b_2^T b_1 \beta_{11}^2 b_1||)^{-1}$$

$$\beta_{12} = -b_2^T b_1 \beta_{11}^2 \beta_{22}$$

If a_1, a_2, a_3 represent how long the inputs v_1, v_2 are applied in roll-pitch-roll fashion, the equation to solve becomes:

$$g_i^{-1}g_f = \operatorname{Exp}(\beta_{11}(b_1 \times) a_1) \operatorname{Exp}((\beta_{12}(b_1 \times) + \beta_{22}(b_2 \times))a_2)$$
$$\operatorname{Exp}(\beta_{11}(b_1 \times) a_3)$$
(3)

³We have not made any assumption of orthogonality of b_1 and b_2 .

Step 2: Conjugate transformation Compute the rotation matrix $K \in SO(3)$, given by:

$$K = \left[\beta_{11}b_1 \quad (\beta_{12}b_1 + \beta_{22}b_2) \quad (\beta_{11}b_1 \times (\beta_{12}b_1 + \beta_{22}b_2)) \right]$$

Notice that $K^{-1}\beta_{11}b_1 = e_1$ and $K^{-1}(\beta_{12}b_1 + \beta_{22}b_2) = e_2$. Define $\bar{g}(t) = (g_i K)^{-1}g(t)K$. A quick calculation of the time derivative of this similarity transform will confirm the canonical representation.

$$\dot{\tilde{g}}(t) = (g_i K)^{-1} \dot{g}(t) K$$

$$= K^{-1} g_i^{-1} g(t) K K^{-1} \left(\beta_{11} \left(b_1 \times\right) v_1 + \beta_{12} \left(b_1 \times\right) v_2 + \beta_{22} \left(b_2 \times\right) v_2\right) K$$

$$= \tilde{g}(t) \left(K^{-1} \beta_{11} \left(b_1 \times\right) K v_1 + K^{-1} \left(\beta_{12} \left(b_1 \times\right) + \beta_{22} \left(b_2 \times\right)\right) K v_2\right)$$

$$= \tilde{g}(t) \left((e_1 \times) v_1 + (e_2 \times) v_2\right)$$

One useful fact used above is that $K(b \times) K^{-1} = (Kb \times)$.

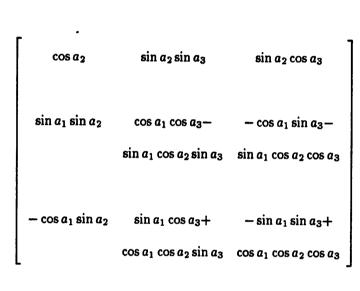
Step 3: Computation Solve the roll-pitch-roll equation

$$(g_i K)^{-1} g_f K = \operatorname{Exp}((e_1 \times) a_1) \operatorname{Exp}((e_2 \times) a_2) \operatorname{Exp}((e_1 \times) a_3)$$

(4)

for the three coordinates (a_1, a_2, a_3) .

As we will rely on the *roll-pitch-roll* inversion several times during this paper, we will compute explicitly the right hand side of equation (4) and solve it. The generic matrix g, with $g \in SO(3)$, is then:



Denoting the elements of g by g_{ij} , we see immediately that g_{21} and g_{31} are both zero only when $\sin a_2 = 0$, in which case g_{12} and g_{13} are zero as well and the matrix g has the following structure

$$g = \begin{bmatrix} \pm 1 & 0 & 0 \\ 0 & \cos(a_1 \pm a_3) & \mp \sin(a_1 \pm a_3) \\ 0 & \sin(a_1 \pm a_3) & \pm \cos(a_1 \pm a_3) \end{bmatrix}$$

Thus in this case $a_2 = 0$ or $a_2 = \pi$ means that there was either no pitch or alternatively a flip. In both cases, a single roll action is sufficient to steer the system: just solve for the quantity $a_1 \pm a_3$. More generally, when g_{21} or g_{31} are not both zero (thus also g_{12} or g_{13} are not both zero), we can directly compute the coordinates (a_1, a_2, a_3) as follows

$$a_{1} = \operatorname{atan2}(g_{21}, -g_{31}) \quad \text{if } g_{31} \neq 0$$

$$= \operatorname{acot2}(-g_{31}, g_{21}) \quad \text{else}$$

$$a_{2} = \operatorname{atan2}(g_{11}\sin(a_{1}), g_{21}) \quad \text{if } g_{21} \neq 0$$

$$= \operatorname{atan2}(g_{11}\cos(a_{1}), -g_{31}) \quad \text{else}$$

$$a_{3} = \operatorname{atan2}(g_{12}, g_{13}) \quad \text{if } g_{13} \neq 0$$

$$= \operatorname{acot2}(g_{13}, g_{12}) \quad \text{else}$$

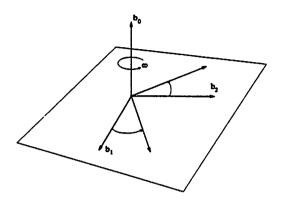
where $\operatorname{atan2}(y, x)$, $\operatorname{acot2}(y, x)$ compute $\operatorname{tan}^{-1}(\frac{y}{x})$, $\operatorname{cot}^{-1}(\frac{y}{x})$ but use the sign of both x and y to determine the quadrant in which the resulting angle lies.

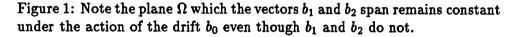
Step 4: Application Apply for $\frac{T}{3}$ seconds the controls:

 $(u_1, u_2) = (3\beta_{11}\frac{a_1}{T}, 0), \quad (u_1, u_2) = (3\beta_{12}\frac{a_2}{T}, 3\beta_{22}\frac{a_2}{T}),$ $(u_1, u_2) = (3\beta_{11}\frac{a_3}{T}, 0)$

2.3 The One Input Control System

This case corresponds to a satellite with only one rotor. In this case the system is not controllable for there is only one input vector field. The set of all achievable orientations forms a 1-dimensional subgroup





From
$$g_r = g \exp(-(b_0 \times)t)$$
 we can write $g = g_r \exp((b_0 \times)t)$,

whose derivative is

$$\dot{g} = \dot{g}_r \operatorname{Exp}((b_0 \times) t) + g_r \operatorname{Exp}((b_0 \times) t)(b_0 \times)$$
$$\dot{g}_r \operatorname{Exp}((b_0 \times) t) + g(b_0 \times)$$
(7)

We want to find a new pair of inputs (v_1, v_2) such that

$$\dot{g}_r = g_r (b_1 \times) v_1 + g_r (b_2 \times) v_2$$
 (8)

The two inputs v_1 and v_2 that solve the problem can be determined by using equation (8) in equation (7) to get:

$$\dot{g} = (g_r(b_1 \times) v_1 + g_r(b_2 \times) v_2) \operatorname{Exp}((b_0 \times) t) + g(b_0 \times)$$

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$$= g \operatorname{Exp}(-(b_0 \times) t)(b_1 \times) \operatorname{Exp}((b_0 \times) t)v_1 +$$

$$g \operatorname{Exp}(-(b_0 \times) t)(b_2 \times) \operatorname{Exp}((b_0 \times) t)v_2 +$$

$$+g(b_0 \times)$$
(9)

We recall that, given any rotation matrix $R \in SO(3)$ and any skew symmetric matrix $(b \times) \in so(3)$, we have $R(b \times) R^{-1} = (Rb \times)$, therefore equation (9) becomes

$$\dot{g} = g(b_0 \times) + g(c_1 \times) v_1 + g(c_2 \times) v_2$$
(10)

where $c_1 = \exp(-(b_0 \times)t)b_1$ and $c_2 = \exp(-(b_0 \times)t)b_2$. These two terms can be computed by means of Rodrigues' formula

$$c_{1} = \left(I - (b_{0} \times) \frac{1}{\omega} \sin \omega t + (b_{0} \times)^{2} \frac{1}{\omega^{2}} (1 - \cos \omega t)\right) b_{1}$$

$$= b_{1} - b_{0} \times b_{1} \frac{1}{\omega} \sin \omega t + (b_{0} \times) (b_{0} \times b_{1}) \frac{1}{\omega^{2}} (1 - \cos \omega t)$$

$$= b_{1} - b_{2} \sin \omega t + b_{0} \times b_{2} \frac{1}{\omega} (1 - \cos \omega t)$$

$$= b_{1} - b_{2} \sin \omega t - b_{1} (1 - \cos \omega t)$$

$$= b_{1} \cos \omega t - b_{2} \sin \omega t$$

Similarly we have

$$c_2 = b_2 - b_0 \times b_2 \frac{1}{\omega} \sin \omega t + (b_0 \times) (b_0 \times b_2) \frac{1}{\omega^2} (1 - \cos \omega t)$$

 $= b_2 - b_1 \sin \omega t + b_0 \times b_1 \frac{1}{\omega} (1 - \cos \omega t)$

 $= b_1 \sin \omega t + b_2 \cos \omega t$

Equation (10) thus becomes

$$\dot{g} = g((b_1 \times) \cos \omega t - (b_2 \times) \sin \omega t) v_1 +$$

$$g((b_1 \times) \sin \omega t + (b_2 \times) \cos \omega t) v_2 +$$

$$g(b_0 \times) \qquad (11)$$

It is now clear that by setting

$$\begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = A(t) \begin{bmatrix} v_1 \\ v_2 \end{bmatrix} = \begin{bmatrix} \cos \omega t & \sin \omega t \\ -\sin \omega t & \cos \omega t \end{bmatrix} \begin{bmatrix} v_1 \\ v_2 \end{bmatrix}$$
(12)

we obtain the system (5).

Step 3: Computation Find the input sequence that drives the drift-

free system

$$\dot{g}_r = g_r \left(b_1 \times \right) v_1 + g_r \left(b_2 \times \right) v_2$$

from g_i to $g_f \operatorname{Exp}(-(b_0 \times)T)$ in the interval (0,T) by using the method of theorem (1).

•

Step 4: Application Apply the resulting controls to the system

$$\dot{g} = g(b_0 \times) + g(b_1 \times) (\cos(\omega t)v_1 + \sin(\omega t)v_2)$$
$$g(b_2 \times) (-\sin(\omega t)v_1 + \cos(\omega t)v_2)$$

3.3 The One Input Control System with Drift

Now we will exploit rather than ignore the drift provided that we have fewer constraints on the arrival time.

Proposition 4

Given a control system on SO(3) whose evolution

is described by $\dot{g} = g(b_0 \times) + g(b_1 \times) u_1$,

with b₀ and b₁ linearly independent,

 g_i and g_f both in SO(3)

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Then there exists a T \in \mathbf{R}_+ and
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a $u(\cdot)$ defined on [0,T],

piecewise constant, which will steer the system

from g_i to g_f in the interval [0, T].

Proof: The proof will be algorithmic, as before.

Step 1: Orthogonalization There is one degree of freedom assuming there are no input constraints. Find the numbers β_1, β_2 so that $b_0 + \beta_1 b_1$ is orthogonal to $b_0 + \beta_2 b_1$. While the plane in

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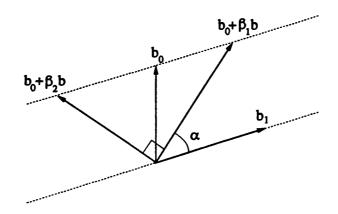


Figure 2: This figure shows geometrically how the constants β_1 and β_2 are chosen in order to insure that the resulting inputs are orthogonal. Notice that there is one degree of freedom, α .

which these vectors lie in is fixed, the two vectors may rotate in a limited way in the plane. Call the normalized versions of the resulting two vectors h_1, h_2 .

Step 2: Computation Apply the procedure of Section 2.2 to the system:

$$\dot{g}=g\left(h_1u_1+h_2u_2\right)$$

with g_i and g_f as before, with T = 3.

Step 3: Time Scaling There will be two problems with the solutions that may arise from the computation step. First, they might have negative values. The drift can not be reversed, but luckily we may just add 2π to any negative result and convert the input to a positive one.

Also, the inputs may not be varied. However, the amount of time they are applied can be adjusted. Instead of applying the first input for one second for example, we can apply β_1 for $\frac{a_1}{||b_0+\beta_1b_1||}$ seconds. Set the scaling constants c_1 and c_2 to $||b_0 + \beta_1b_1||$ and $||b_0 + \beta_2b_1||$ respectively.

Step 4: Application Apply the control $u_1 = \beta_1$ for $\frac{a_1}{c_1}$ seconds, $u_1 = \beta_2$ for $\frac{a_2}{c_2}$ seconds and $u_1 = \beta_1$ for $\frac{a_3}{c_1}$ seconds.

Again, the choice of β_1 and β_2 must be done by taking into account possible constraint on the time necessary to steer the system and (or) on the magnitude of the input.

4 Simulation Strategies

While convenient for algebraic manipulation, matrix-form differential equations for SO(3) are not suitable for numerical simulation. Recall that the Lie group SO(3) is a three-dimensional submanifold of GL(3). If matrix differenitial equations for SO(3) are used, numerical error may slowly drive the nine states off the sub-manifold. One may resolve this by using a smooth map from \mathbb{R}^3 to to SO(3), for example the rollpitch-yaw coordinate chart, and simulating the system in \mathbb{R}^3 instead. These maps, however, are prone to singularities and if used they require frequent change of coordinates.

We chose to use the quaternions representing SO(3), avoiding the singularities. Given any $g \in SO(3)$, there exists an $\omega \in \mathbb{R}^3$ of unit length and a θ such that g is a rotation about ω through θ degrees. The quaternion parameters are then given by:

$$\begin{pmatrix} q_0 \\ q_1 \\ q_2 \\ q_3 \end{pmatrix} = \begin{pmatrix} \cos(\frac{\theta}{2}) \\ \omega_1 \sin(\frac{\theta}{2}) \\ \omega_2 \sin(\frac{\theta}{2}) \\ \omega_3 \sin(\frac{\theta}{2}) \end{pmatrix}$$

Given the quaternians $q \in \mathbb{R}^4$, the matrix g may be computed directly.

$$g = 2 \begin{bmatrix} q_0^2 + q_1^2 - \frac{1}{2} & q_1q_2 - q_0q_3 & q_1q_3 + q_0q_22 \\ q_1q_2 + q_0q_3 & q_0^2 + q_2^2 - \frac{1}{2} & q_0q_3 - q_0q_1 \\ q_1q_3 - q_0q_2 & q_2q_3 + q_0q_1 & q_0^2 + q_3^2 - \frac{1}{2} \end{bmatrix}$$

While Cayley's formula may be applied to compute ω and θ and hence the quaternion, there are more direct methods. Designating the i, j^{th} element of g by g_{ij} , we obtain

$$q_0 = \sqrt{\frac{\text{trace}(g) + 1}{4}}$$
$$q_1 = \frac{g_{32} - g_{23}}{4g_0}$$

$$\begin{array}{rcl} q_2 & = & \frac{g_{13} - g_{31}}{4q_0} \\ q_3 & = & \frac{g_{21} - g_{12}}{4q_0} \end{array}$$

The above holds unless $q_0 = 0$. If it is, $g_{ij} + g_{ji} \neq 0$ implies that q_i and q_j are not equal to zero. Then the diagonal terms may be used to compute that $q_i^2 = g_{ii} + \frac{1}{2}$.

Finally, given a left-invariant vector field given by $g(\omega \times)$, the evolution of the quaternion parameters is given by:

$$\dot{q} = \frac{1}{2} \begin{bmatrix} 0 & -\omega_1 & -\omega_2 & -\omega_3 \\ \omega_1 & 0 & \omega_3 & -\omega_2 \\ \omega_2 & -\omega_3 & 0 & \omega_1 \\ \omega_3 & \omega_2 & -\omega_1 & 0 \end{bmatrix} q$$

There are many nice properties to this parameterization, for example matrix multiplication maps simply to quaternion multiplication. For details, see [6].

In the conference presentation, we will present animated simulations for steering the attitude of satellites and space robots using the algorithms developed here.

5 Conclusion

This paper was an attempt to make a complete solution for a class of steering problems with algorithms. The four theorems embody the the different approaches applied. The first employs an input and coordinate transformation to put a general system into a canonical form for which we have precomputed formulas. The others which follow employ various input and coordinate transformations to once again put a more general system into this canonical form. In future work, we will generalize these approaches to more general matrix Lie groups.

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