

Beamforming and MIMO Digital Radio Baseband and Testbed for Next Generation Wireless Systems

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Table of Contents

Chapter One: Technical Contributions (Individually Written)	2
Introduction	2
Background	4
Software-Defined Radio	5
CHISEL	6
OFDM Modulation Scheme	8
Smart Antennas	9
Design	10
Matrix Multiplication Unit	11
Controller Infrastructure	13
Validation	15
Methodology and Tools	15
Results	16
Conclusions	17
References	18
Chapter Two: Engineering Leadership Paper (Team Written)	19
Introduction	19
Trends and Intellectual Property Strategy	19
Industry Analysis	21
Market Strategy	24
References	25

Introduction

With the recent surge in wireless communications, the radio industry has seen an increase in the number of different communication standards, each requiring its own specific hardware and processing. Our project is part of a larger effort to address the need for radio interoperability with these various standards through the development of hardware generators for a software defined radio (SDR) system. These hardware generators will be created using Chisel, a hardware construction language. When given a set of parameters or constraints, the hardware generators will automatically output circuit designs for the given application, thereby accelerating the hardware design process and introducing a new method for multi-standard support. Specifically, our team was tasked with the development of generators for the system's smart antenna (i.e. beamforming and MIMO) blocks.

For this project, our team accomplished the design of two hardware generators: the CORDIC and the MIMO Receiver blocks. The CORDIC block is simply a set of hardware efficient algorithms capable of performing hyperbolic and trigonometric calculations without the use of a hardware multiplier. By avoiding use of hardware multipliers, the CORDIC architecture reduces the number of logic gates required to perform these trigonometric calculations, thereby saving significant circuit power and area in exchange for less accuracy. The MIMO block consists of smart antenna techniques useful for multiple antenna radio systems. These techniques, in essence, provide improved spectral efficiency (i.e. better signal and data speeds) and have become a necessity for almost any modern radio system.

The work breakdown for the design tasks involved are depicted in the diagram below (see Figure 1). For the CORDIC block, tasks consisted of three primary components: enabling hardware generation for variable data lengths, adding compatibility for fixed and double data types and thoroughly validating the block's functionality. The CORDIC block has a wide range of applications. While originally designed for incorporation with the carrier frequency offset correction (CFO) block¹, it can be used to perform multiplications in the MIMO block as well. To learn more about the CORDIC design, please refer to Eva Xu's paper. For the MIMO block, tasks consisted of two hardware generation sub-blocks: the beamforming matrix sub-block and the matrix multiplication sub-block. The former involves determination of beamforming coefficients for the MIMO system by performing matrix operations on channel estimates between transmit-receive antenna pairs in hardware. By allowing isolation of unique data streams, these coefficients effectively enable the MIMO system's ability to simultaneously transmit multiple data streams. To learn more about the design of this sub-block, please refer to Sam Yuan's paper.

The matrix multiplication sub-block performs the processes required to convert received data into unique data streams. Furthermore, this sub-block also functions as the shell for the MIMO system in that it serves as the controller for operating the two different sub-blocks. This paper focuses on these topics and is organized as follows. The paper first presents a detailed background on the design tools used and the relevant radio modem and schemes used. The paper then moves on to discuss the hardware design and validation steps involved in creating

¹ The CFO block is a baseband component of the radio processing chain and is outside the scope of this project.

the matrix multiplication sub-block as well as their significance. Finally, concluding remarks regarding current progress and future steps are offered.

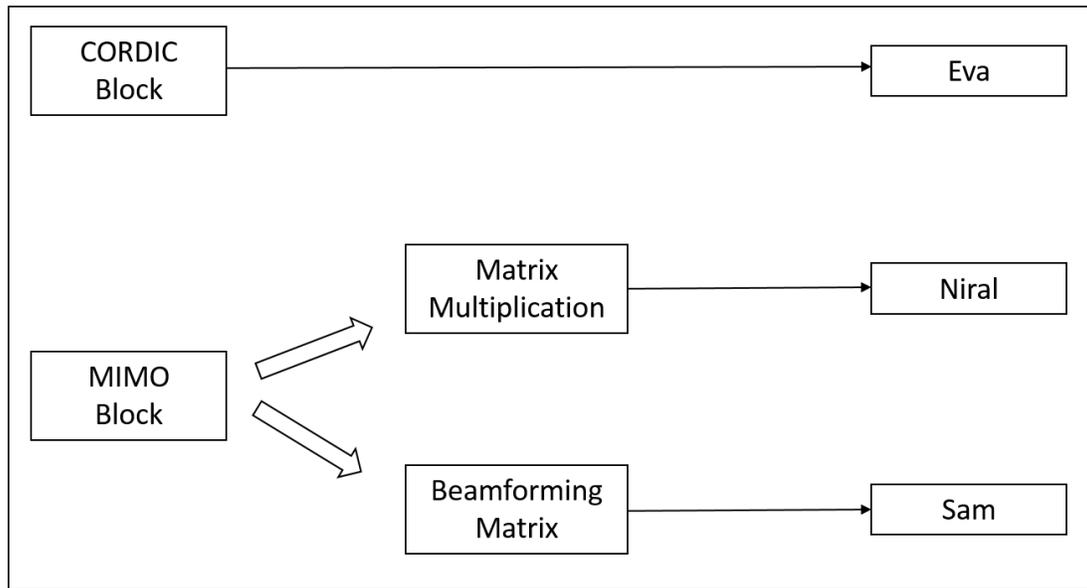


Figure 1. Work breakdown structure for first project status reporting deliverables.

Background

To better understand the significance of hardware generation, the software-defined radio concept and the Chisel hardware language are first discussed. These topics highlight the challenges currently faced in traditional hardware design flows and the advantages these tools offer. Given that the project aims to use these tools in the context of radio communications, an overview of the relevant radio technologies involved in the project are provided. Specifically, the overall project aims to use the SDR design paradigm to construct a generic orthogonal frequency division multiplexing (OFDM) modem. The OFDM scheme offers advantages such as improved spectral efficiency and will be discussed in terms of its relevance to our generator

designs. Since our team project focuses on smart antennas (a component of the OFDM modem), these algorithms are discussed as well.

A. Software-defined Radio (SDR)

In traditional radio, processing occurs through application specific hardware (e.g. ASICs). While this type of hardware is efficient, its compatibility is typically limited to a single communication interface or standard. To overcome this limitation, SDR emerged as a new radio solution in the mid 1980's (Brannon, n.d.:3). Instead of application specific hardware, SDR typically utilizes reprogrammable logic arrays such as field programmable gate arrays (FPGAs). These chips allow developers to modify the radio device through software re-definable logic rather than changing the hardware effectively enabling the implementation of rapid design methodologies. However, these FPGA chips were significantly less efficient and more expensive to implement than their ASIC counterparts. These drawbacks limited their applicability in consumer products at that time (Brannon, n.d.:3-4).

With recent advances in technology, the implementation of SDR for commercial applications is becoming increasingly practicable. Over the past decade, the semiconductor industry has seen a large boom in response to the high demand for low cost equipment from the communication and other device manufacturing industries. According to IBIS, the price of semiconductors has steadily declined due to advances in technology and production efficiency and is projected to decline at an annual rate of 2.2 % (Ulama 2015:6-9). Furthermore, these advancements in low cost semiconductor equipment are concurrent with the release of low cost, high performance digital signal processors (DSPs) and FPGAs. These trends have increased

the feasibility of SDR for use in commercial applications and have contributed to its recent reemergence (Brannon, n.d.:4). The viability of SDR brings with it the ability to transform hardware design into an Agile process, thereby making the design flexible to rapid changes in project requirements.

Given the increasing viability of SDR, many platforms are available in the literature that enable reconfigurable radio design. Radio design tools exist for SDR simulation and synthesis on National Instruments RIO and for software simulation on Simulink. While these tools indeed enable rapid design prototyping compared to traditional approaches, they are still quite limited in that they provide little to no design automation or reuse. Current tools lack component libraries, forcing them to rely on engineers to either develop designs from scratch or outsource them from some third party, a costly alternative in terms of required time and skill. An approach that could generate an initial design architecture and be continually modified to satisfy design constraints would greatly accelerate existing design flows (DiPierro 2008:1). Our SDR platform aims to achieve such a goal by taking advantage of the CHISEL language to create a library of hardware generators for the entire radio processing chain.

B. CHISEL

To design the hardware generators, the CHISEL hardware description language was used. Traditional hardware design mostly relies on the use of Verilog or VHDL as the hardware description language. These languages have dominated since their inception around the mid 1980's and have seen little evolution since then. Aside from problems involving usage and construct synthesizability, these languages lack strong notions of abstraction and module

reusability that lead to poor design space exploration and in some cases, inefficient hardware implementations. To address these challenges, the CHISEL language was developed with the platform-based paradigm in mind. To be more precise, CHISEL enables the design of powerful hardware generators by introducing modern software features such as functional programming to specify the design of low level blocks. The language is also capable of performing design simulation in C++ and code generation into Verilog for standard FPGA emulation or ASIC synthesis. By raising the level of abstraction in hardware design, CHISEL also allows for significant design reuse thereby enabling the development of platform-based technologies (Bachrach 2012).

In this project, the Chisel language was adapted in such a manner. Using the CHISEL language, the Chisel Digital Signal Processing (DSP) Environment² was created as a platform for the construction of DSP hardware generators such as our project's digital radio chain. In addition to the base benefits of the CHISEL language, the CHISEL DSP environment provides the necessary resources to specifically create and test radio circuit designs because it introduces data types and constructs not present to the standard Chisel library. For instance, Chisel does not easily allow the use of double or fixed point data types which are important for accurate signal processing and instead uses integer approximations which can result in high error rates. Furthermore, the environment enhances the language's interaction with the programmer by improving debugging output and data pipelining features thereby making it a suitable tool for our project.

² <https://github.com/ucb-art/ChiselDSP> - GitHub repository for the Chisel DSP environment

C. Radio Scheme: Orthogonal Frequency Division Multiplexing (OFDM)

OFDM currently serves as the most popular scheme for broadband wireless communication seeing use in high speed applications such as Wi-Fi and LTE. Given the increasing importance of this scheme in the development of next generation wireless systems, the hardware generators designed in this project will constitute a generic OFDM modem. The widespread use of OFDM primarily arises from the technique's high spectral efficiency and robustness under poor channel conditions.

Traditional systems utilize non-overlapping carrier waves at a single frequency to transmit unique data streams. While this allows multiplexing of unique signals, these methods achieve poor spectral efficiency as they require allocation of large bandwidths for each separate signal. Moreover, these methods are highly susceptible to frequency-dependent channel and interference effects thereby requiring the need for complex equalization filters at the receiver.

On the other hand, OFDM follows a multi-carrier scheme that uses a specified number of overlapping sub-carriers within a given bandwidth (see Figure 2) to represent the signal. These sub-carriers are each assigned only a portion of the total bandwidth and consequently have a much slower bit rate than larger bandwidth carriers. However, the key to OFDM is the orthogonality between the sub-carriers that allows them to be overlapped such that maximum power of each sub-carrier aligns with the minimum power of adjacent channels. This effectively allows for increased data rates for a given bandwidth (i.e. higher spectral efficiency) compared to single carrier systems. Furthermore, the use of multiple sub-carriers gives the signal more robustness towards frequency selective channel effects (Marchetti, et al. 2009).

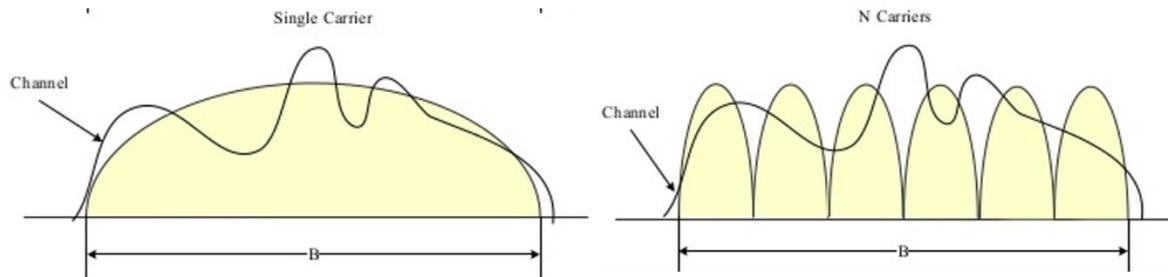


Figure 2. Simple illustration of single vs. multi-carrier schemes

In the context of our project, the smart antenna generators must be integrated with the OFDM scheme. To do so simply amounts to performing the relevant MIMO digital signal processing for each OFDM sub-carrier. The resultant air interface termed MIMO-ODM allows for high capacity and data speeds as well as reduced receiver complexity relative to other air interfaces and currently dominates in the application domain for high-speed, broadband communication.

D. Smart Antenna Techniques

As mentioned earlier, improvements in channel capacity and data speeds have become increasingly important. Due to the exponential growth in the number of radio devices in today's market as well as the demand for higher data rates, wireless service providers are facing challenges in providing the necessary bandwidth to keep up with these trends. According to IBIS, mobile internet access primarily drives the expansion of the wireless telecommunication industry with an estimated annual growth rate of 24.6 %, in terms of new mobile connections over the past five years (Blau 2015:5-7). This annual expansion simultaneously drives up the

cost to maintain radio capacity as the radio spectrum becomes increasingly scarce (Blau 2015:7). With a limited set of radio frequencies, spectral efficiency becomes increasingly important to support consumer demand for bandwidth.

The rise in wireless traffic coupled with limitations of the spectrum have sparked interest in smart antenna techniques which can address these bandwidth concerns. Smart antenna beamforming directionally transmits information which allows for frequency reuse in a given area. By reusing frequencies, more information can be sent over the same band of frequencies thereby increasing spectral efficiency. Similarly, receiver MIMO and beamforming allow further frequency reuse by using spatial diversity techniques to isolate unique data streams from simultaneously transmitted data streams of a given frequency. As smart antennas continue to evolve over different generations, device interoperability once again becomes a point of concern. Since different protocols or applications will undoubtedly implement smart antennas differently, motivation exists for the design of flexible smart antenna hardware generators.

Design

As previously mentioned, technical contributions focus on the design of the MIMO DSP controller and matrix multiplication sub-unit. This section starts by discussing the role and current hardware design for the matrix multiplication unit as well as expected additions to be completed soon. It then presents a high level overview and significance of the controller as well as the expected implementation.

A. Matrix Multiplication

To understand the role of this sub-block, a brief overview of the fundamentals behind a simple MIMO receiver are presented. In a MIMO system such as the one modeled in Figure 3, the system consists of a set of transmit and receive antennas. Here, the set of transmit antennas are assumed to each transmit unique data signals uniformly in space³. As these signals travel through the channel and collect at each of the receiver antennas, they typically encounter different (i.e. uncorrelated) channel effects. If sufficient environmental diversity is present, these channel effects can serve as spatial signatures of the original signals at the receiver. In other words, the channel effects allow the receiver signal to be represented as a linear combination of each of the original transmit signals, thereby giving rise to the following matrix-vector equation (also shown in Figure 3):

$$y = Hs + n$$

In this equation, y represents the vector of receive signals, H represents a matrix of channel gains between each combination of transmit-receive antennas, and n represents Gaussian noise. In order to recover the original signal, the effects of the channel need to be equalized using the H matrix (the channel estimation block shown is being developed by another team). Since the channel matrix represents spatial effects on the signal, the matrix used to perform the inverse operation (i.e. spatial reconstruction) is termed the beamforming matrix (represented by G below) and follows the equation:

$$s = Gy$$

³ While transmit beamforming is also possible, this is typically used in the design of MIMO systems such as multi-user or massive MIMO (which are outside the scope of this task).

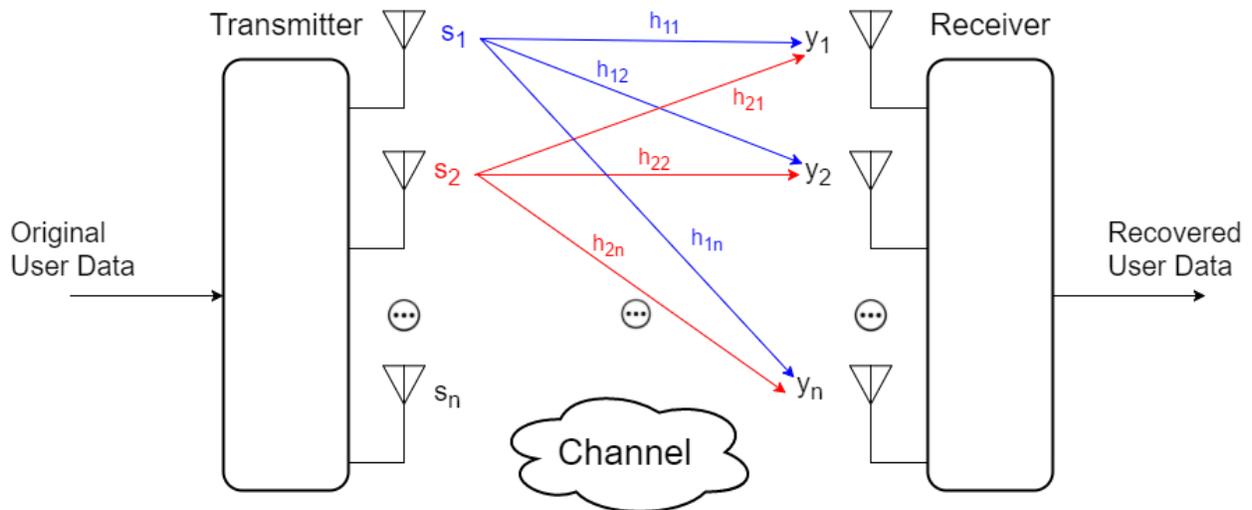


Figure 3. Simple MIMO Receiver model

Using this framework, a matrix multiplier hardware generator was implemented in the CHISEL DSP Environment with support for double and fixed data types. The basic operation of this block relies on generating the appropriate multiplier and adder tree to perform the matrix operation for a variable number of transmit and receive antennas. The current architecture for this design is represented in Figure 4. In order to perform the processing, the block also requires the beamforming matrix G . Since the environment between the transmitter and receiver is subject to change, the channel estimation and beamforming matrices need to be continually updated. However, since the determination of G and the channel estimation process take multiple cycles, it is unrealistic to perform processing with new G values each cycle. Instead, the matrix G is sampled at set intervals and stored in registers.

An alternative approach to realizing this memory is the implementation of SRAM memory. Currently, Chisel DSP supports memory with a single read/write port. While more

memory ports or modules can be used to increase the rate at which the matrix G is updated, this represents a tradeoff as it would require significant area and power consumption. On the other hand, use of a single read/write port limits the clock speed as multiplications with beamforming coefficients can only be performed sequentially. These tradeoffs can be evaluated and optimized towards a given application.

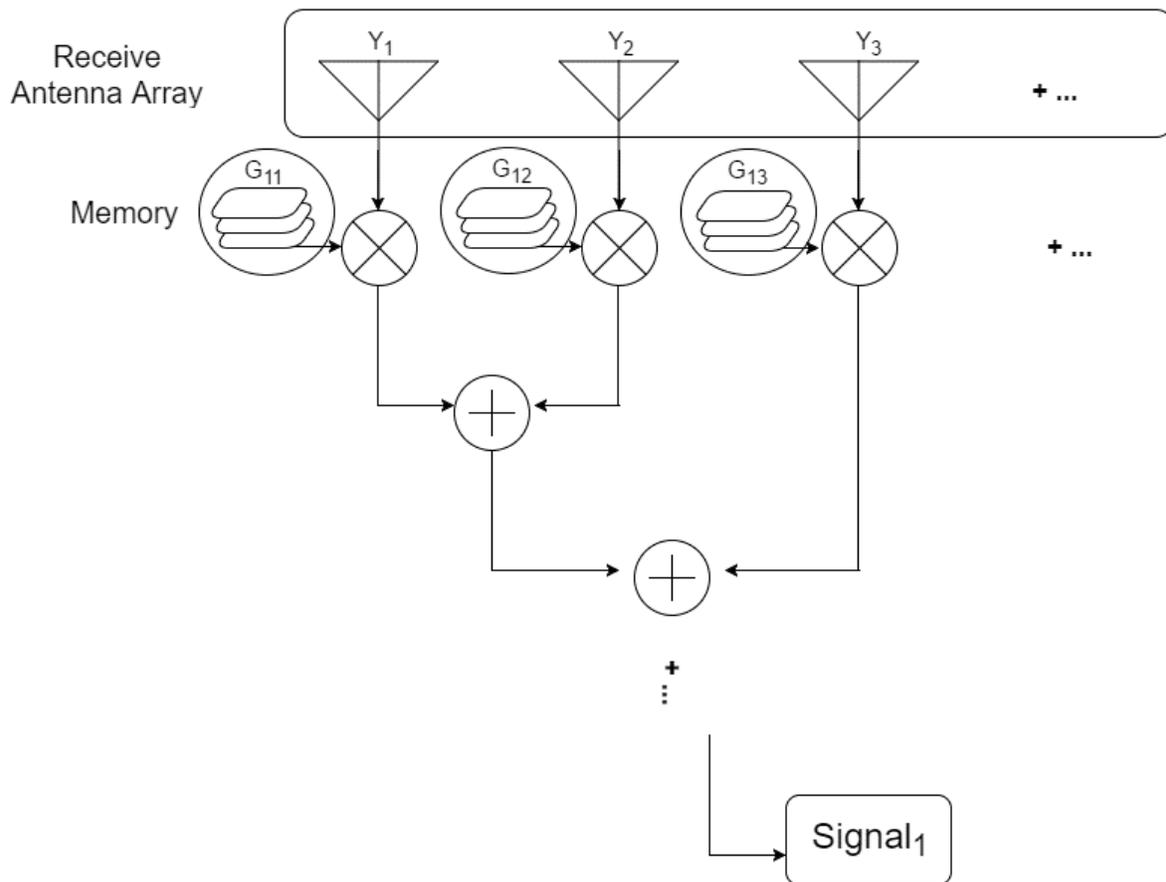


Figure 4. Architecture for flexible Matrix Multiplication hardware generator. Hardware output same for each unique transmit signal, an example of which is shown here.

B. Controller

In the previous section, the task of switching between beamforming matrix sampling mode and signal processing was presented. The task of the controller is to handle the timing

logic such that the MIMO block can switch between the two modes while maintaining a pipelined data flow. Figure 5 shows a conceptual view of this task.

In our implementation, we introduce an area vs. speed tradeoff to the user through an unrolling factor. In essence, the unrolling factor controls the number of input ports to be created when updating the matrix multiplication unit with beamforming coefficients. It is clear that choosing a number of ports equal to the number of coefficients can allow the update to occur within a single cycle; yet, the tradeoff is an increased number of wire connections. Increasing the number of port connections scales rather poorly, especially during synthesis, and can become a significant place of concern for massive MIMO applications. By introducing this feature in the block, we hope to allow the designer to control and optimize this tradeoff for any given application.

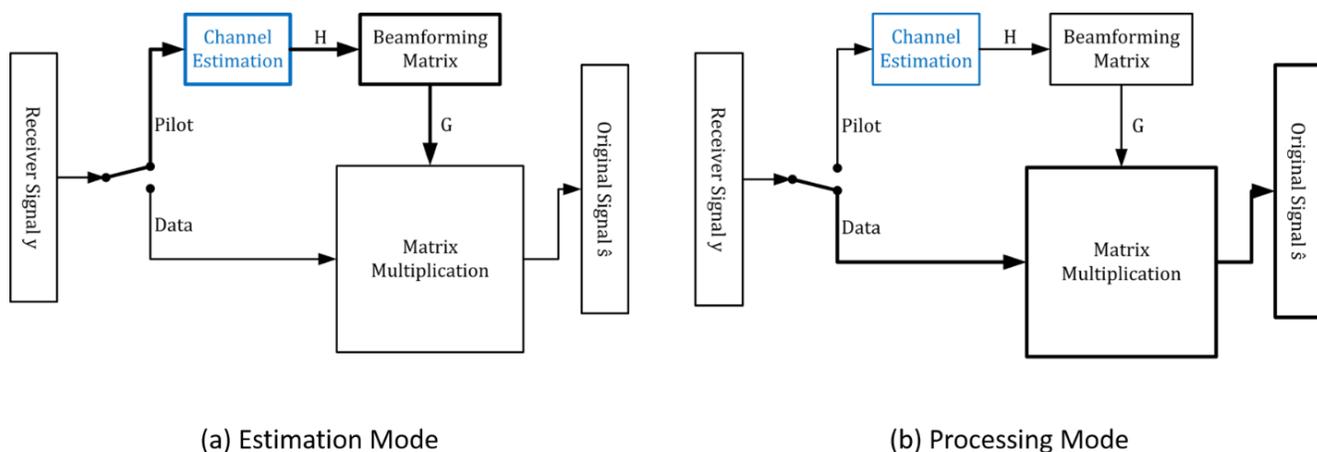


Figure 5. The controller modes: (a) estimation mode samples the beamforming matrix G for the next set of processing calculations performed in (b)

Validation

A. Methodology and Tools

Test benches serve to verify the correctness of a design, and the methodology of doing so varies with the type of design. For example, in digital hardware design, test benches most often take the form of a virtual environment that uses software or other hardware tools to perform design validation. In this project, three primary stages of validation are available for use: Scala simulation, MATLAB simulation, and FPGA emulation.

The Scala simulation tool available in Chisel serves as the first stage for design verification. The primary advantage of this virtual environment is that it allows for rapid and robust design testing as the hardware is constructed to ensure basic operability. Otherwise, the hardware design would have to be synthesized and mapped onto an FPGA platform every time a new test is to be performed.

Once logical functionality is verified, the hardware efficiency and performance can be assessed in MATLAB. The MATLAB software offers simulation models for complete MIMO-OFDM systems implemented in software. By wrapping the hardware architecture from CHISEL into a MATLAB function, software simulation blocks can be replaced by their corresponding hardware blocks (or sub-blocks) to assess performance in terms of metrics such as bit error rate (BER).

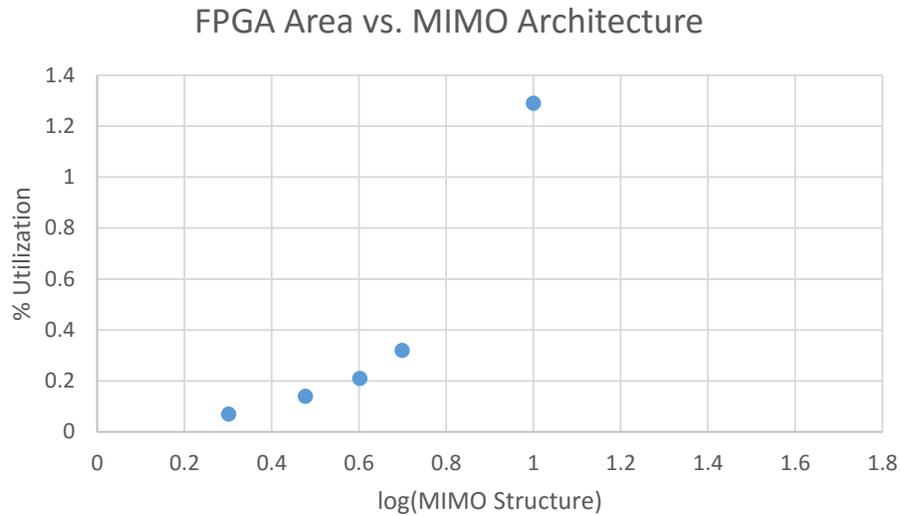
Finally, the FPGA emulation tool in Xilinx Vivado allows the design to be simulated and assessed in terms of implementation on an FPGA target. In hardware design, the source code often cannot be implemented into hardware either because the target does not support it or

the code is not synthesizable. Hence, the emulation tool allows further verification that the design can be synthesized before a mapping attempt onto a FPGA is performed. Furthermore, the tool provides hardware performance characteristics such as area consumption and power consumption.

B. Hardware Design Results

At this time, basic verification in the Scala simulator as well as behavioral simulation and synthesis with Xilinx Vivado has been performed. However, before holistic performance and distortion metrics can be assessed, the block must be pipelined and integrated with the beamforming matrix unit. As such, no results are available although tradeoffs are expected. First, a tradeoff between accuracy and area exists depending on the choice of using the CORDIC architecture or multipliers to perform hardware multiplies. Second, a tradeoff between speed and area/ power consumption is expected depending on how the memory module is implemented as previously mentioned. Finally, a tradeoff between speed and performance is expected depending on the timing conditions set for the controller switching between both modes. Further design tradeoffs or improvements exist in regards to the matrix multiplication unit which still has room for further optimization.

In order to verify the matrix multiplication block's synthesis onto FPGA, Vivado was used to determine changes in the LUT area utilization for different fixed-type implementations of the generator by varying the MIMO antenna structure. These results are displayed in Figure 6 below where the MIMO structure is shown on a log scale. As expected, area utilization increases with increasing number of antennas.



Conclusion

In conclusion, our team is in the process of completing the design of hardware generators for the CORDIC and MIMO block. In this paper, contributions to the design of the MIMO matrix multiplication unit and overall controller were described. Once we complete the design and validation phases, the next steps will be to implement our designs on an FPGA platform and assess hardware performance with real-time signals. However, it should be noted that the tasks performed in this project were primarily aimed at achieving functionality rather than efficiency. Hence, now that the initial framework for smart antenna hardware generators has been created, future work can be dedicated towards optimizing hardware designs to perform more efficiently and expanding the capabilities of the generators. One immediate task involves replacement of hardware multipliers with the CORDIC block designed which will significantly improve hardware efficiency. Alternative architectures for performing the matrix multiplication may also be explored and added to the current generator to expand design flexibility and control for users.

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Engineering Leadership Paper

Introduction

With the recent surge in wireless communications, the radio industry has seen an increase in the number of different communication standards, each requiring its own specific hardware and processing. Our project intends to address the need for radio interoperability with these various standards through the development of hardware generators for a Software-Defined Radio (SDR) system. These hardware generators will be created using Chisel (Bachrach 2012), a hardware construction language. When given a set of parameters or constraints, the hardware generators will output automated circuit designs for the given application, thereby accelerating the hardware design process and introducing a new methodology for multi-standard support. In this paper, we discuss topics relevant to bringing our project to market. These topics are divided into three sections: 1) the project's Intellectual Property (IP) approach, 2) the project's industry analysis, and 3) the project's market segment.

Trends and IP Strategy

With recent advances in semiconductor technology, the Integrated-Circuit (IC) industry has experienced rapid growth over the past few decades (Ulama 2015:6-9). However, the industry is now starting to stagnate due to the increasing complexity required in designing chips to provide competitive functionalities within demanding constraints (Sangiovanni-Vincentelli 2007: 467-68). In particular, new opportunities rising in the consumer electronics and Internet of Thing (IoT) domains have made time-to-market the primary concern for IC companies due to first-mover advantages (Smith 2014). With the demand for shorter design cycles and higher

volumes of functionality to be incorporated into designs, IC developers are facing costly project delays because changes in project requirements often necessitate large loop iterations due to the sequential nature of current industry design methodologies (Sperling 2014).

In addition to the design flow challenges, IC developers are facing problems with the role of IP in the semiconductor industry. Given the increasing complexity of chips, it is too costly and slow to develop all the functionalities from scratch. Hence, IC designers rely on licensing reusable system building blocks from an external party, known as IP blocks (Tamme, et al. 2013: 221). While these IP blocks can accelerate design cycles, the primary issue arises during system integration and verification. When incorporating a supplier's IP block into the system, no guarantee exists that the IP block will interact with other system components to provide correct functionality. Since these IP blocks are "black boxes", verification and modifications to the IP block to meet the developer's need become difficult, thereby creating delays and long design cycles.

Our project intends to address these problems in the wireless IC domain as it aims to implement a new design paradigm based on Agile and platform-based schemes. The development of flexible hardware generators achieves this by facilitating initial chip design to be independent of specific processes or hardware implementations such as IP blocks. By raising the level of design abstraction towards the desired functionality rather than a specific implementation, large loop iterations can be avoided since system components can dynamically change with requirements.

In bringing this project to market, our IP approach must maximize the project's impact on the wireless IC domain. A patent approach is not suitable for a few reasons. First, the project

is part of ongoing research at the Berkeley Wireless Research Center, which follows a non-patent policy to encourage innovation. Second, the hardware generator design flow is based on Chisel, an open source language for creating circuit generators. Instead of obtaining a patent, we will be taking an open-source IP strategy to bring this technology to market. The primary motivation for this approach comes from the project's holistic goal of reshaping wireless IC design flows towards an Agile scheme to shorten design cycles and revive the growth of the IC and semiconductor industries. Taking an approach to protect the IP of this technology would only result in inhibited adoption of the new methodologies and limited growth of this new platform.

Industry Analysis

Within the broader wireless industry, our capstone project targets two specific technologies: Wi-Fi and cellular data. These two industry sectors were chosen as they contain common characteristics and challenges that our project addresses.

The first common characteristic of Wi-Fi and cellular data network is that they are both widely used. Wi-Fi is becoming the standard Internet access method in various environments such as households, offices and public places (Henry 2002). Cellular data service is also reaching more and more people with the rapid development of the smartphone industry. As we are building a completely new platform for the wireless industry, choosing Wi-Fi and cellular data will allow us to maximize the number of potential developers who will benefit from the adoption of our hardware generators.

Secondly, both Wi-Fi and cellular data have development patterns consisting of rapid generation iterations and continuous improvement potential. Since the introduction of first generation Wi-Fi in 1997, it has evolved to fifth generation within 15 years (Nagarajan 2012). Cellular data networks exhibit the same pattern, as the fifth generation is expected to be commercialized in the near future. These trends incentivize our design of flexible and parameterizable generators to reduce application redesign costs resulting from generation transitions.

The steep development curve and considerable future potential of Wi-Fi and cellular data networks have brought great challenges to the hardware design process. In the past, it would take engineers many years to design a series of new devices from scratch for each generation of wireless technology. This has delayed the new technology from reaching potential customers before the next generation emerges. In fact, some generations of the technology have suffered from a lack of supporting devices (Ferro 2005). Our project aims to ease this transition process by providing a flexible and generalized design framework. Our generators will consider the key factors that change between generations of technologies and will make them into parameters. Different hardware designs can then be produced by the generators, thereby reducing the development time for new device design and old device upgrade.

With the understanding of our industry above, we analyzed the five market forces (Porter 2008) on the cellular data industry to determine the profitability of entering the market. To be more specific, we are considering the market from the perspective of a hardware company that sells signal processing chips for smart phones.

First, the **threat of new entrants** would be weak. This is because the cellular data network industry greatly relies on technology, which makes it difficult to enter without substantial expertise of this area. New entrants would also struggle with the lack of credibility, which is essential for selling products to the customers in this industry. This leads to our second force, the **bargaining power of buyers**. The buyers of our signal processing chips would be major mobile phone companies like Apple and Samsung. The size of these companies indicates their strong bargaining power, because they could compare the reliability, price, and performance of our product with many other alternative offers. The third force, **threat of substitutes**, is weak according to our analysis. Even though people can use Wi-Fi to connect to the Internet with their smartphones, the cellular data connection is an indispensable feature for any smartphone nowadays. Thus, there is almost no substitute technology. Fourth, the **bargaining power of suppliers** is also weak. The fabrication process for integrated circuit chips is standardized and many fabrication factories exist, thus allowing control of supplier costs. Lastly, the **rivalry among existing competitors** would be strong and feature-based. With the rapid development of wireless technology, the chip company that develops the first next-generation chip would obtain the biggest share of the market. Before other companies can catch up, enter the market and bring down the price, the industry might have already moved into the next generation.

As a whole, the three weak forces and a strong feature-based rivalry suggest promising profitability in this industry. Since our project would serve as a platform for this industry's developers, these results are great motivations for us.

Market Strategy

According to the end-user industries, the SDR market is mainly subdivided into telecommunication, defense and public safety (Saha 2015). Considering SDR and Chisel, we focus our market segment on the telecommunication industries for a few reasons. First, our platform will be open-source, which heavily relies on a substantial contributor base. For commercialized industries like telecommunication, there are many engineers contributing to the open source community. However, for other industries such as conventional defense and public safety, the aim of the communication system design is confidentiality and reliability rather than commercialization, so it is difficult to work on open source code. Second, the telecommunication industry has a big group of customers, so there will be extensive user feedback regarding the products which utilize our platform. Last, the competition among telecommunication industries is stronger than that in other industries. In order to obtain a competitive advantage in this market, companies are in great need of higher product quality and shorter design cycles, which can be achieved by using our hardware generators.

Before going to market, the users of our hardware generators must be defined. The two main categories of users that benefit from our project are university researchers and industry engineers. They are responsible for developing code, verifying it, and improving their design. University researchers can take advantage of the generators when designing new frameworks for the communication system. On the other hand, industry engineers can more effectively keep their designs up to date by using our generators, making it easier to go to market.

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